



Monetary Authority of Singapore

# **MAS OPERA**

## **Offers of Collective Investment Scheme**

Online User Guide

Version 1.9

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## **1. OVERVIEW**

### **1.1 *About This Guide***

The MAS Offers and Prospectuses Electronic Repository and Access (OPERA) system is a web-based system consisting of various modules to host information and documents and manage submissions and applications to be made to the Corporate Finance & Investment Products Division of the Corporate Finance & Consumer Department.

This document provides a step-by-step guide on how to use OPERA for

- Authorisation/ recognition of a collective investment scheme (“CIS”)
- Lodgement of documents for a CIS
- Update of particulars for a CIS
- Withdrawal/ Winding-up of a CIS

## 2. FUNCTIONS

### 2.1 Log in

This section will show how an authorised user can log in to OPERA. In addition to viewing the list of offers which is open to the general public, authorised users will be allowed to perform other operations in OPERA.

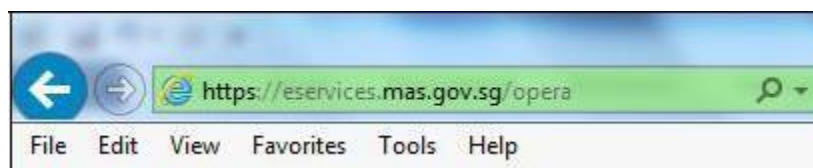


Figure 2.1-1 URL of OPERA

1. Access the OPERA site at <https://eservices.mas.gov.sg/opera>. See **Figure 2.1-1**



Figure 2.1-2 OPERA Log in page

2. Click **Log in with singpass** to login. See **Figure 2.1-2**



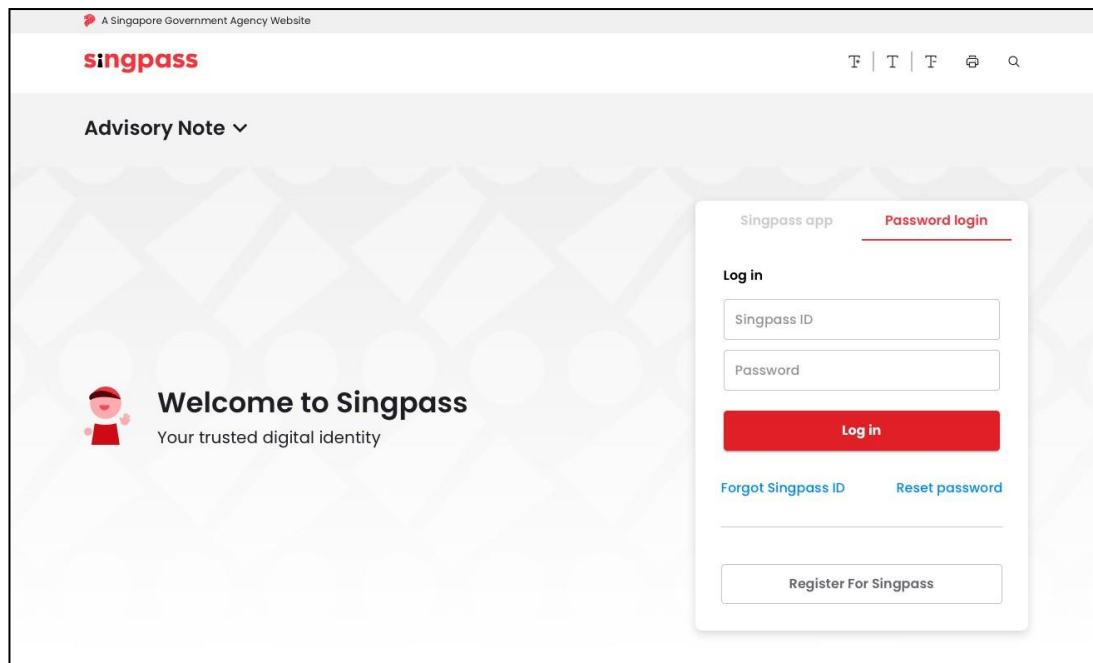


Figure 2.1-4 Singpass Log-in page

3. The user will be redirected to the Singpass log-in page. See **Figure 2.1-4**
4. Enter your NRIC and password. Click Login. See **Figure 2.1-4**

**Note:** Please visit the Singpass website at <https://www.singpass.gov.sg> for queries related to Singpass.



Figure 2.1-6 OPERA homepage after successful log-in

6. The user will be directed to the OPERA homepage after logging in successfully. **See Figure 2.1-6**

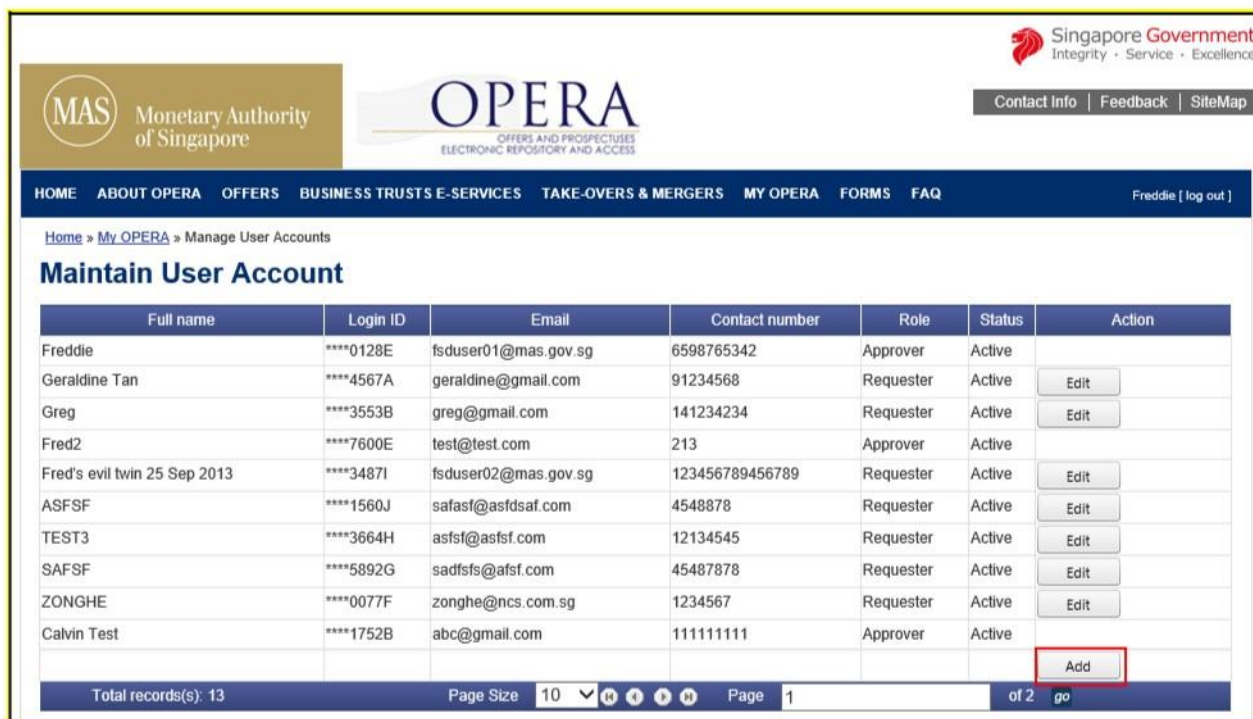
## 2.2 Manage User (Requester) Account

There are two types of user accounts, namely Approver and Requester accounts. An Approver account is the main account of a registered entity, with which an applicant is able to add/ update/ remove Requester accounts under the represented entity. This section will show you how to add, edit and remove user accounts for Requesters. Only authorised users who are assigned the 'Approver' role are allowed to perform this function. Refer to section 2.1 – Log in for log-in steps. (To have an 'Approver' user account created, please contact MAS.)



Figure 2.2-1 Homepage of MAS OPERA

- After logging in successfully, click on **Manage User Accounts** under **MY OPERA** in main menu. See **Figure 2.2-1**



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Home » My OPERA » Manage User Accounts

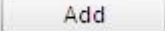
### Maintain User Account

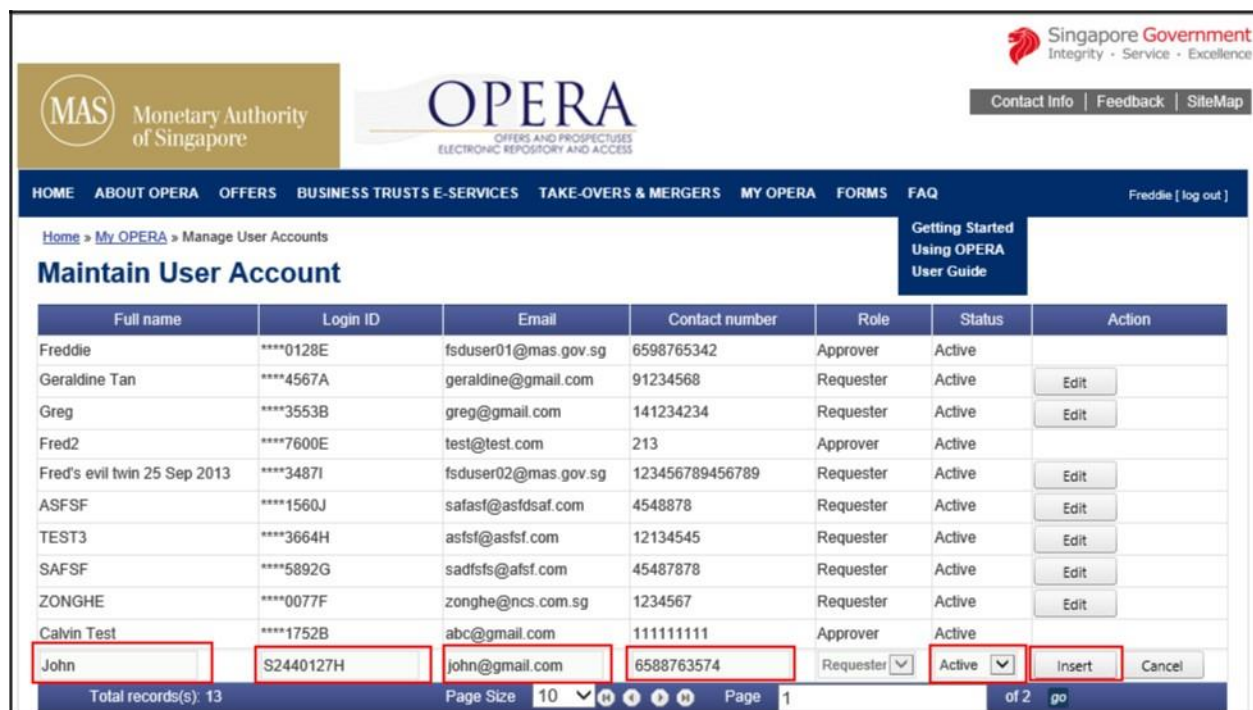
Full name	Login ID	Email	Contact number	Role	Status	Action
Freddie	****0128E	fsduser01@mas.gov.sg	6598765342	Approver	Active	
Geraldine Tan	****4567A	geraldine@gmail.com	91234568	Requester	Active	Edit
Greg	****3553B	greg@gmail.com	141234234	Requester	Active	Edit
Fred2	****7600E	test@test.com	213	Approver	Active	
Fred's evil twin 25 Sep 2013	****3487I	fsduser02@mas.gov.sg	123456789456789	Requester	Active	Edit
ASFSF	****1560J	safast@asfsaf.com	4548878	Requester	Active	Edit
TEST3	****3664H	asfst@asfsf.com	12134545	Requester	Active	Edit
SAFSF	****5892G	sadfsf@asfsf.com	45487878	Requester	Active	Edit
ZONGHE	****0077F	zonghe@ncs.com.sg	1234567	Requester	Active	Edit
Calvin Test	****1752B	abc@gmail.com	111111111	Approver	Active	

Total records(s): 13 Page Size 10 Page 1 of 2 go

Add

Figure 2.2-2 Maintain User Account

2. To add a user, click on . See **Figure 2.2-2**



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Home » My OPERA » Manage User Accounts

### Maintain User Account

Getting Started Using OPERA User Guide

Full name	Login ID	Email	Contact number	Role	Status	Action
Freddie	****0128E	fsduser01@mas.gov.sg	6598765342	Approver	Active	
Geraldine Tan	****4567A	geraldine@gmail.com	91234568	Requester	Active	Edit
Greg	****3553B	greg@gmail.com	141234234	Requester	Active	Edit
Fred2	****7600E	test@test.com	213	Approver	Active	
Fred's evil twin 25 Sep 2013	****3487I	fsduser02@mas.gov.sg	123456789456789	Requester	Active	Edit
ASFSF	****1560J	safast@asfsaf.com	4548878	Requester	Active	Edit
TEST3	****3664H	asfst@asfsf.com	12134545	Requester	Active	Edit
SAFSF	****5892G	sadfsf@asfsf.com	45487878	Requester	Active	Edit
ZONGHE	****0077F	zonghe@ncs.com.sg	1234567	Requester	Active	Edit
Calvin Test	****1752B	abc@gmail.com	111111111	Approver	Active	
John	S2440127H	john@gmail.com	6588763574	Requester	Active	Insert Cancel

Total records(s): 13 Page Size 10 Page 1 of 2 go

Figure 2.2-3 Add User Account

- Enter the full name, NRIC or SingPass ID, email and contact number of the user being added.
- Set the status of the user account to "Active". Click on . See **Figure 2.2-3**

**Note:** Additional user accounts created will be Requester accounts.

The screenshot shows the MAS OPERA web interface. At the top, there are logos for MAS (Monetary Authority of Singapore) and OPERA (Offers and Prospectuses Electronic Repository and Access). The Singapore Government logo is also present. A navigation bar includes links like HOME, ABOUT OPERA, OFFERS, BUSINESS TRUSTS E-SERVICES, TAKE-OVERS & MERGERS, MY OPERA, FORMS, and FAQ. A user profile 'Freddie' is logged in.

The main content area is titled 'Maintain User Account'. It displays a table of user accounts. A green message bar at the top of the table area says 'Record(s) added.'.

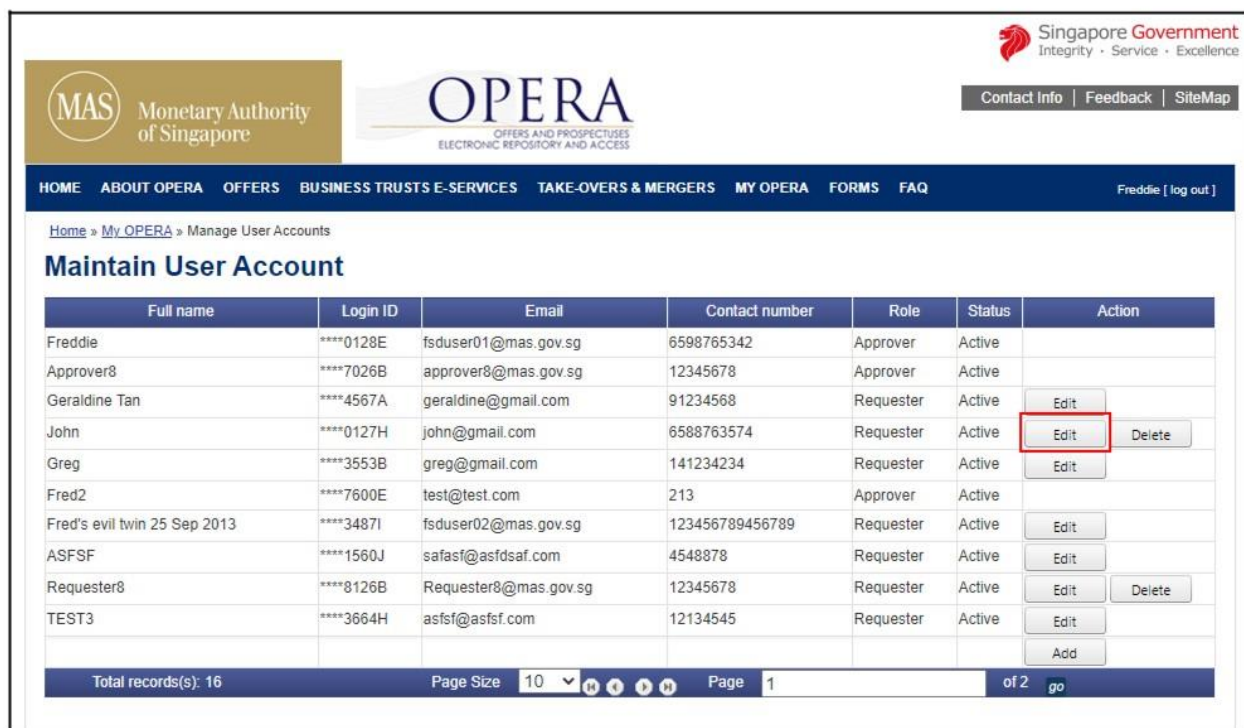
Full name	Login ID	Email	Contact number	Role	Status	Action
Freddie	****0128E	fsduser01@mas.gov.sg	6598765342	Approver	Active	
Approver8	****7026B	approver8@mas.gov.sg	12345678	Approver	Active	
Geraldine Tan	****4567A	geraldine@gmail.com	91234568	Requester	Active	<input type="button" value="Edit"/>
John	****0127H	john@gmail.com	6588763574	Requester	Active	<input type="button" value="Edit"/> <input type="button" value="Delete"/>
Greg	****3553B	greg@gmail.com	141234234	Requester	Active	<input type="button" value="Edit"/>
Fred2	****7600E	test@test.com	213	Approver	Active	
Fred's evil twin 25 Sep 2013	****3487I	fsduser02@mas.gov.sg	123456789456789	Requester	Active	<input type="button" value="Edit"/>
ASFSF	****1560J	safast@asfsf.com	4548878	Requester	Active	<input type="button" value="Edit"/>
Requester8	****8126B	Requester8@mas.gov.sg	12345678	Requester	Active	<input type="button" value="Edit"/> <input type="button" value="Delete"/>
TEST3	****3664H	asfsf@asfsf.com	12134545	Requester	Active	<input type="button" value="Edit"/>
						<input type="button" value="Add"/>

At the bottom of the table, it shows 'Total records(s): 16'. The page size is set to 10, and it is page 1 of 2.

Figure 2.2-4 User account added successfully

- The message "Record(s) added" will be displayed once the user account has been added successfully. See **Figure 2.2-4**





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Home » My OPERA » Manage User Accounts

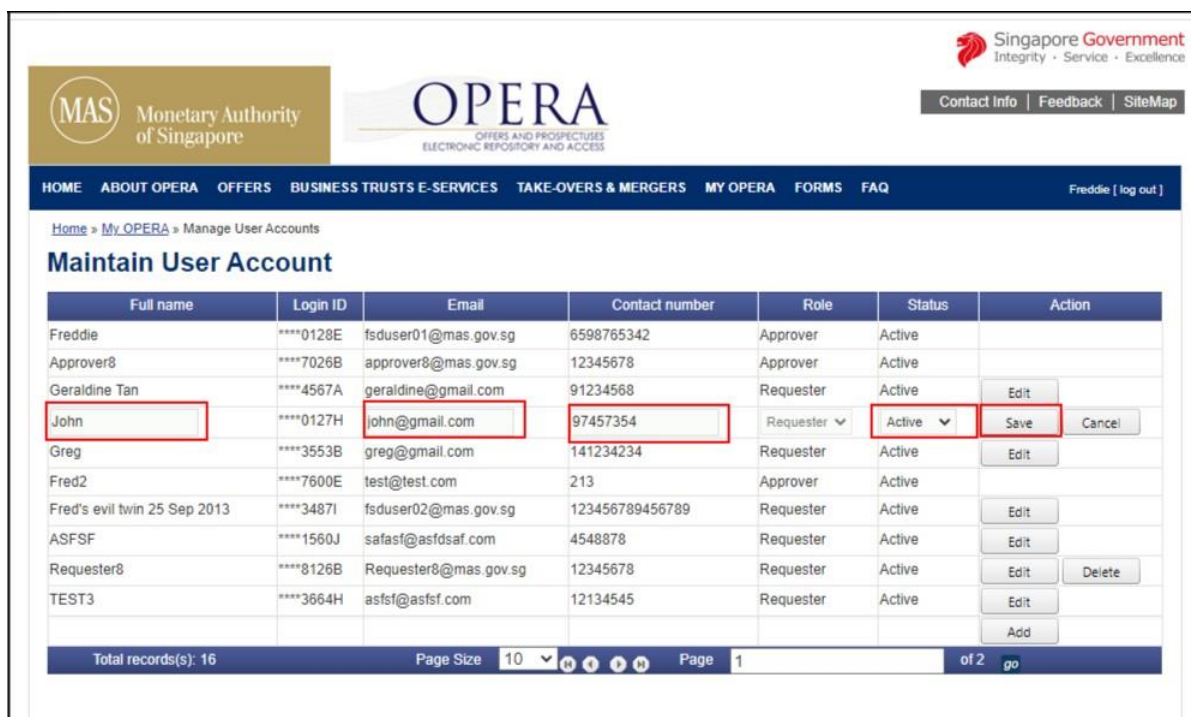
### Maintain User Account

Full name	Login ID	Email	Contact number	Role	Status	Action
Freddie	****0128E	fsduser01@mas.gov.sg	6598765342	Approver	Active	
Approver8	****7026B	approver8@mas.gov.sg	12345678	Approver	Active	
Geraldine Tan	****4567A	geraldine@gmail.com	91234568	Requester	Active	Edit
John	****0127H	john@gmail.com	6588763574	Requester	Active	Edit Delete
Greg	****3553B	greg@gmail.com	141234234	Requester	Active	Edit
Fred2	****7600E	test@test.com	213	Approver	Active	
Fred's evil twin 25 Sep 2013	****3487I	fsduser02@mas.gov.sg	123456789456789	Requester	Active	Edit
ASFSF	****1560J	safasf@asfsaf.com	4548878	Requester	Active	Edit
Requester8	****8126B	Requester8@mas.gov.sg	12345678	Requester	Active	Edit Delete
TEST3	****3664H	asfsf@asfsf.com	12134545	Requester	Active	Edit Add

Total records(s): 16 Page Size 10 Page 1 of 2 go

Figure 2.2-5 Edit User Account

6. To edit a user account, click on  . See Figure 2.2-5



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Home » My OPERA » Manage User Accounts


### Maintain User Account

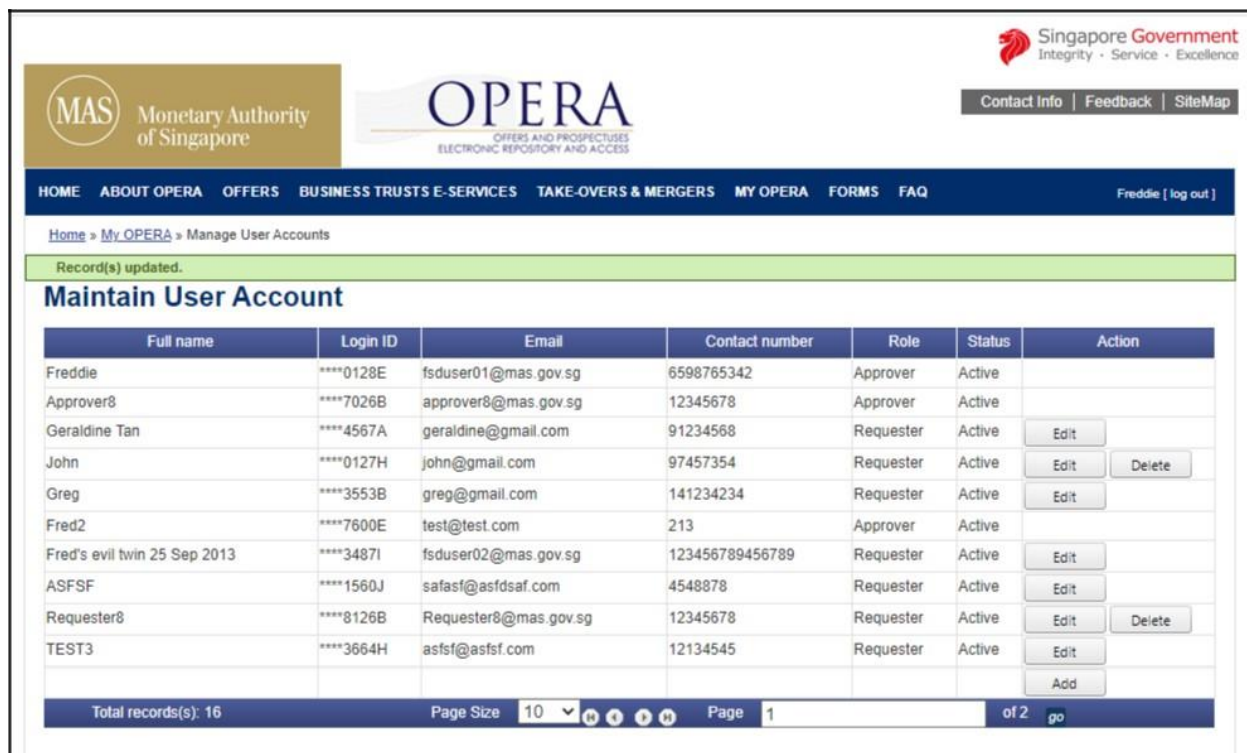
Full name	Login ID	Email	Contact number	Role	Status	Action
Freddie	****0128E	fsduser01@mas.gov.sg	6598765342	Approver	Active	
Approver8	****7026B	approver8@mas.gov.sg	12345678	Approver	Active	
Geraldine Tan	****4567A	geraldine@gmail.com	91234568	Requester	Active	Edit
John	****0127H	john@gmail.com	97457354	Requester	Active	Save Cancel
Greg	****3553B	greg@gmail.com	141234234	Requester	Active	Edit
Fred2	****7600E	test@test.com	213	Approver	Active	
Fred's evil twin 25 Sep 2013	****3487I	fsduser02@mas.gov.sg	123456789456789	Requester	Active	Edit
ASFSF	****1560J	safasf@asfsaf.com	4548878	Requester	Active	Edit
Requester8	****8126B	Requester8@mas.gov.sg	12345678	Requester	Active	Edit Delete
TEST3	****3664H	asfsf@asfsf.com	12134545	Requester	Active	Edit Add

Total records(s): 16 Page Size 10 Page 1 of 2 go

Figure 2.2-6 Edit User Account

7. Edit the full name, email, contact number and/or status of the user. See **Figure 2.2-6**

8. Click on . See **Figure 2.2-6**



The screenshot shows the MAS OPERA web interface. At the top, there are logos for MAS (Monetary Authority of Singapore) and OPERA (Offers and Prospectuses Electronic Repository and Access), along with the Singapore Government logo. A navigation bar includes links like HOME, ABOUT OPERA, OFFERS, BUSINESS TRUSTS E-SERVICES, TAKE-OVERS & MERGERS, MY OPERA, FORMS, and FAQ. The user 'Freddie' is logged in.

A green banner at the top of the content area displays the message: **Record(s) updated.**

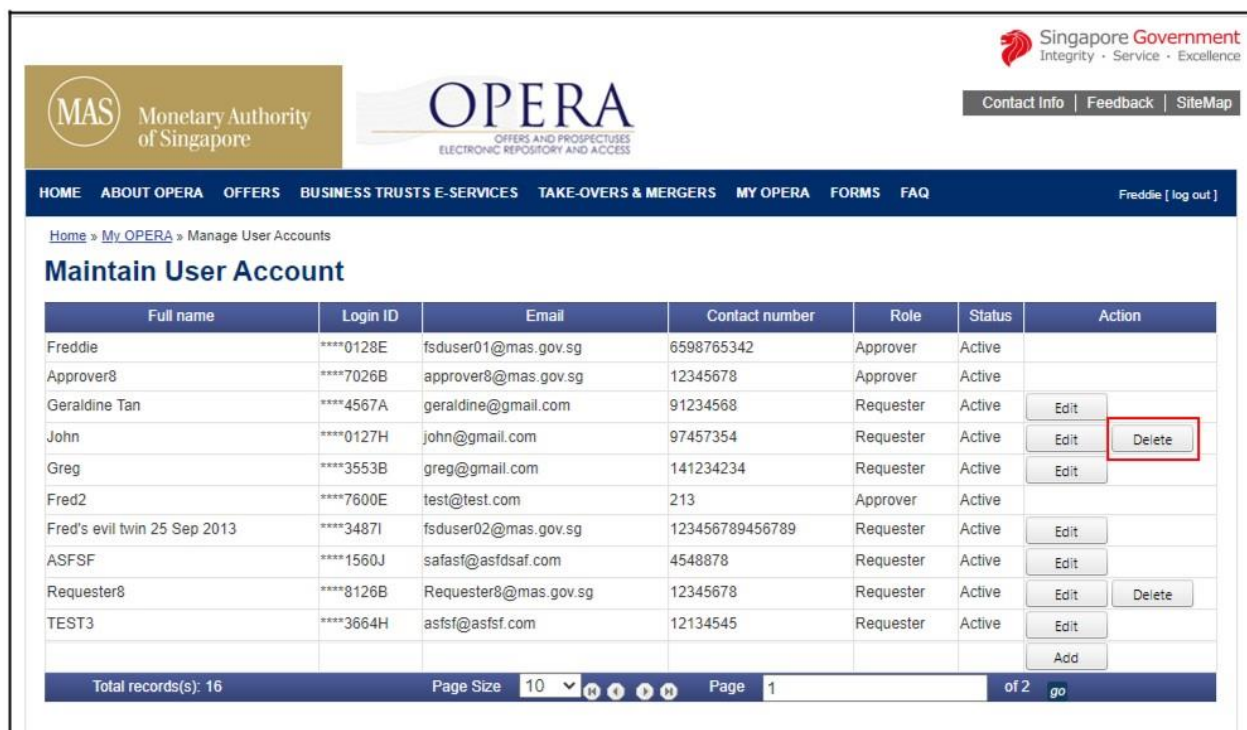
Below the banner is the section **Maintain User Account**, which contains a table of user accounts. The table has columns for Full name, Login ID, Email, Contact number, Role, Status, and Action.

Full name	Login ID	Email	Contact number	Role	Status	Action
Freddie	****0128E	fsduser01@mas.gov.sg	6598765342	Approver	Active	
Approver8	****7026B	approver8@mas.gov.sg	12345678	Approver	Active	
Geraldine Tan	****4567A	geraldine@gmail.com	91234568	Requester	Active	<input type="button" value="Edit"/>
John	****0127H	john@gmail.com	97457354	Requester	Active	<input type="button" value="Edit"/> <input type="button" value="Delete"/>
Greg	****3553B	greg@gmail.com	141234234	Requester	Active	<input type="button" value="Edit"/>
Fred2	****7600E	test@test.com	213	Approver	Active	
Fred's evil twin 25 Sep 2013	****3487I	fsduser02@mas.gov.sg	123456789456789	Requester	Active	<input type="button" value="Edit"/>
ASFSF	****1560J	safasf@asfsaf.com	4548878	Requester	Active	<input type="button" value="Edit"/>
Requester8	****8126B	Requester8@mas.gov.sg	12345678	Requester	Active	<input type="button" value="Edit"/> <input type="button" value="Delete"/>
TEST3	****3664H	asfsf@asfsf.com	12134545	Requester	Active	<input type="button" value="Edit"/>
						<input type="button" value="Add"/>

At the bottom of the table, there is a summary bar showing: Total records(s): 16, Page Size: 10, Page: 1 of 2, and a 'go' button.

Figure 2.2-7 User account edited successfully

9. The message "Record(s) updated" will be displayed once the user account has been edited successfully. See **Figure 2.2-7**



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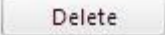
Home » My OPERA » Manage User Accounts

### Maintain User Account

Full name	Login ID	Email	Contact number	Role	Status	Action
Freddie	****0128E	fsduser01@mas.gov.sg	6598765342	Approver	Active	
Approver8	****7026B	approver8@mas.gov.sg	12345678	Approver	Active	
Geraldine Tan	****4567A	geraldine@gmail.com	91234568	Requester	Active	Edit
John	****0127H	john@gmail.com	97457354	Requester	Active	Edit Delete
Greg	****3553B	greg@gmail.com	141234234	Requester	Active	Edit
Fred2	****7600E	test@test.com	213	Approver	Active	
Fred's evil twin 25 Sep 2013	****3487I	fsduser02@mas.gov.sg	123456789456789	Requester	Active	Edit
ASFSF	****1560J	safast@asfdfsaf.com	4548878	Requester	Active	Edit
Requester8	****8126B	Requester8@mas.gov.sg	12345678	Requester	Active	Edit Delete
TEST3	****3664H	asfsf@asfsf.com	12134545	Requester	Active	Edit
						Add

Total records(s): 16 Page Size 10 Page 1 of 2 go

Figure 2.2-8 Delete User Account

10. To delete a user account, click on . See **Figure 2.2-8**

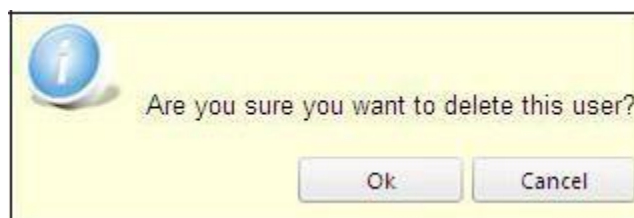
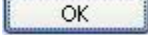


Figure 2.2-9 Confirmation to delete user account

11. To confirm the deletion, click on . See **Figure 2.2-9**



The screenshot shows the MAS OPERA web interface. At the top, there are logos for MAS (Monetary Authority of Singapore) and OPERA (Offers and Prospectuses Electronic Repository and Access), along with the Singapore Government logo. A navigation bar includes links like HOME, ABOUT OPERA, OFFERS, BUSINESS TRUSTS E-SERVICES, TAKE-OVERS & MERGERS, MY OPERA, FORMS, and FAQ. A user profile 'Freddie' is logged in.

The main content area displays a message 'Record(s) deleted.' in a green box. Below this is the 'Maintain User Account' section, which contains a table of user accounts. The table has columns for Full name, Login ID, Email, Contact number, Role, Status, and Action. The table lists 15 records, including Freddie, Approver8, Geraldine Tan, Greg, Fred2, Fred's evil twin, ASFSF, Requester8, TEST3, and SAFSF. Each record has an 'Edit' button, and the last record has a 'Delete' button.

Full name	Login ID	Email	Contact number	Role	Status	Action
Freddie	****0128E	fsduser01@mas.gov.sg	6598765342	Approver	Active	
Approver8	****7026B	approver8@mas.gov.sg	12345678	Approver	Active	
Geraldine Tan	****4567A	geraldine@gmail.com	91234568	Requester	Active	Edit
Greg	****3553B	greg@gmail.com	141234234	Requester	Active	Edit
Fred2	****7600E	test@test.com	213	Approver	Active	
Fred's evil twin 25 Sep 2013	****3487I	fsduser02@mas.gov.sg	123456789456789	Requester	Active	Edit
ASFSF	****1560J	safast@asfsaf.com	4548878	Requester	Active	Edit
Requester8	****8126B	Requester8@mas.gov.sg	12345678	Requester	Active	Edit Delete
TEST3	****3664H	asfsf@asfsf.com	12134545	Requester	Active	Edit
SAFSF	****5892G	sadfsf@asfsf.com	45487878	Requester	Active	Edit
						Add

At the bottom of the table, there is a summary: 'Total records(s): 15'. Below the table, there is a pagination bar showing 'Page Size 10', 'Page 1 of 2', and a 'go' button.

Figure 2.2-10 User account deleted successfully

- A message stating "Record(s) deleted" will be displayed once the user account is deleted successfully. See **Figure 2.2-10**

## 2.3 CIS Delegation to UEN

📖 Fund managers or responsible persons will be able to delegate the task of making submissions for a CIS on OPERA to Agents (e.g. law firms). Only authorised users who are assigned the 'Approver' role are allowed to perform this function. Refer to section 2.1 – Log in for log-in steps. (To have an 'Approver' user account created, please contact MAS.)

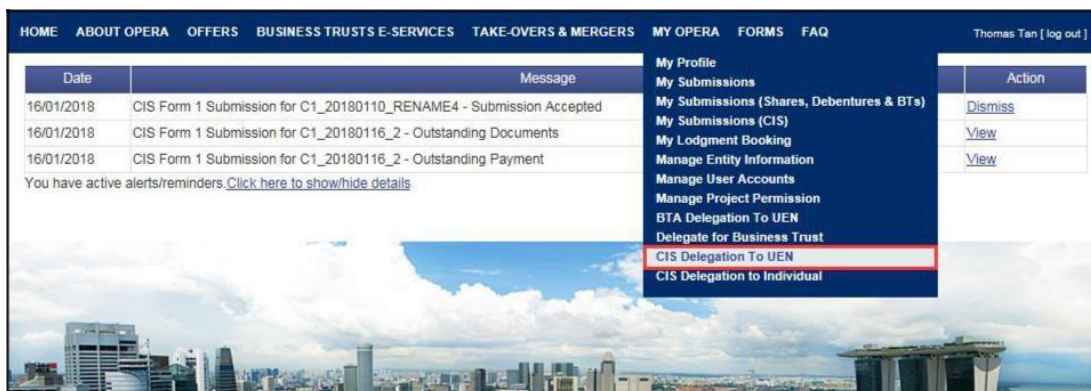


Figure 2.3-1 Homepage of MAS OPERA

1. After successful log-in, click **CIS Delegation To UEN** under **MY OPERA**. See **Figure 2.3-1**.

The screenshot shows the 'Delegation to Agent UEN for Lodgment of CIS Form 6 and Form 1-A/2-A' page. The page has a breadcrumb trail: Home > My OPERA > CIS Delegation To UEN. Below the breadcrumb trail, there is a form with the following fields: Scheme Name (text input), Scheme Type (dropdown menu with 'All' selected), and Umbrella Fund Name (text input). A red box highlights the 'Search' button at the bottom of the form.

Figure 2.3-2 Delegation to Agent UEN for Lodgment of CIS Form 6 and Form 1-A/2-A

2. Enter the search criteria and click on **Search**. See **Figure 2.3-2**.

HOME ABOUT OPERA OFFERS BUSINESS TRUSTS E-SERVICES TAKE-OVERS & MERGERS MY OPERA FAQ Thomas Tan [ log out ]

Home » My OPERA » Delegation to Agent UEN for Lodgment of CIS Form 6 and Form 1-A/2-A

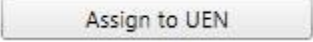
Scheme Name : fund  
Scheme Type : All  
Umbrella Fund Name : fund

Search

	Scheme Num	Scheme Name	Umbrella Fund Name	Scheme Type	Delegate To
<input type="checkbox"/>	CIS-A-201308-0002	ANGEL LOCAL FUND	SG FUND	Authorised Scheme	00002000A,00004000B,00005000L
<input type="checkbox"/>	CIS-1-201308-2132	DRINK COFFEE SHIOK FUND	DCS FUND	Recognised Scheme	

Assign to UEN

Figure 2.3-3 Delegation to Agent UEN for Lodgement of CIS Form 6 and Form 1-A/2-A – Search Result

3. Select the schemes for delegation and click on . See **Figure 2.3-3**.

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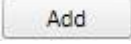
Delegation to Agent UEN for CIS Lodgment of Form 6 and Form 1-A/2-A

Scheme Name(s): ANGEL LOCAL FUND, DRINK COFFEE SHIOK FUND

Delegate to

UEN	Name	Action
		Add

Figure 2.3-4 Delegation to Agent UEN for CIS Lodgement of Form 6 and Form 1-A/ 2-A

4. Click on  to delegate. See **Figure 2.3-4**.

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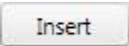
### Delegation to Agent UEN for CIS Lodgment of Form 6 and Form 1-A/2-A

Scheme Name(s): ANGEL LOCAL FUND, DRINK COFFEE SHIOK FUND

Delegate to

UEN	Name	Action
<input type="text"/>		Insert Cancel

Figure 2.3-5 Delegation to Agent UEN for CIS Lodgement of Form 6 and Form 1-A/ 2-A – New Delegate

5. Enter the UEN of the Agent to delegate and click on . See **Figure 2.3-5**.

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### Delegation to Agent UEN for CIS Lodgment of Form 6 and Form 1-A/2-A

Scheme Name(s): ANGEL LOCAL FUND, DRINK COFFEE SHIOK FUND

Delegate to

UEN	Name	Action
00001000J	Law Firm A	Delete
		Add

Figure 2.3-6 Delegation to Agent Successful

6. If the delegation is successful, the name of the delegated agent will be displayed. See **Figure 2.3-6**.

## 2.4 CIS Delegation to Individual

Within an Agent entity, the 'Approver' will have the ability to manage the permissions of each 'Requester'. Only authorised users who are assigned the 'Approver' role are allowed to perform this function. Refer to section 2.1 – Log in for log-in steps. (To have an 'Approver' user account created, please contact MAS.)

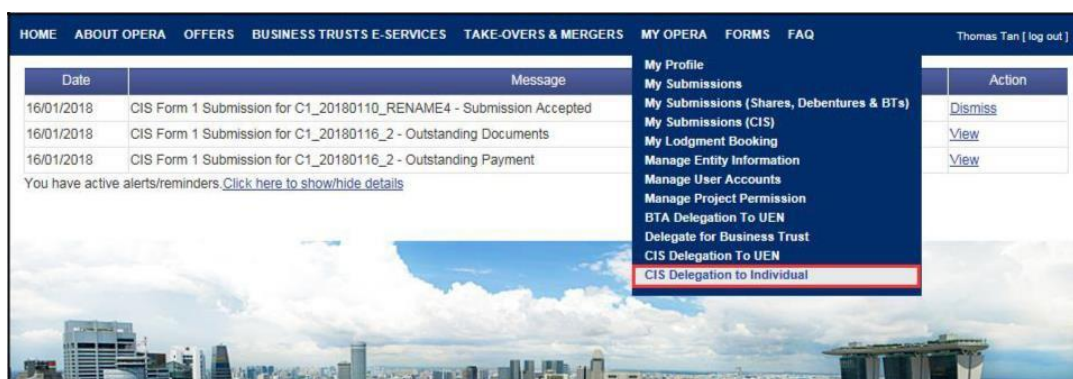


Figure 2.4-1 Homepage of MAS OPERA

1. After successful log-in, click **CIS Delegation to Individual** under **MY OPERA**. See Figure 2.4-1.

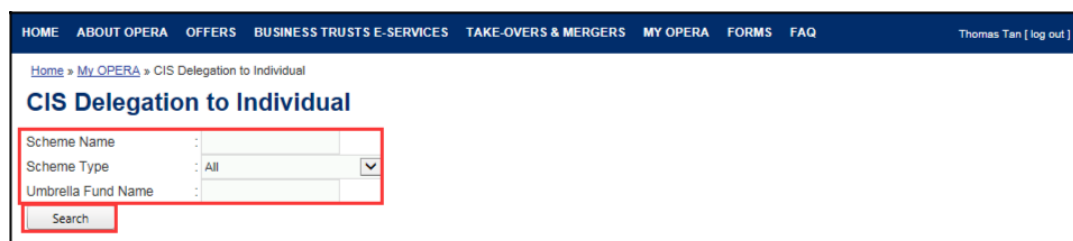


Figure 2.4-2 CIS Delegation to Individual

2. Enter the search criteria and click on **Search**. See **Figure 2.4-2**.

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Home » My OPERA » CIS Delegation to Individual

### CIS Delegation to Individual

Scheme Name :

Scheme Type : All

Umbrella Fund Name :

	Scheme Name	Umbrella Fund Name	Scheme Type	Delegate To
<input type="checkbox"/>	C2_20130604_TEST1	UMBRELLA FUND ABC	Recognised Scheme	
<input checked="" type="checkbox"/>	ANGEL LOCAL FUND	SG FUND	Authorised Scheme	
<input type="checkbox"/>	CIS1_1715		Authorised Scheme	
<input checked="" type="checkbox"/>	ALPHA R LOCAL INVESTMENT	UMBRELLA FUND 20130325	Authorised (REIT) Scheme	
<input type="checkbox"/>	CIS2-TEST-Q001testchangeschemename	Umbrella fund abc	Recognised Scheme	

Figure 2.4-3 CIS Delegation to Individual – Search Result

3. Select the schemes for designation and click on . See **Figure 2.4-3**.

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### CIS Delegation to Individual

Scheme Name(s): ALPHA R LOCAL INVESTMENT, ANGEL LOCAL FUND

Delegate to

	Name
<input type="checkbox"/>	Gordan Goh TY
<input type="checkbox"/>	Katherine Chua
<input type="checkbox"/>	Thomas Tan

Figure 2.4-4 CIS Delegation to Individual – Select Individual(s)

4. Select the individuals to designate and click on . See **Figure 2.4-4**.

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Record(s) updated.

### CIS Delegation to Individual

Scheme Name(s): ALPHA R LOCAL INVESTMENT, ANGEL LOCAL FUND

Delegate to

	Name
<input checked="" type="checkbox"/>	Gordan Goh TY
<input checked="" type="checkbox"/>	Katherine Chua
<input type="checkbox"/>	Thomas Tan


Figure 2.4-5 CIS Delegation to Individual Successful

5. A message stating 'Record(s) updated' will be displayed when the designation is successfully. See **Figure 2.4-5**.



## 2.5 Create Project for Authorisation / Recognition of Scheme (Form 1/2)

This section will show you how to create a project to apply for authorisation / recognition of the scheme.

 Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

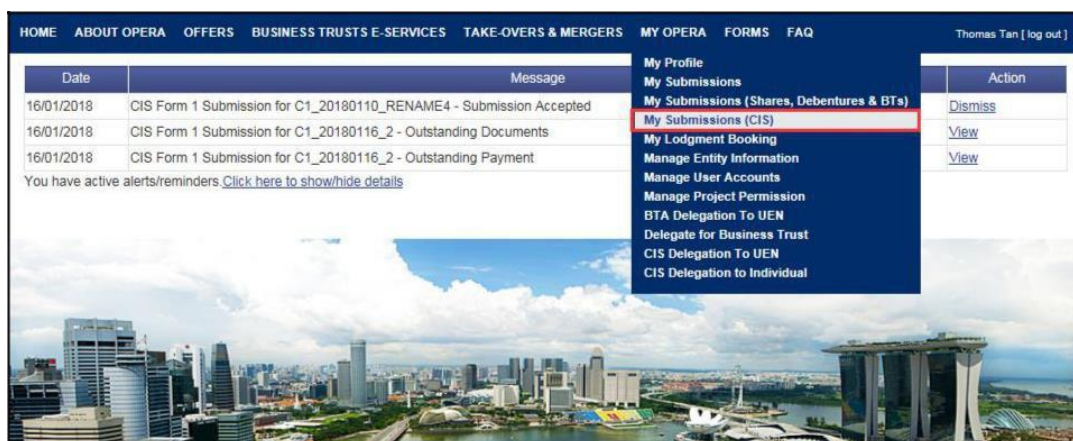


Figure 2.5-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.5-1**

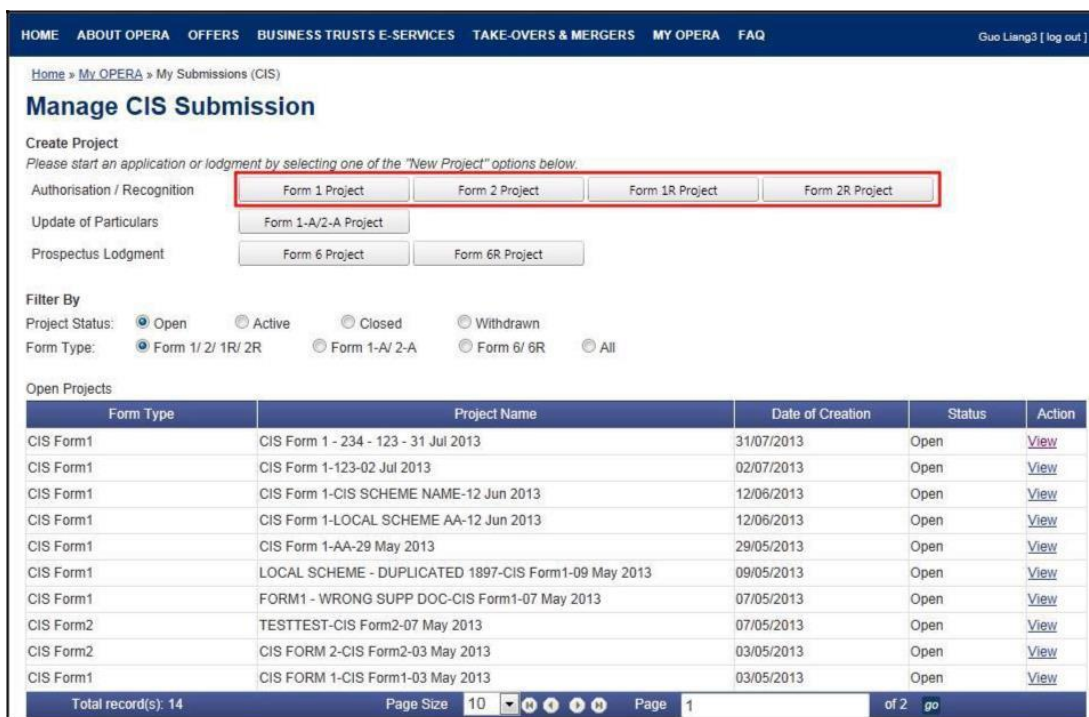


Figure 2.5-2 Manage CIS Submission

2. To create a CIS Form 1 project to apply for authorisation of a scheme, click on

Form 1 Project

To create a CIS Form 2 to apply for recognition of a foreign scheme, click on

Form 2 Project

To create a CIS Form 1 project to apply for authorisation of a REIT, click on

Form 1R Project

To create a CIS Form 2 to apply for recognition of a foreign REIT, click on

Form 2R Project

. See **Figure 2.5-2**.

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### CIS Form Project Information

Instruction » Project Information » Project Created »

Please enter the details of the scheme and set the project permissions.  
Click [here](#) to download application/ lodgment forms.

Scheme Name : THIRD STATE SG FU  
Umbrella Fund Name : THIRD STATE TRUST

Project Permissions

Available users  
Gordon Goh  
Percy Peh

Authorised User  
Thomas Tan

Create Project

Figure 2.5-3 Create CIS Form 1 project

3. Enter the 'Scheme Name' and 'Umbrella Fund Name'. See **Figure 2.5-3**.

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### CIS Form Project Information

Instruction » Project Information » Project Created »

Please enter the details of the scheme and set the project permissions.  
Click [here](#) to download application/ lodgment forms.

Scheme Name : THIRD STATE SG FU  
Umbrella Fund Name : THIRD STATE TRUST

Project Permissions

Available users  
Gordon Goh  
Percy Peh

Authorised User  
Thomas Tan

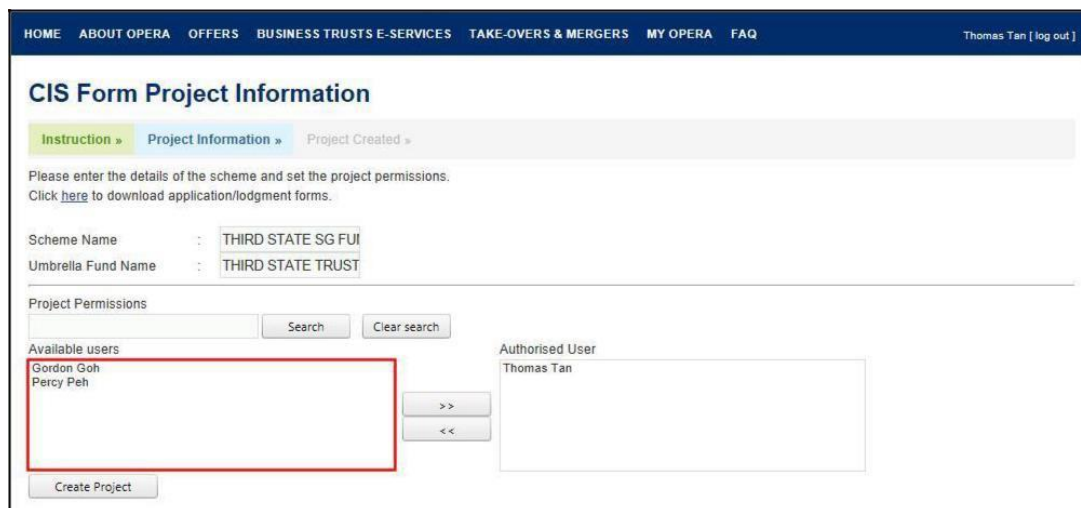
Create Project

Figure 2.5-4 Search for available users




- To search for available users who may be granted access to the project, enter the user name in the 'search' textbox. See **Figure 2.5-4**.

- Click . See **Figure 2.5-4**.

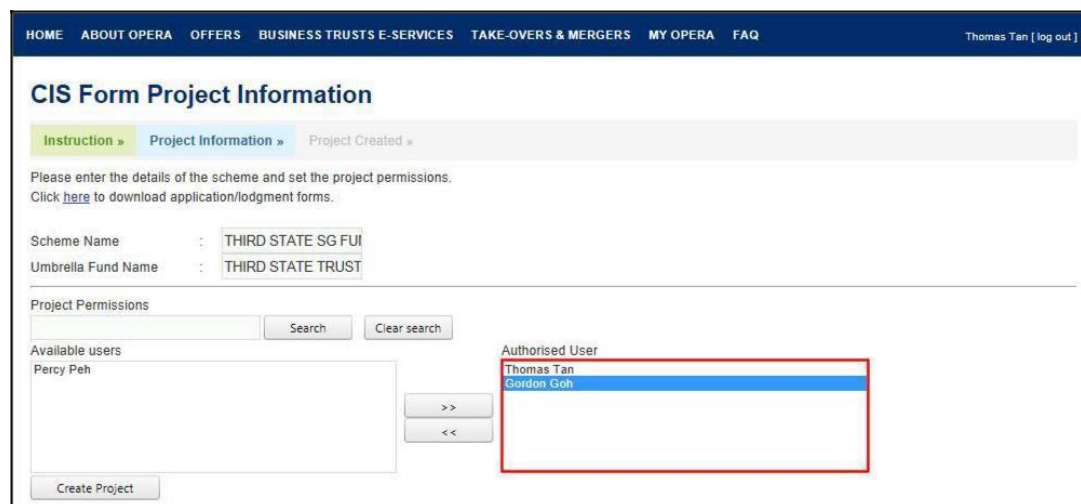


The screenshot shows the 'CIS Form Project Information' page. The 'Project Information' tab is active. The 'Scheme Name' is 'THIRD STATE SG FUI' and the 'Umbrella Fund Name' is 'THIRD STATE TRUST'. The 'Project Permissions' section has a search bar and a 'Search' button. The 'Available users' list contains 'Gordon Goh' and 'Percy Peh'. The 'Authorised User' list contains 'Thomas Tan'. The 'Search' button is highlighted.

Figure 2.5-5 Add authorised users

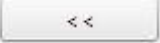
- To grant an available user access to the project, select the user name in the 'Available users' list and click . The name(s) of the user(s) will be displayed in the 'Authorised User' list. See **Figure 2.5-5**.

**Note:** Only users listed in the 'Authorised User' list will be able to access the project, and make submissions as part of the project.



The screenshot shows the 'CIS Form Project Information' page. The 'Project Information' tab is active. The 'Scheme Name' is 'THIRD STATE SG FUI' and the 'Umbrella Fund Name' is 'THIRD STATE TRUST'. The 'Project Permissions' section has a search bar and a 'Search' button. The 'Available users' list contains 'Percy Peh'. The 'Authorised User' list contains 'Thomas Tan' and 'Gordon Goh'. The 'Remove' button is highlighted.

Figure 2.5-6 Remove authorised users

7. To deny authorised user(s) access to the project, select the user name in the 'Authorised User' list. Click . The name of the user(s) will be removed from the 'Authorised User' list. For example, in Figure 2.5-6, the user "Gordon Goh" may be removed from the 'Authorised User' list.

**Note:** You can still manage permissions for the project after the project is created. See paragraph 10 of this section below.

8. Click on .

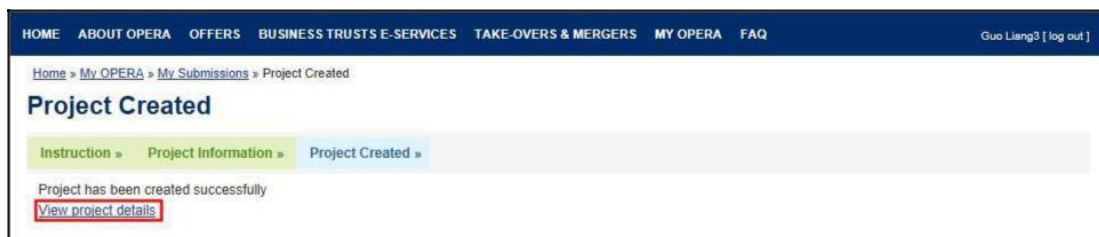


Figure 2.5-7 Project created successfully

9. A confirmation page will be shown when the project is successfully created. See Figure 2.5-7. Click on 'View project details' to proceed with the authorization/ recognition of the scheme.



Figure 2.5-8 Project Details

10. After clicking 'View project details', the project information page will be shown. See **Figure 2.5-8**.

You may now proceed to lodge documents by clicking on 'Start Submission' to submit an AEM Form 1 (refer to section 2.7 – Submit AEM Form 1/ 2). Please refer to section 2.6 on how to fill out an AEM Form 1 and AEM Form 2.

You may also amend the list of authorised users by clicking on 'Manage Permissions'.

## 2.6 Download/ Fill up AEM Form 1 / Form 2

This section will show you how to download and fill out AEM Form 1 / Form 2 to authorise / recognise a scheme.

- You need Adobe Reader v8.2 or a later version to fill in Form 1. You may download the Adobe Reader software from <http://get.adobe.com/reader/>.

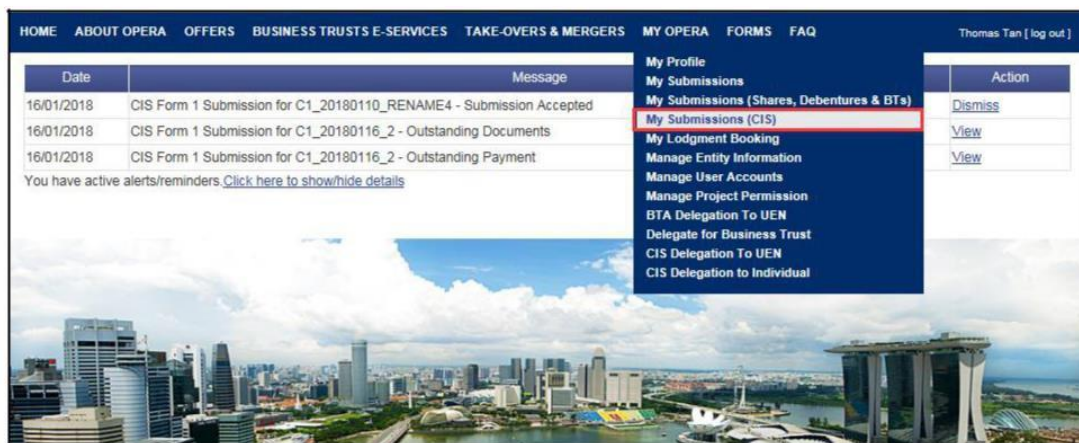


Figure 2.6-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.6-1**.

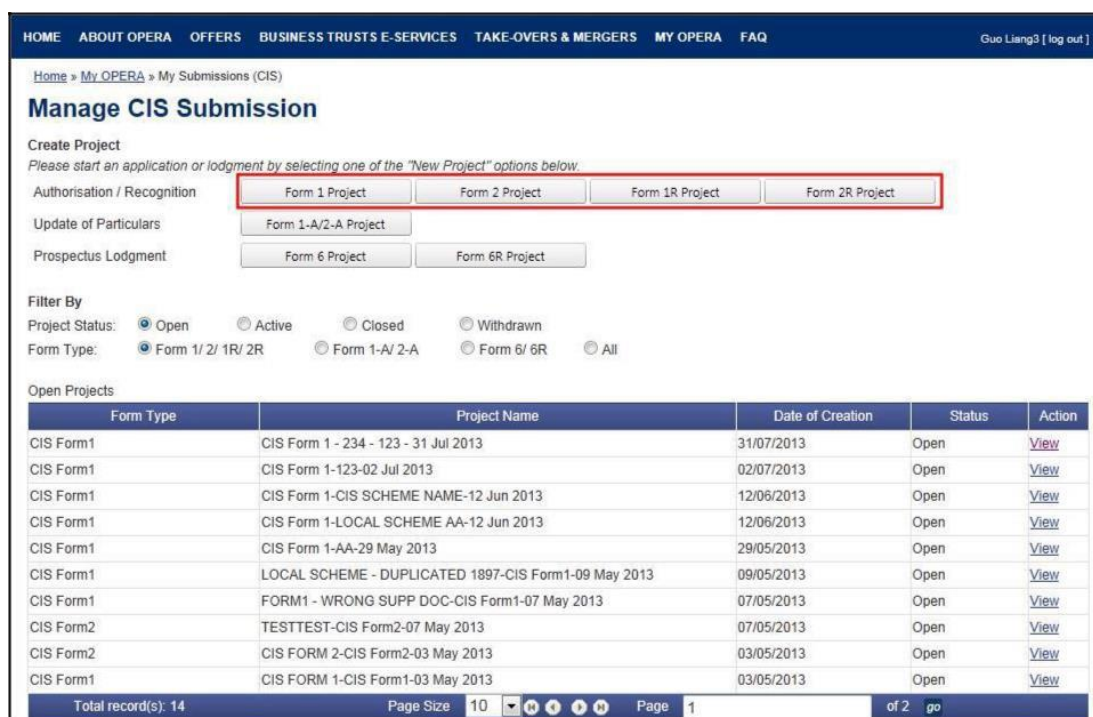


Figure 2.6-2 Manage CIS Submission

2. To create a CIS Form 1 project to apply for authorisation of a scheme, click on

. See **Figure 2.6-2**.

here to download application/ lodgment forms.' Below this, there are two dropdown menus: 'Scheme Name' with 'THIRD STATE SG FUI' and 'Umbrella Fund Name' with 'THIRD STATE TRUST'. Below these is a 'Project Permissions' section with a search bar and 'Search' and 'Clear search' buttons. To the left of the search bar is a list of 'Available users' containing 'Gordon Goh' and 'Percy Peh'. To the right is an 'Authorised User' box containing 'Thomas Tan'. Between the two lists are '>>' and '<<' buttons. At the bottom left is a 'Create Project' button." data-bbox="162 161 823 402"/>

Figure 2.6-3 Create CIS Form 1 project

3. Click on [here](#) to download Form 1/ 2. The forms have been designed using the Adobe Experience Manager (“AEM”) technology. See **Figure 2.6-3**.



Name	Download	Instructions
CIS Form1		<a href="#">Link to download forms</a>

Figure 2.6-4 Download page

**Note:** Depending on the type of project you are creating, OPERA II will provide a link to the correct download page where you can download the relevant AEM Form.

4. Click on . See **Figures 2.6-4**.

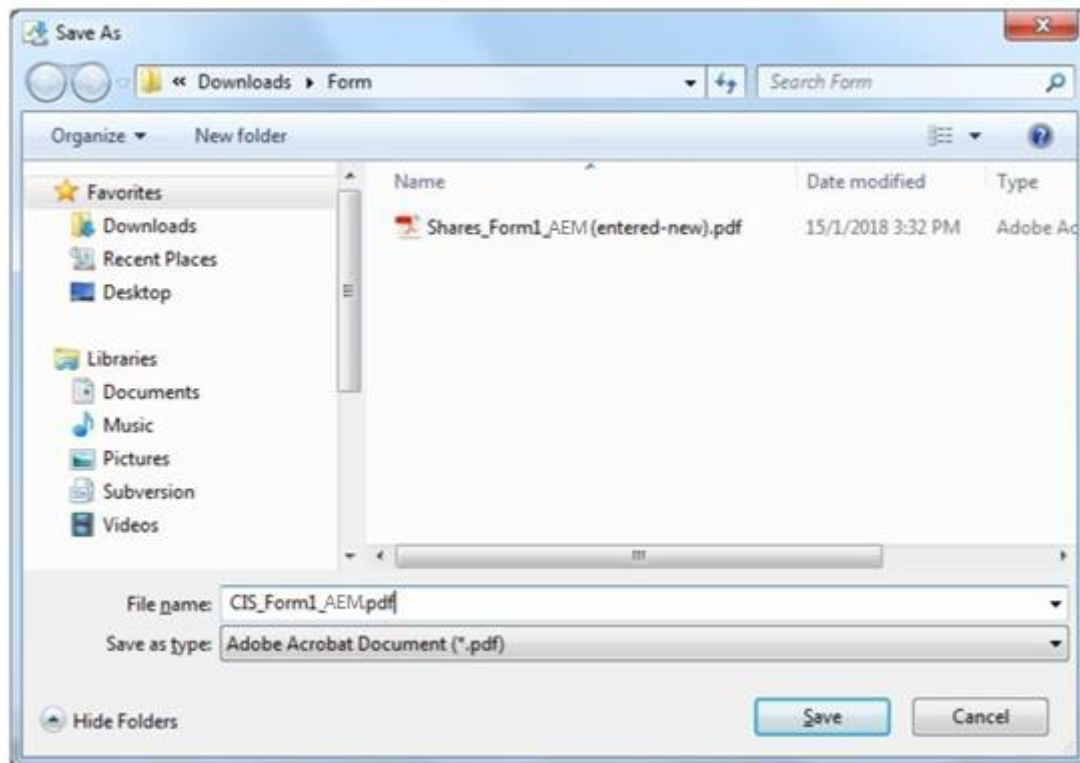



Figure 2.6-5 Windows browser

5. Browse to desired folder and click  to save the form in that folder. See **Figure 2.6-5**.
6. Ensure that Adobe Reader has been installed. Double-click to open the saved form.



<input type="button" value="Edit"/>	
<p>SECURITIES AND FUTURES ACT (CHAPTER 289) SECURITIES AND FUTURES (OFFERS OF INVESTMENTS) (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS 2005 <b>APPLICATION FOR AUTHORISATION OF A COLLECTIVE INVESTMENT SCHEME UNDER SECTION 286(1)</b></p>	<p>Form <b>1</b></p>
<p><b>I EXPLANATORY NOTES:</b></p> <ol style="list-style-type: none"> <li>1. Please read the explanatory notes and questions carefully before completing the application form.</li> <li>2. All questions must be answered. If a question is not applicable, please mark "N.A." in the space provided. Should there be insufficient space for your answers, please attach annex(es) which should be identified as such and signed by the signatories to this application.</li> <li>3. Please tick (✓) in the relevant boxes where appropriate.</li> <li>4. Unless stated otherwise, the information provided in the application form must be correct as at a date not earlier than 14 days before the date of application.</li> <li>5. All dollar amounts are to be stated in Singapore dollars.</li> <li>6. This application is to be made by the manager of the collective investment scheme constituted in Singapore as a unit trust seeking authorisation under section 286(1) of the Securities and Futures Act (Cap. 289), and signed by 2</li> </ol>	

Figure 2.6-6 AEM Form 1 – Edit button

<input type="button" value="Save"/> <input type="button" value="Validate"/>	
<p>SECURITIES AND FUTURES ACT (CHAPTER 289) SECURITIES AND FUTURES (OFFERS OF INVESTMENTS) (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS 2005 <b>APPLICATION FOR AUTHORISATION OF A COLLECTIVE INVESTMENT SCHEME UNDER SECTION 286(1)</b></p>	<p>Form <b>1</b></p>
<p><b>I EXPLANATORY NOTES:</b></p> <ol style="list-style-type: none"> <li>1. Please read the explanatory notes and questions carefully before completing the application form.</li> <li>2. All questions must be answered. If a question is not applicable, please mark "N.A." in the space provided. Should there be insufficient space for your answers, please attach annex(es) which should be identified as such and signed by the signatories to this application.</li> <li>3. Please tick (✓) in the relevant boxes where appropriate.</li> <li>4. Unless stated otherwise, the information provided in the application form must be correct as at a date not earlier than 14 days before the date of application.</li> <li>5. All dollar amounts are to be stated in Singapore dollars.</li> <li>6. This application is to be made by the manager of the collective investment scheme constituted in Singapore as a unit trust seeking authorisation under section 286(1) of the Securities and Futures Act (Cap. 289), and signed by 2</li> </ol>	

Figure 2.6-7 AEM Form 1 – Save & Validate buttons

7. Click **Edit** to enable the editing of the form. The 'Edit' button will be replaced by **Save** and **Validate** buttons. See **Figure 2.6-6** and **Figure 2.6-7**

<b>II APPLICATION IS HEREBY MADE FOR AUTHORISATION UNDER SECTION 286(1) OF THE SECURITIES AND FUTURES ACT (CAP.289).</b>	
<b>III INFORMATION ON THE COLLECTIVE INVESTMENT SCHEME:</b>	
1. Name of the collective investment scheme ("the scheme") <sup>1</sup> : *	
2. If the scheme is/is to be a sub-fund <sup>2</sup> of an umbrella fund, state the name of the umbrella fund:	
3.(a) Indicate the structure of the umbrella fund or scheme:	<input type="checkbox"/> Unit trust <input type="checkbox"/> Variable Capital Company ("VCC")
(b) Indicate the type of scheme <sup>3</sup> : *	<div> <input type="checkbox"/> Equity Fund      <input type="checkbox"/> Fund of Funds<sup>4</sup>  <input type="checkbox"/> Fixed Income Fund      <input type="checkbox"/> Hedge Fund/Hedge Fund of Funds  <input type="checkbox"/> Balanced Fund      <input type="checkbox"/> Index Fund  <input type="checkbox"/> Money Market Fund      <input type="checkbox"/> Property Fund  <input type="checkbox"/> Capital Guaranteed Fund      <input type="checkbox"/> Others: <input type="text"/> </div> <p>If the scheme is a capital guaranteed fund, please provide details on the guarantor including its credit rating, country of registration and regulatory authority.</p> <input type="text"/>
(c) Is the scheme an ESG fund? *	<input type="checkbox"/> Yes <input type="checkbox"/> No

Figure 2.6-8 Examples of mandatory fields

8. Fill out the form. All fields marked with asterisks are mandatory fields. See **Figure 2.6-8**.


14. If the trustee of the scheme intends to appoint any custodians for the scheme, state the name of the custodians:	<input type="text"/>	<input type="button" value="Add Section"/>
--	----------------------	--

Figure 2.6-9 If the trustee appoint custodians

9. If the trustee of the scheme appoints Custodian for the scheme, click on **Add Section**. See **Figure 2.6-9**.

14. If the trustee of the scheme intends to appoint any custodians for the scheme, state the name of the custodians:	<input type="text"/>	<input type="button" value="Add Section"/>
Name of custodian:	<input type="text"/>	<input type="button" value="X"/>

Figure 2.6-10 Delete custodians

10. To delete a Custodian, click . See **Figure 2.6-10**.



21. Does any person who has an interest<sup>4</sup> in 20% or more of the shares issued by the manager or its related corporations, also have an interest in 20% or more of the shares issued by the trustee of the scheme? \*

☐ Yes ☐ No

22. If the answer to above question 21 is "Yes", please set out for each such person the following details in the format below.

(a)	Name: *		
(b)	Company Registration No./ NRIC/Passport No.: *		
(c)	Country of Incorporation or Constitution: *		
(d)	Shares held in manager: *	Number <input type="text"/>	Class <input type="text"/>
(e)	Shares held in trustee: *	Number <input type="text"/>	Class <input type="text"/>

Figure 2.6-11 Person holding 20% or more of shares of manager and trustee if any person who has an interest of 20% or more of the shares issued?

11. If there is any person who has an interest in 20% or more of the shares issued by the manager, check on ☐ Yes. See Figure 2.6-11.

21. Does any person who has an interest<sup>4</sup> in 20% or more of the shares issued by the manager or its related corporations, also have an interest in 20% or more of the shares issued by the trustee of the scheme? \*

☒ Yes ☐ No

22. If the answer to above question 21 is "Yes", please set out for each such person the following details in the format below.

(a)	Name: *		
(b)	Company Registration No./ NRIC/Passport No.: *		
(c)	Country of Incorporation or Constitution: *		
(d)	Shares held in manager: *	Number <input type="text"/>	Class <input type="text"/>
(e)	Shares held in trustee: *	Number <input type="text"/>	Class <input type="text"/>

Figure 2.6-12 Add Section button

12. To add details of multiple persons who have an interest in 20% or more of the shares issued, click . See Figure 2.6-12.


			
(a)	Name: *		
(b)	Company Registration No./ NRIC/Passport No.: *		
(c)	Country of Incorporation or Constitution: *		
(d)	Shares held in manager: *	Number	Class
(e)	Shares held in trustee: *	Number	Class

Figure 2.6-13 Delete Section button

13. To delete a Person, click . See **Figure 2.6-13**.

**VIII MISCELLANEOUS:**

36. Please set out as annex(es) any additional information considered relevant or material to this application.

Document Type	Description
Trust Deed	Trust Deed
Annex	Attachment For Q12 Manager Confirmation
Annex	Attachment For Q12 Trustee Confirmation

Add Row

Figure 2.6-14 Miscellaneous

14. All the documents to be attached with this Form 1 will be listed in Section VIII: Miscellaneous.

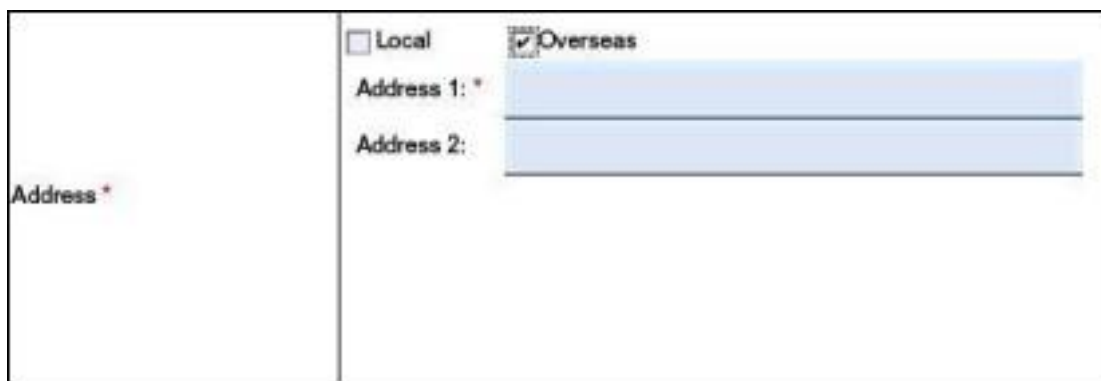
To add more annexes or supporting documents, click on 

Add Row

. See **Figure 2.6-14**.

<b>Address *</b>	<input checked="" type="checkbox"/> Local <input type="checkbox"/> Overseas	
	Block/ House No: *	123
	Street name: *	Street Name III
	Unit: #	10 - 05
	Building name:	Building III
	Singapore *	589141 (Postal Code)

Figure 2.6-15 Example of local address



Address \*

☐ Local ☒ Overseas

Address 1: \*

Address 2:

Figure 2.6-16 Example of overseas address

15. For Address type, select the 'Local' or 'Overseas' checkbox before filling up the address fields. See **Figure 2.6-15** and **Figure 2.6-16**.



Figure 2.6-17 Example of an error message

16. When you have completed filling out the form, click the **Validate** button located on the first or last page of the form. The system will validate all entered data, and you will be prompted with an error message if there is any invalid data (for instance, if you have not entered data into mandatory fields). See **Figure 2.6-17**.

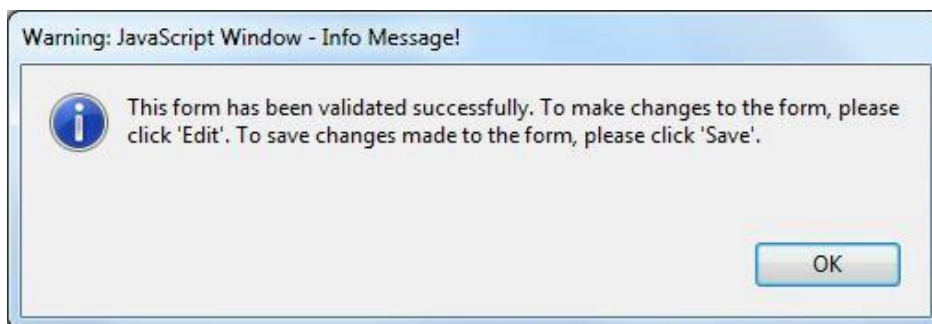


Figure 2.6-18 A successful validation message

17. If the form has been validated successfully, there will be a prompt displaying the message that the validation was successful. See **Figure 2.6-18**. The **Validate** button will then be replaced by the **Edit** button. See **Figure 2.6-19**.

The screenshot displays a web interface for MAS OPERA. At the top right, there are two buttons: 'Save' and 'Edit'. The 'Edit' button is highlighted with a red rectangular border. Below these buttons is a large rectangular area containing text. The text is centered and reads: 'SECURITIES AND FUTURES ACT (CHAPTER 289)', 'SECURITIES AND FUTURES (OFFERS OF INVESTMENTS)', '(COLLECTIVE INVESTMENT SCHEMES)', 'REGULATIONS 2005', 'APPLICATION FOR AUTHORISATION OF A', and 'COLLECTIVE INVESTMENT SCHEME UNDER SECTION 286(1)'. To the right of this text area, the words 'Form' and the number '1' are displayed. At the bottom of the interface, there is a dark blue horizontal bar with the text 'I EXPLANATORY NOTES:' in white.


Figure 2.6-19 AEM Form 1 – Edit button

18. Save the validated form. To make further form changes, repeat steps 8-18 above.

**Note:** When you submit AEM Form 1 (refer to section 2.7), you should upload a form that has been validated and saved.

## 2.7 Submit AEM Form 1 / Form 2

This section will show you how to submit AEM Form 1 / Form 2 to authorise / recognise a scheme.

 Only authorised users are allowed to perform this function. Refer to section 2.1 – Log in for log-in steps.

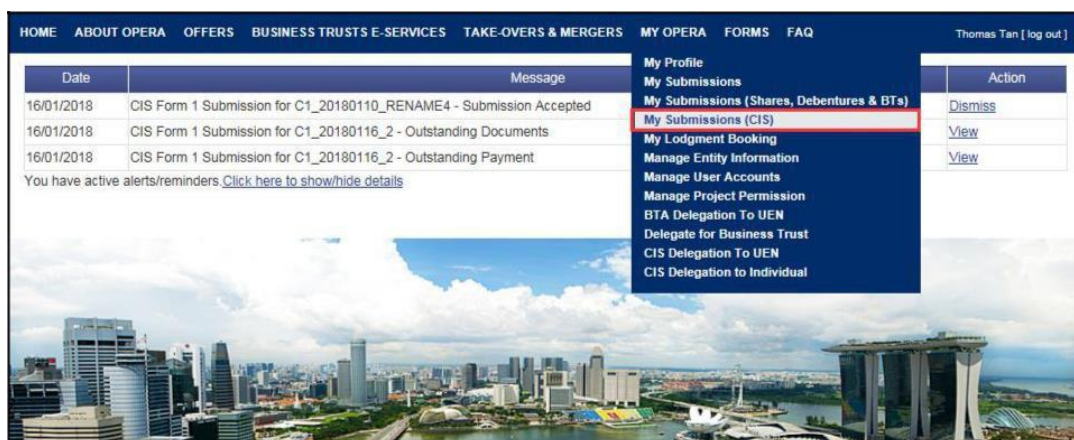


Figure 2.7-1 Homepage of MAS OPERA

- After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.7-1**.

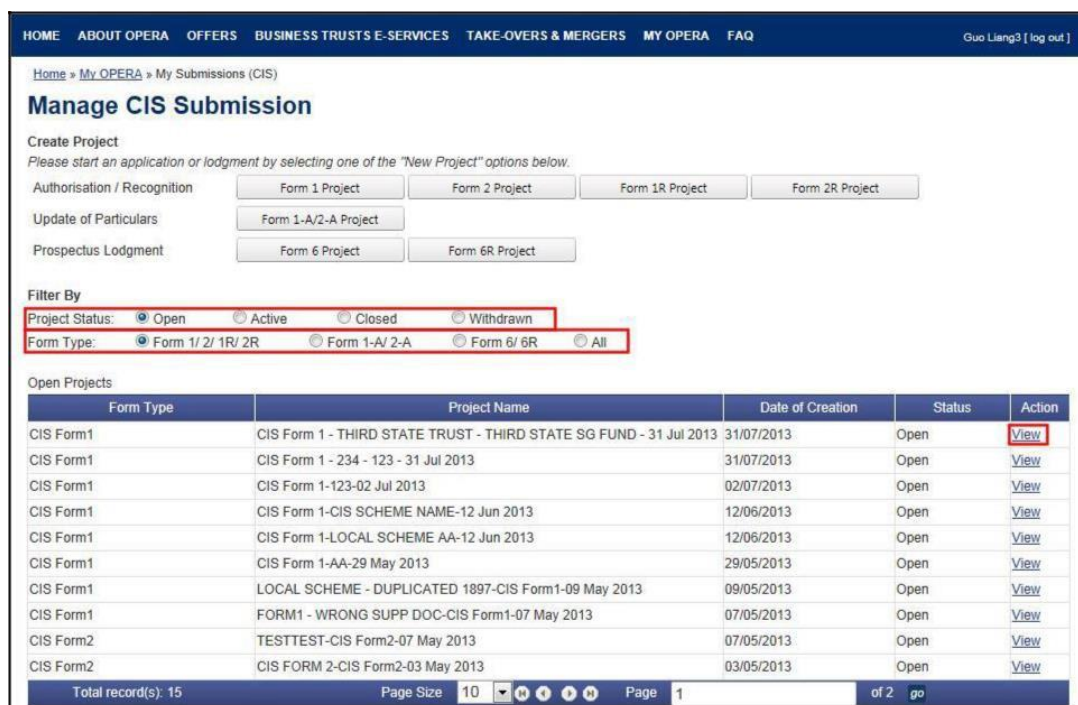


Figure 2.7-2 Manage CIS Submission

2. To search for an 'Open' project, select the 'Open' radio button. You can further filter the project search by selecting the 'Form Type'. See **Figure 2.7-2**.

**Note:** 'Open' projects are projects in which the submission has not been completed.

3. Click on 'View' to view the Project Information page. See **Figure 2.7-2**.



HOME ABOUT OPERA OFFERS BUSINESS TRUSTS E-SERVICES TAKE-OVERS & MERGERS MY OPERA FAQ Guo Liang3 [ log out ]

Home » My OPERA » My Submissions » Submission Details

### Project Information

Project Type	: CIS Form 1	Project Status	: Open
Project Name	: CIS Form 1 - THIRD STATE TRUST - THIRD STATE SG FUND - 31 Jul 2013	Payment Status	: NA
		Project created by	: Guo Liang3
		Project created on	: 31/07/2013
		Last modified by	: Guo Liang3
		Last modified date	: 31/07/2013

Manage Permissions **Start Submission** Delete Project

Figure 2.7-3 Start Submission

4. Click **Start submission**. See **Figure 2.7-3**.



HOME ABOUT OPERA OFFERS BUSINESS TRUSTS E-SERVICES TAKE-OVERS & MERGERS MY OPERA FAQ Guo Liang3 [ log out ]

Home » My OPERA » My Submissions » Submission

### CIS Form 1 - THIRD STATE TRUST - THIRD STATE SG FUND - 31 Jul 2013

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

#### Explanatory Notes

1. All terms used in this Form shall, except where expressly defined in this Form or where the context otherwise requires, have the same meaning as defined in the Securities and Futures Act (Cap. 289) ("SFA") or the Securities and Futures (Offers of Investments) (Collective Investment Schemes) Regulations 2005 (the "SFR").
2. Please ensure that you have read and complied with the CIS Practice Note 1/2005 – Administrative Procedures for Retail Schemes before submitting this form.
3. Please ensure that all mandatory fields in Form 1 have been completed.

☒ I have read and understood the submission instructions.

Cancel **Next**

Figure 2.7-4 Submission Instructions

5. Check ☒ to indicate that you have read and understood the submission instructions. See **Figure 2.7-4**.
6. Click **Next** to proceed, or click **Cancel** to return to the previous screen. See **Figure 2.7-4**.





Figure 2.7-5 Upload Form 1

7. Click  to open Windows browser. See **Figure 2.7-5**.

**Note:** Please ensure that the Scheme Name and Umbrella Fund Name in the AEM Form to be uploaded correspond exactly to the details provided when the project was created.

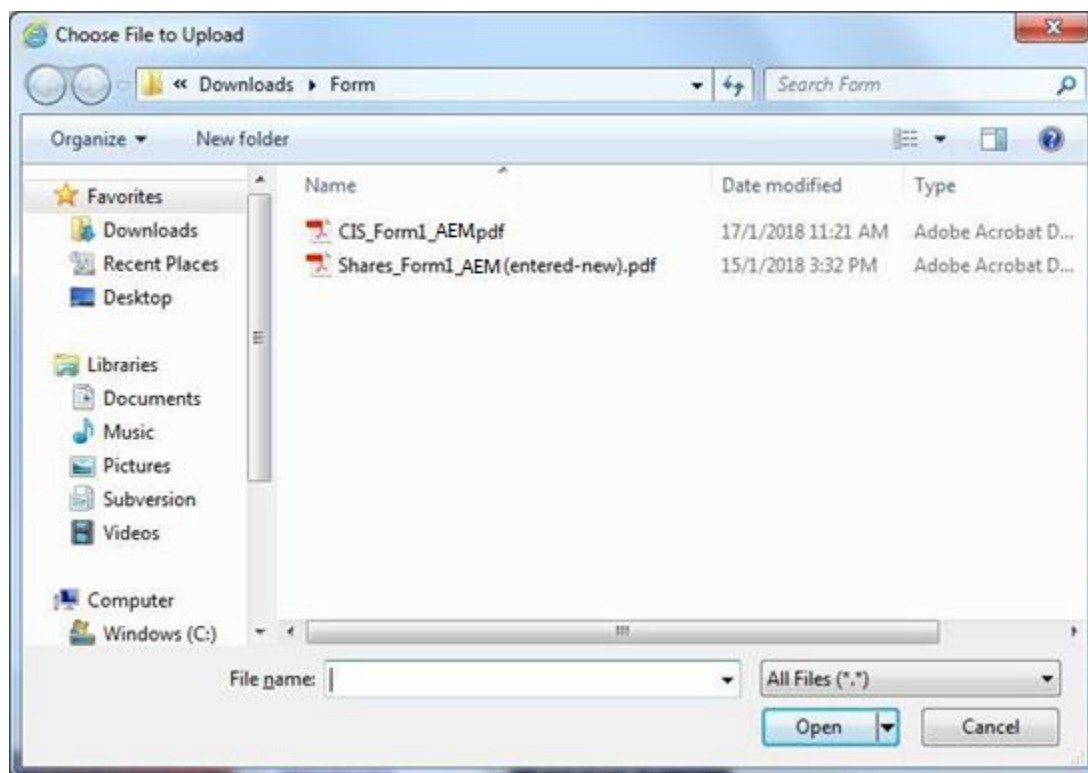


Figure 2.7-6 Browse and select AEM Form 1

8. Select the Form 1 (that has been validated and saved) for upload. See **Figure 2.7-6**.

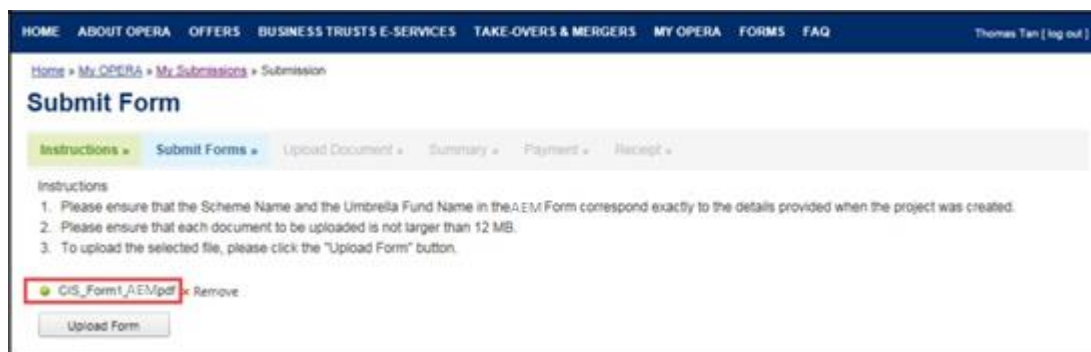


Figure 2.7-7 File to be uploaded

9. After selecting the document, the filename will be displayed. See **Figure 2.7-7**.

10. Click **Upload Form** to begin upload of the Form 1.



Figure 2.7-8 Invalid form uploaded

11. The system will verify whether the file to be uploaded is correct. If the file uploaded is not the correct AEM form, you will be prompted with an 'Invalid File' error message. See **Figure 2.7-8**.

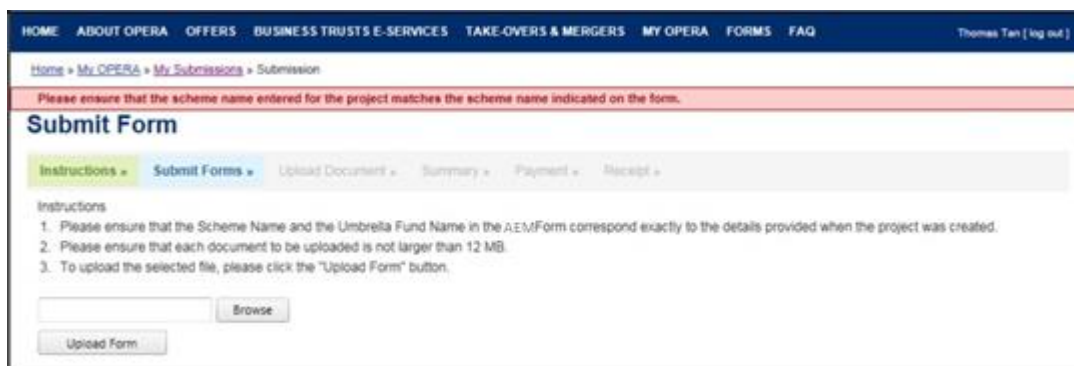


Figure 2.7-9 Wrong Form 1 uploaded



12. The system will verify whether the correct Form 1 is uploaded. If the Scheme Name or the Umbrella Fund Name in the AEM Form is different from what you have entered during the Project Creation, you will be prompted with 'Incorrect Form' error message. See **Figure 2.7-9**.

SN	File Name	Description of Document Type	Status	Description	Upload	Clear
1		Trust Deed	Pending Submission		Select File	Clear
2		Attachment For Q12 Trustee Confirmation	Pending Submission		Select File	Clear
3		Attachment For Q31 Sub Manager (g)	Pending Submission		Select File	Clear
4		Attachment For Q12 Manager Confirmation	Pending Submission		Select File	Clear
5		Attachment For Q33 Sub Manager	Pending Submission		Select File	Clear
6	Local Scheme - UG.pdf	CIS FORM 1	Draft			

File Upload Progress

Next

Figure 2.7-10 Upload document

13. Click **Select File** to open Windows browser to select document to be uploaded. See **Figure 2.7-10**.

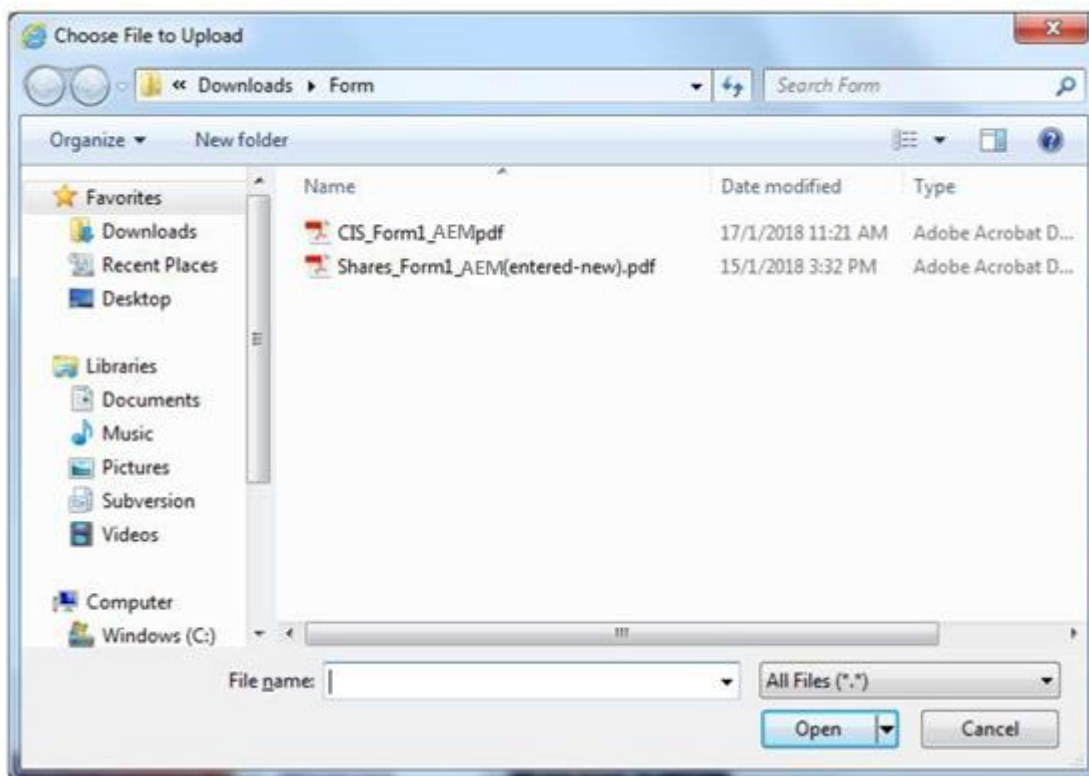


Figure 2.7-11 Browse and select document

14. Select the document for upload. See **Figure 2.7-11**.

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Home » My OPERA » My Submissions » Submission

### Upload Document

Instructions » Submit Forms » **Upload Document** » Summary » Payment » Receipt »

Project Name : CIS Form 1 - THIRD STATE TRUST - THIRD STATE SG FUND - 31 Jul 2013

- Please ensure that each document to be uploaded is not larger than 12 MB.
- To select a file for upload, please click on the "Select File" button below.
- To clear the selection, please click on the "Clear" button.



SN	File Name	Description of Document Type	Status	Description	Upload	Clear
1	TrustDeed.pdf	Trust Deed	Draft		Select File	Clear
2	Q12.pdf	Attachment For Q12 Trustee Confirmation	Draft		Select File	Clear
3	Q33.pdf	Attachment For Q31 Sub Manager (g)	Draft		Select File	Clear
4	Q12.pdf	Attachment For Q12 Manager Confirmation	Draft		Select File	Clear
5	Q33.pdf	Attachment For Q33 Sub Manager	Draft		Select File	Clear
6	Local Scheme - UG.pdf	CIS FORM 1	Draft			

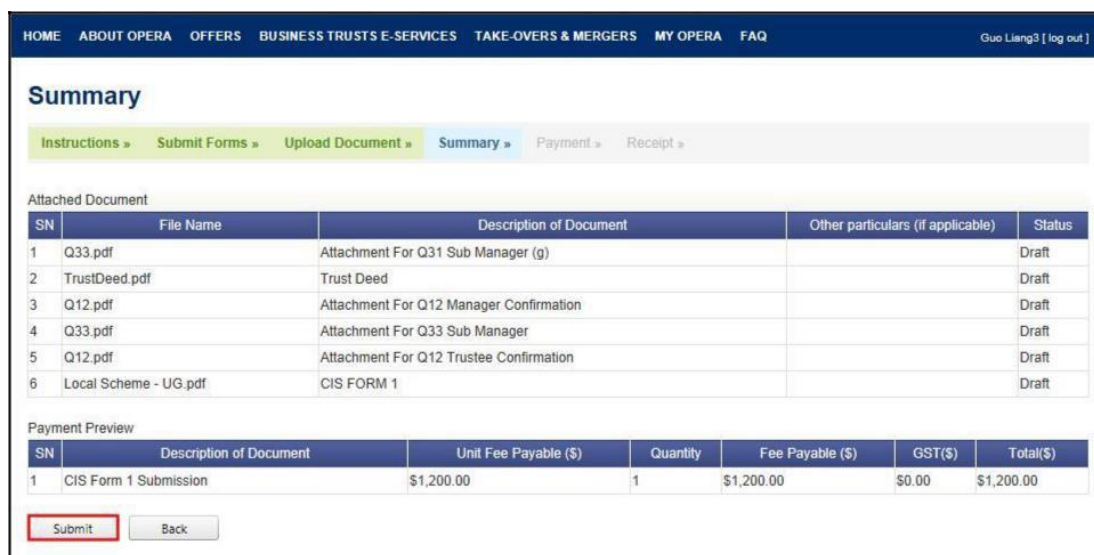
File Upload Progress

Next

Figure 2.7-12 File uploaded successfully

15. The filename will be displayed upon successful upload. See **Figure 2.7-12**.

16. Repeat steps 13-14 to upload more documents.
17. If you wish to remove an uploaded document, click  to remove the uploaded document.
18. Click  button to proceed to submit the uploaded document(s).



**Summary**

Instructions » Submit Forms » Upload Document » **Summary** » Payment » Receipt »

Attached Document

SN	File Name	Description of Document	Other particulars (if applicable)	Status
1	Q33.pdf	Attachment For Q31 Sub Manager (g)		Draft
2	TrustDeed.pdf	Trust Deed		Draft
3	Q12.pdf	Attachment For Q12 Manager Confirmation		Draft
4	Q33.pdf	Attachment For Q33 Sub Manager		Draft
5	Q12.pdf	Attachment For Q12 Trustee Confirmation		Draft
6	Local Scheme - UG.pdf	CIS FORM 1		Draft

Payment Preview

SN	Description of Document	Unit Fee Payable (\$)	Quantity	Fee Payable (\$)	GST(\$)	Total(\$)
1	CIS Form 1 Submission	\$1,200.00	1	\$1,200.00	\$0.00	\$1,200.00



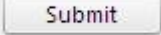
 

Figure 2.7-13 Submission Summary

19. A summary page with the Payment Preview is displayed. Click  to proceed with the submission. See **Figure 2.7-13**.



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The form has been submitted. Please proceed to make payment.

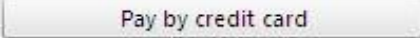
**Submission Complete**

Instructions » Submit Forms » Upload Document » **Summary** » **Payment** » Receipt »

SN	Case Id	Item Description	Fee Unit Amount(\$)	GST(\$)	Quantity	Fee Amount(\$)	Total
1	CIS-1-201308-0001	CIS Form 1 Submission	\$1,200.00	\$0.00	1	\$1,200.00	\$1,200.00
Total							\$1,200.00


 

Figure 2.7-14 Payment details

20. A payment page is displayed. Click the  button to make payment. See **Figure 2.7-14**.
21. Refer to section 2.23 – Online Payment on how to perform online payments.

## 2.8 Make lodgement booking

This section will show you how to make a lodgement booking for a timeslot for MAS to review a lodgement of a preliminary or amendment prospectus for a REIT.

 Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

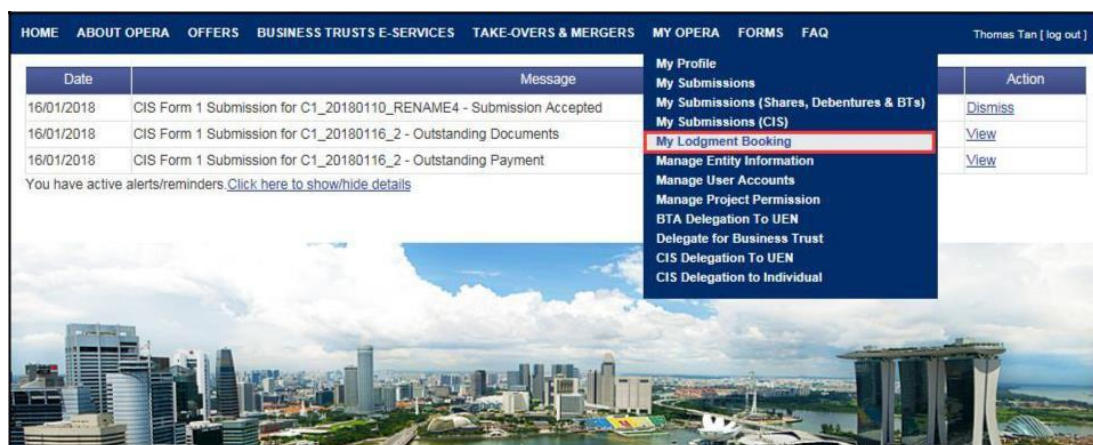


Figure 2.8-1 Homepage of MAS OPERA

1. After successful log-in, click **My Lodgment Booking** under **MY OPERA** in main menu. See **Figure 2.8-1**



Figure 2.8-2 Make Booking

2. You can view the lodgement bookings that have already been made for a particular date by selecting that date from the 'Lodgment date' drop-down list. To make a new lodgement booking, click **Make Booking**. See **Figure 2.8-2**

**Book Lodgment Timeslot**

Lodgment Date : 21/10/2013

Lodgment Time : 8:30AM to 9:30AM

Type of Offer : CIS Reits

Name of Issuer\* : Fusion Dev Pte Ltd

Name of Issue Manager\* : Jacky Chan

Name of Corporation/Firm of Appointed Person\* : Tiger Captial Pte Ltd

Name of Appointed Person (advocate and solicitor)\* : Jonny Lam

Contact Number of Appointed Person\* : 61234567

Figure 2.8-3 Details of Lodgement Booking

3. Enter the details of the lodgement booking, and ensure all mandatory fields are entered.
4. Click . See **Figure 2.8-3**

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Home > My OPERA > My Lodgment Booking

The booking is successful.

**My Lodgment Booking**

Lodgment date: 21/10/2013

Lodgment Time	Booked On	Booking Details	Action
8:30AM to 9:30AM	20/10/2013 1:25:24 PM	Type of Offer: CIS Reits Name of Issuer: Fusion Dev Pte Ltd Name of Issue Manager: Jacky Chan Name of Corporation/Firm of Appointed Person: Tiger Captial Pte Ltd Name of Appointed Person (advocate and solicitor): Jonny Lam Contact Number of Appointed Person: 61234567	<input type="button" value="Cancel"/>


Figure 2.8-4 Booking made successfully

5. A confirmation message will be shown when the booking is successfully made. See **Figure 2.8-4**



## 2.9 View/ Cancel Lodgement Timeslot Booking

This section will show you how to view or cancel a lodgement booking that has been made.

 Only authorized users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

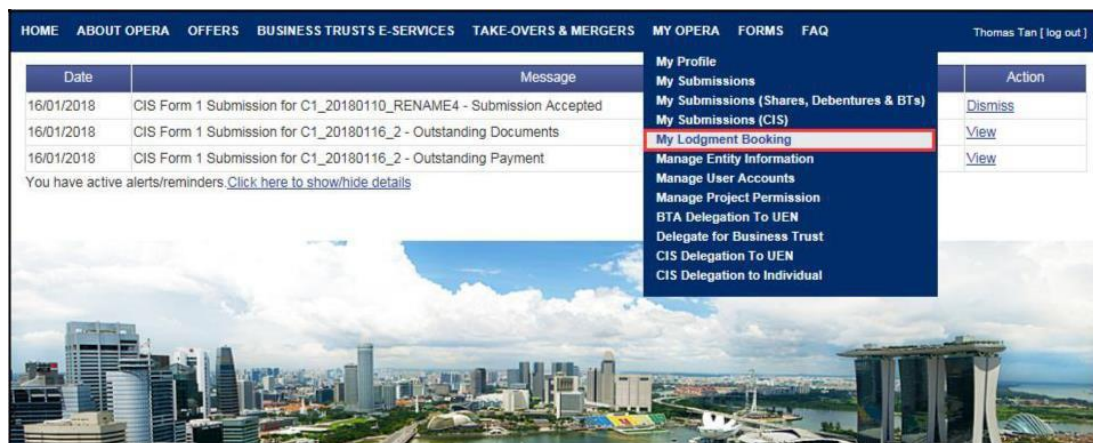


Figure 2.9-1 Homepage of MAS OPERA

1. After successful log-in, click **My Lodgment Booking** under **MY OPERA** in the main menu. See **Figure 2.9-1**

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Home » My OPERA » My Lodgment Booking

### My Lodgment Booking

Lodgment date: 24/10/2013

No record found

Make Booking

Lodgment	Booked On	Booking Details	Action
----------	-----------	-----------------	--------

Figure 2.9-2 View Lodgement Booking

2. Select a Lodgement Booking Date from the 'Lodgement date' dropdown list. You can select a date within the next 14 working days. See **Figure 2.9-2**

HOME ABOUT OPERA OFFERS BUSINESS TRUSTS E-SERVICES TAKE-OVERS & MERGERS MY OPERA FAQ Thomas Tan [log out]

Home » My OPERA » My Lodgment Booking


### My Lodgment Booking

Lodgment date: 21/10/2013

Lodgment Time	Booked On	Booking Details	Action
8:30AM to 9:30AM	20/10/2013 1:25:24 PM	Type of Offer: CIS Reits Name of Issuer: Fusion Dev Pte Ltd Name of Issue Manager: Jacky Chan Name of Corporation/Firm of Appointed Person: Tiger Capital Pte Ltd Name of Appointed Person (advocate and solicitor): Jonny Lam Contact Number of Appointed Person: 61234567	Cancel

Make Booking

Figure 2.9-3 Instruction page

3. The page will refresh to display the details of bookings that were made for the selected date. See **Figure 2.9-3**
4. To cancel the booking, click . See **Figure 2.9-3**

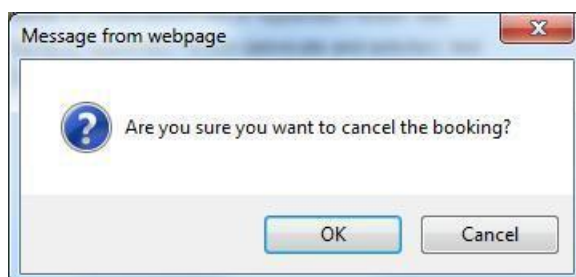

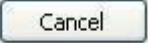


Figure 2.9-4 Confirmation dialog box

5. Click  to confirm the cancellation, or  to abort the booking cancellation. See **Figure 2.9-4**

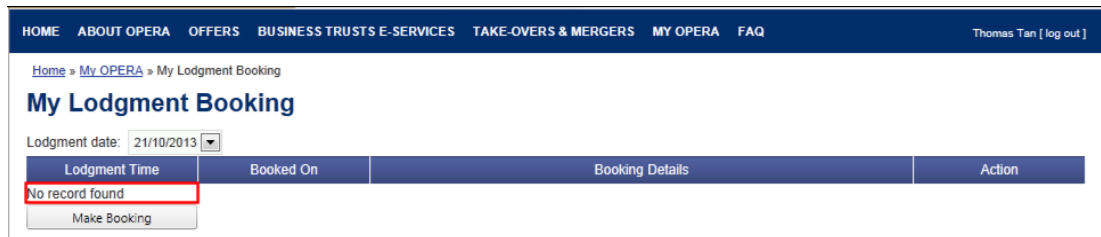
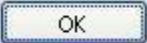


Figure 2.9-5 Booking cancelled

6. After clicking , the booking will be removed from the list. See **Figure 2.9-5**



## 2.10 Create Project for Lodgement of Draft Prospectus / Preliminary Prospectus / Updated Prospectus (Form 6)


This section will show you how to create a project for lodgement of a draft prospectus, preliminary prospectus or updated prospectus.

Documents that may be lodged using a Form 6 include:

- Preliminary document/prospectus
- Profile statement
- Product highlights sheet
- Updated prospectus
- Updated profile statement
- Updated product highlights sheet

Documents that may be lodged using a Form 6 (REIT) include:

- Draft Prospectus
- Preliminary document/prospectus
- Profile statement
- Offer Information Statement

 Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

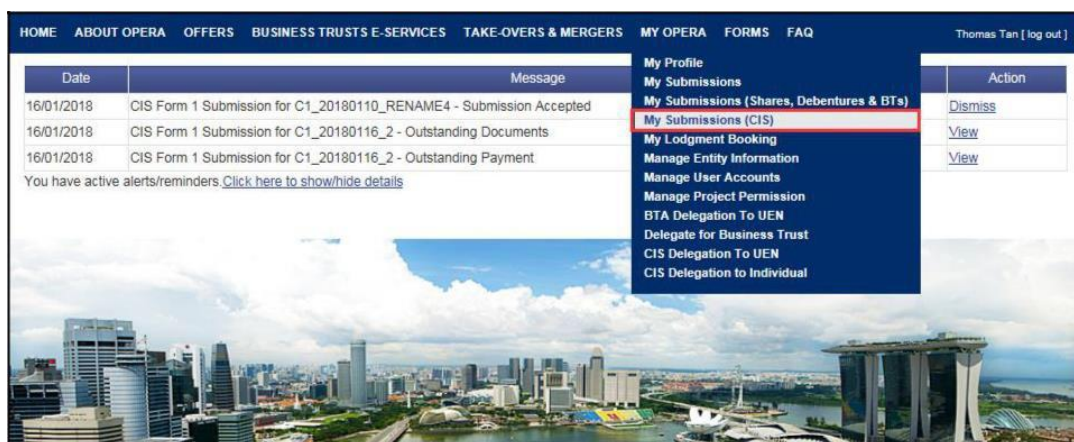


Figure 2.10-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.10-1**

HOME ABOUT OPERA OFFERS BUSINESS TRUSTS E-SERVICES TAKE-OVERS & MERGERS MY OPERA FAQ Guo Liang3 [ log out ]

[Home](#) » [My OPERA](#) » My Submissions (CIS)

### Manage CIS Submission

Create Project  
Please start an application or lodgment by selecting one of the "New Project" options below.

Authorisation / Recognition

Update of Particulars

Prospectus Lodgment

Filter By  
Project Status: ☒ Open ☐ Active ☐ Closed ☐ Withdrawn  
Form Type: ☒ Form 1/ 2/ 1R/ 2R ☐ Form 1-A/ 2-A ☐ Form 6/ 6R ☐ All

Open Projects

Form Type	Project Name	Date of Creation	Status	Action
CIS Form1	CIS Form 1 - CIS1_2070 - 01 Aug 2013	01/08/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1 - 234 - 123 - 31 Jul 2013	31/07/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-123-02 Jul 2013	02/07/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-CIS SCHEME NAME-12 Jun 2013	12/06/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-LOCAL SCHEME AA-12 Jun 2013	12/06/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-AA-29 May 2013	29/05/2013	Open	<a href="#">View</a>
CIS Form1	LOCAL SCHEME - DUPLICATED 1897-CIS Form1-09 May 2013	09/05/2013	Open	<a href="#">View</a>
CIS Form1	FORM1 - WRONG SUPP DOC-CIS Form1-07 May 2013	07/05/2013	Open	<a href="#">View</a>
CIS Form2	TESTTEST-CIS Form2-07 May 2013	07/05/2013	Open	<a href="#">View</a>
CIS Form2	CIS FORM 2-CIS Form2-03 May 2013	03/05/2013	Open	<a href="#">View</a>

Total record(s): 15 Page Size 10 Page 1 of 2 go

Figure 2.10-2 Manage CIS Submission

2. To create a CIS Form 6 project for a non-REIT scheme, click on  . To create a CIS Form 6 project for a REIT, click on  . See **Figure 2.10-2**.

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### CIS Form Project Information

[Instruction](#) » [Project Information](#) » [Project Created](#) »

Please enter the details of the prospectus and set the project permissions.

☒ New/ Updated Prospectus ☐ Replacement/ Supplementary Prospectus

Prospectus Name:

Scheme Type:

Scheme	Umbrella Fund	Scheme Status
<input type="text" value="None"/>	<input type="text" value="None"/>	<input type="text" value="None"/>

Project Permissions

Available users  
Gordon Goh  
Percy Peh

Authorised User  
Thomas Tan

Figure 2.10-3 Create CIS Form 6 project

3. Select **New/ Updated Prospectus** to lodge draft prospectus, prospectus or updated prospectus or Offer Information Statement. Enter the 'Prospectus Name' and select the 'Scheme Type'.

**CIS Form Project Information**

**Instruction » Project Information » Project Created »**

Please enter the details of the prospectus and set the project permissions.

☒ New/ Updated Prospectus ☐ Replacement/ Supplementary Prospectus

Prospectus Name:

Scheme Type:

Scheme Name	Umbrella Fund	Scheme Status
<input checked="" type="checkbox"/> ANGEL LOCAL FUND	SG FUND	Authorisation Pending

Total record(s): 1 Page Size 10 Page 1 of 1 go

**Project Permissions**

Search Clear search

**Available users**  
Gordon Goh  
Percy Peh

**Authorised User**  
Thomas Tan

>> <<

Create Project

Figure 2.10-4 Create CIS Form 6 project for Authorised Scheme

4. Check on the checkbox next to the name of the scheme(s) to select the scheme(s) that the prospectuses applicable to. See **Figure 2.10-4**.

**CIS Form Project Information**

**Instruction » Project Information » Project Created »**

Please enter the details of the prospectus and set the project permissions.

☒ New/ Updated Prospectus ☐ Replacement/ Supplementary Prospectus

Prospectus Name:

Scheme Type:

Scheme Name	Umbrella Fund	Scheme Status
<input type="checkbox"/> ANGEL LOCAL FUND	SG FUND	Authorisation Pending

Total record(s): 1 Page Size 10 Page 1 of 1 go

**Project Permissions**

Search Clear search

**Available users**  
Gordon Goh  
Percy Peh

**Authorised User**  
Thomas Tan

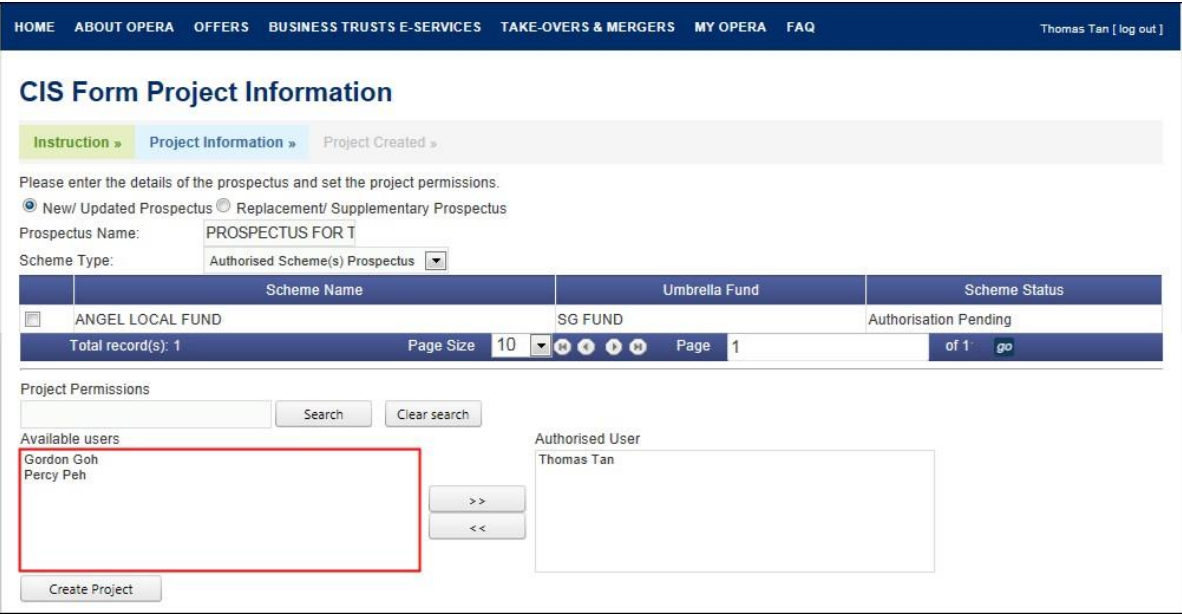
>> <<

Create Project

Figure 2.10-5 Search for available users

5. To search for available users who may be granted access to the project, enter the user name in the 'search' textbox. See **Figure 2.10-5**.

6. Click . See **Figure 2.10-5**.



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### CIS Form Project Information

[Instruction »](#) [Project Information »](#) [Project Created »](#)

Please enter the details of the prospectus and set the project permissions.

☒ New/ Updated Prospectus ☐ Replacement/ Supplementary Prospectus

Prospectus Name:

Scheme Type:

Scheme Name	Umbrella Fund	Scheme Status
ANGEL LOCAL FUND	SG FUND	Authorisation Pending

Total record(s): 1 Page Size 10 Page 1 of 1 go

Project Permissions

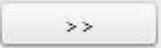
Available users  
Gordon Goh  
Percy Peh

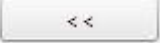
Authorised User  
Thomas Tan

>> <<

Create Project

Figure 2.10-6 Add/ Remove authorised users

7. To grant an available user access to the project, select the user name in the 'Available users' list and click . The name(s) of the user(s) will be displayed in the 'Authorised User' list. See **Figure 2.10-6**.

8. To deny authorised user(s) access to the project, select the user name in the 'Authorised User' list. Click . The name of the user(s) will be removed from the 'Authorised User' list. For example, in Figure 2.10-6, the user "Thomas Tan" may be removed from the 'Authorised User' list.

**Note:** You can still manage permissions for the project after the project is created.

9. Click on .



Figure 2.10-7 Project created successfully

10. A confirmation page will be shown when the project is successfully created. See Figure 2.10-7. Click on 'View project details' to proceed with lodgement.



Figure 2.10-8 Project Details

11. After clicking 'View project details', the project information page will be shown. See **Figure 2.10-7**. You may now proceed to lodge documents by clicking on 'Start Submission' to continue with the lodgement. See **Figure 2.10-8**.

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## Form 6 - PROSPECTUS FOR THIRD STATE FUND

SECURITIES AND FUTURES ACT (CHAPTER 289)  
SECURITIES AND FUTURES (OFFERS OF INVESTMENTS)  
(COLLECTIVE INVESTMENT SCHEMES) REGULATIONS 2005  
GENERAL LODGMENT FORM

FORM  
**6**

**I EXPLANATORY NOTES**

- All terms used in this Form shall, except where expressly defined in this Form or where the context otherwise requires, have the same meaning as defined in the Securities and Futures Act (Cap. 289) ("SFA") or the Securities and Futures (Offers of Investments) (Collective Investment Schemes) Regulations 2005 (the "SFR").
- Please ensure that you have read and complied with the CIS Practice Note 1/2005 – Administrative Procedures for Retail Schemes before submitting this form.
- Please ensure that all mandatory fields in Form 6 have been completed.

**II INFORMATION ON THE COLLECTIVE INVESTMENT SCHEME**

Scheme Name : THIRD STATE SG FUND  
Scheme Num : CIS-A-201308-0001  
Umbrella Fund Name : THIRD STATE TRUST  
Type of Scheme : CIS Form 1  
Prospectus Name : PROSPECTUS FOR THIRD STATE FUND

**III PARTICULARS OF PERSON(S) MAKING THE OFFER (OTHER THAN THE RESPONSIBLE PERSON)**  
Please indicate if a person, other than the responsible person, is making the offer:  
☐ Yes ☒ No

**IV Type of document being lodged/submitted**

	Description of Document Type
<input type="checkbox"/>	Preliminary document / Prospectus (section 296(1) of the SFA)
<input type="checkbox"/>	Profile statement (section 296(2) of the SFA)
<input type="checkbox"/>	Product Highlights Sheet (section 296A(1) of the SFA)

No documents added  
Document Type: Choose your document type ▼  
Add Document

Figure 2.10-9 CIS Form 6 – Particular of person making the offer (1)

12. If is a person, other than the responsible person, making the offer, select ☒ Yes. See **Figure 2.10-9**.

**III PARTICULARS OF PERSON(S) MAKING THE OFFER (OTHER THAN THE RESPONSIBLE PERSON)**  
Please indicate if a person, other than the responsible person, is making the offer:  
☒ Yes ☐ No  
Add Person

Figure 2.10-10 CIS Form 6 – Particular of person making the offer (2)

13. Then click on Add Person. See **Figure 2.10-10**.



Figure 2.10-11 CIS Form 6 – Particular of person making the offer (3)

14. Fill out the form. All fields marked with asterisks are mandatory fields and click on 'Save'.  
See **Figure 2.10-11**.

Figure 2.10-12 CIS Form 6 – Particular of person making the offer (4)

15. To add more person making the offer, click on **Add Person**. To delete the person, click on **Remove Person**. See **Figure 2.10-12**.

IV Type of document being lodged/submitted

Description of Document Type		
<input checked="" type="checkbox"/>	Preliminary document / Prospectus (section 296(1) of the SFA)	
<input type="checkbox"/>	Profile statement (section 296(2) of the SFA)	
<input type="checkbox"/>	Product Highlights Sheet (section 296A(1) of the SFA)	

Description of Document Type	Other particulars (if applicable)	Action
No documents added		

Document Type: Choose your document type

Add Document: Choose your document type

LODGED WITH:

☒ Person making the offer

☐ Other Document

Figure 2.10-13 CIS Form 6 – Type of Document being lodged/submitted

16. Select the documents to be lodged/submitted using the checkboxes. See **Figure 2.10-13**.
17. To add additional documents, select the document from the 'Document Type' dropdown list and click **Add Document**.

LODGED WITH THE AUTHORITY

☒ Person making the offer ☐ Person making the offer through an advocate and solicitor acting on his behalf

By the person making the offer\*:

Name\* : David Tan

Where the person making the offer is an entity, name of the officer or equivalent person representing the entity in this lodgment

Address

☒ Local Address ☐ Overseas Address

Blk/House No.\* : 34

Street Name\* : Bishan Street 34

Unit : #

Building Name :

Postal Code\* : Singapore 343434

Email Address :

Tel No :

Fax No :

Name of responsible person : Freddie Fong

Address

☒ Local Address ☐ Overseas Address

Blk/House No.\* : 45

Street Name\* : Jurong Street 45

Unit : #

Building Name :

Postal Code\* : Singapore 454545

Email Address :

Tel No :

Fax No :

Next

Figure 2.10-14 CIS Form 6 – Lodged with the Authority

18. Select 'Person making the offer' if the person making the offer is submitted. Enter all the mandatory fields and click on **Next**. See **Figure 2.10-14**.

**LODGED WITH THE AUTHORITY**

☐ Person making the offer ☒ Person making the offer through an advocate and solicitor acting on his behalf

By the person making the offer through an advocate and solicitor acting on his behalf:

Name of advocate and solicitor\* : Sharon Lee

Corporation/Firm :

Address : ☒ Local Address ☐ Overseas Address

Blk/House No.\* : 56

Street Name\* : Bukit Panjang

Unit : #

Building Name :

Postal Code\* : Singapore 565656

Email Address :

Tel No :

Fax No :

Name of responsible person : Freddie Fong

Address : ☒ Local Address ☐ Overseas Address

Blk/House No.\* : 45

Street Name\* : Jurong Street 45

Unit : #

Building Name :

Postal Code\* : Singapore 454545

Email Address :

Tel No :

Fax No :

Figure 2.10-15 CIS Form 6 – Lodged with the Authority through an advocate

19. If the lodgement is made through an advocate or solicitor, select 'Person making the offer through an advocate and solicitor acting on his behalf'. Enter all the mandatory fields and click on . See **Figure 2.10-15**.

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Guo Liang3 [ log out ]

Home » My OPERA » My Submissions » Submission

**Upload Document**

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Project Name : CIS Form 6 - PROSPECTUS FOR THIRD STATE FUND - 02 Aug 2013

Schemes Name: : THIRD STATE SG FUND

1. Please ensure that each document to be uploaded is not larger than 12 MB.

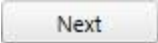
2. To select a file for upload, please click on the "Select File" button below.

3. To clear the selection, please click on the "Clear" button.

SN	File Name	Description of Document Type	Status	Description	Upload	Clear
1	CIS_FORM6_FORM.bt	CIS Form 6 Form	Draft			
2		Preliminary document / Prospectus (section 296(1) of the SFA)	Pending Submission		Select File	Clear
3		Product Highlights Sheet (section 296A (1) of the SFA) For THIRD STATE SG FUND	Pending Submission		Select File	Clear

File Upload Progress

Figure 2.10-16 Upload documents

20. Refer to steps 13 - 14 in section 2.7 on how to upload documents. Click on  once you have attached all the documents. **See Figure 2.10-16.**



**Summary**

Instructions » Submit Forms » Upload Document » **Summary** » Payment » Receipt »

Scheme Name(s) : THIRD STATE SG FUND  
Scheme Number : CIS-A-201308-0001  
Umbrella Fund Name : THIRD STATE TRUST

Attached Document

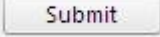
SN	File Name	Description of Document	Other particulars (if applicable)	Status
1	CIS_FORM6_FORM.txt	CIS Form 6 Form		Draft
2	- Prospectus.pdf	Preliminary document / Prospectus (section 296(1) of the SFA)		Draft
3	- PHS 1.pdf	Product Highlights Sheet (section 296A(1) of the SFA) For THIRD STATE SG FUND		Draft

Payment Preview

SN	Description of Document	Unit Fee Payable (\$)	Quantity	Fee Payable (\$)	GST(\$)	Total(\$)
1	Preliminary document/Prospectus	\$1,200.00	1	\$1,200.00	\$0.00	\$1,200.00

**Submit** **Back**

Figure 2.10-17 Submission Summary

21. A summary page with the Payment Preview is displayed. Click  to submit the application. **See Figure 2.10-17.**



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The form has been submitted. Please proceed to make payment.

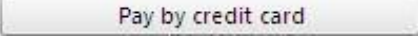
**Submission Complete**

Instructions » Submit Forms » Upload Document » **Summary** » Payment » Receipt »

SN	Case Id	Item Description	Fee Unit Amount(\$)	GST(\$)	Quantity	Fee Amount(\$)	Total
1	CIS-6-201308-0001	Preliminary document/Prospectus	\$1,200.00	\$0.00	1	\$1,200.00	\$1,200.00
<b>Total</b>							<b>\$1,200.00</b>

**Pay by credit card** **Back to Main Page**

Figure 2.10-18 Payment details

22. A payment page is displayed. Click  button to make payment. **See Figure 2.10-18.**

23. Refer to section 2.23 – Online Payment on how to perform online payments.

**Payment details**

Instructions » Submit Forms » Upload Document » Summary » **Payment »** Receipt »

Your payment was successful.

SN	Case Id	Item Description	Fee Unit Amount(\$)	GST(\$)	Quantity	Fee Amount(\$)	Payment Status
1	CIS-6-201308-0001	Preliminary document/Prospectus	\$1,200.00	\$0.00	1	\$1,200.00	Paid

Your payment reference is **21dfde8a887f63dd38bb**

Please print this page for your reference. [Go To Receipt](#)

Figure 2.10-19 Payment reference number

24. After successful payment, a payment reference number is provided. See Figure 2.10-19

25. Click [Go To Receipt](#) to view/print the receipt.

**Tax Invoice**

Instructions » Submit Forms » Upload Document » Summary » **Payment »** Receipt »

Monetary Authority of Singapore  
10 Shenton Way MAS Building Singapore 079117  
GST Reg No : M90363076J  
Date : 05/08/2013  
Payment Reference Number : 21dfde8a887f63dd38bb  
Invoice Number : R130000018

Receipt Information

SN	Description	Qty	Unit Price (\$)	Amount(\$)
1	Preliminary document/Prospectus	1	1,200.00	1,200.00
				Total 1,200.00
				GST Amount 0.00
				Amount Due 1,200.00


[Project Information](#)

Figure 2.10-20 Receipt

26. The receipt is shown and the submission process is completed. See **Figure 2.10-20**.

## 2.11 Create Project for Lodgement of Amended Prospectus (Form 6)

This section will show you how to create a Form 6 to lodge amended prospectus/ profile statement/ Product Highlights Sheet that are related to a lodgement that was previously made (using the steps under section 2.10).

 Only authorised users are allowed to perform this function. Refer to section 2.1 – Log in for log-in steps.

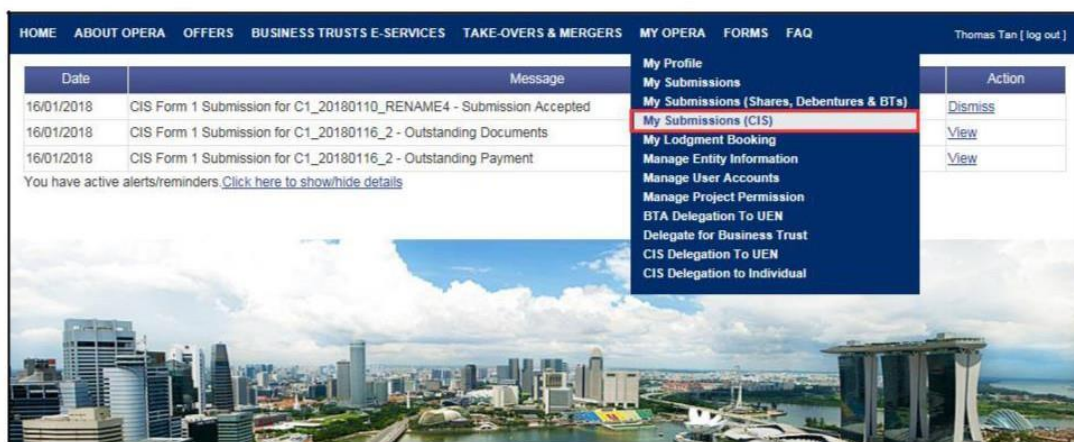


Figure 2.11-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.11-1**.



HOME ABOUT OPERA OFFERS BUSINESS TRUSTS E-SERVICES TAKE-OVERS & MERGERS MY OPERA FAQ
Guo Liang3 [ log out ]

Home » My OPERA » My Submissions (CIS)

## Manage CIS Submission

Create Project

Please start an application or lodgment by selecting one of the "New Project" options below.

Authorisation / Recognition

Update of Particulars

Prospectus Lodgment

Filter By

Project Status: ☒ Open ☐ Active ☐ Closed ☐ Withdrawn

Form Type: ☐ Form 1/ 2/ 1R/ 2R ☐ Form 1-A/ 2-A ☒ Form 6/ 6R ☐ All

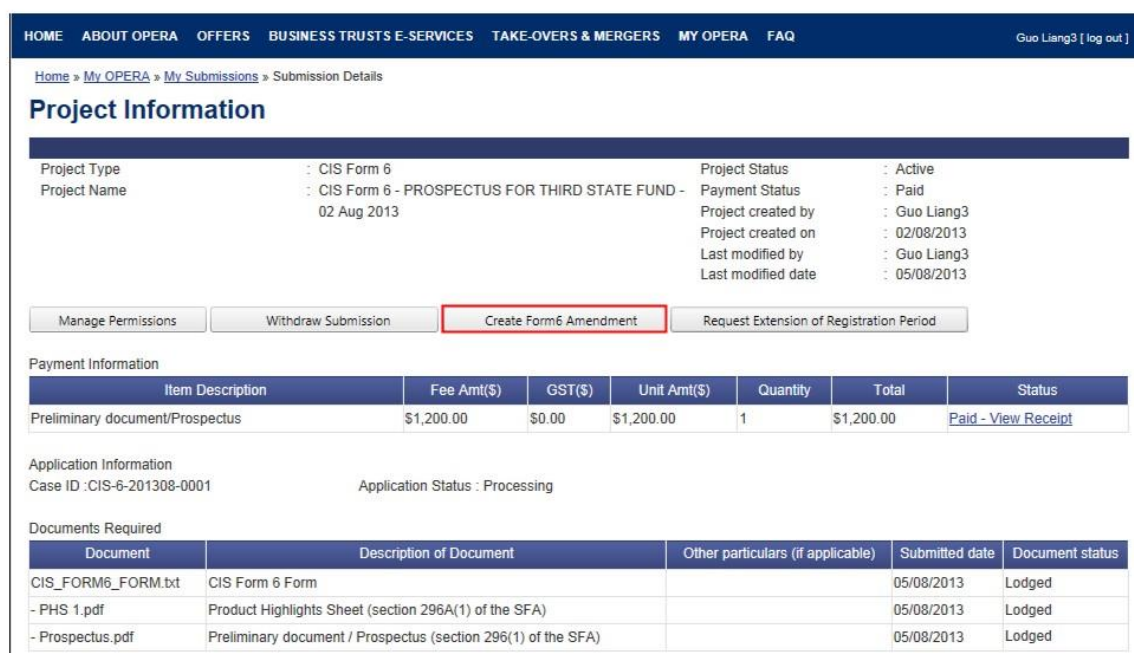
Active Projects

Form Type	Case Id	Project Name	Date of Submission	Status	Action
CIS Form6	CIS-6-201308-0001	CIS Form 6 - PROSPECTUS FOR THIRD STATE FUND - 02 Aug 2013	05/08/2013	Processing	<a href="#">View</a>
CIS Form6	CIS-6-201307-0005	Amended CIS Form 6 - C6_20130722_TEST1 - 22 Jul 2013	22/07/2013	Processing	<a href="#">View</a>
CIS Form6	CIS-6-201307-0004	CIS Form 6 - C6_20130722_TEST1 - 22 Jul 2013	22/07/2013	Processing	<a href="#">View</a>
CIS Form6	CIS-6-201307-0002	Replacement CIS Form 6-PROSPECTUS AAAA-02 Jul 2013	02/07/2013	Lodged	<a href="#">View</a>
CIS Form6R	CISR-6-201306-0030	CIS (REIT) Form 6R-PROSPECTUS FOR LOCAL SCHEME R - 20130626 - AA-26 Jun 2013	26/06/2013	Processing	<a href="#">View</a>
CIS Form6R	CISR-6-201306-0029	Replacement CIS Form 6- C6R_20130625_TEST1-25 Jun 2013	26/06/2013	Submitted	<a href="#">View</a>
CIS Form6R	CISR-6-201306-0024	CIS (REIT) Form 6R-2065_REITS_FORM2- 19 Jun 2013	24/06/2013	Processing	<a href="#">View</a>
CIS Form6R	CISR-6-201306-0023	CIS (REIT) Form 6R-DRAFT PROSPECTUS FOR CIS_R1_002-24 Jun 2013	24/06/2013	Processing	<a href="#">View</a>
CIS Form6R	CISR-6-201306-0021	CIS (REIT) Form 6R-PROSPECTUS PROSPECTUS-20 Jun 2013	20/06/2013	Submitted	<a href="#">View</a>
CIS Form6	CIS-6-201306-0033	CIS Form 6-TEST_2049-10 Jun 2013	20/06/2013	Returned For Amendment	<a href="#">View</a>

Total record(s): 69
Page Size 10
Page 1 of 7 go

Figure 2.11-2 Manage Offer Overview

2. Select 'Open' for the 'Project Status' and 'Form 6/ 6R' for the 'Form type' to filter for CIS Form 6/ 6R projects that are still 'Open'. See **Figure 2.11-2**.
3. Click on 'View' of the project that you want to lodge amended prospectus/ profile statement/ Product Highlights Sheet. See **Figure 2.11-2**.



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Home » My OPERA » My Submissions » Submission Details

## Project Information

Project Type	: CIS Form 6	Project Status	: Active
Project Name	: CIS Form 6 - PROSPECTUS FOR THIRD STATE FUND - 02 Aug 2013	Payment Status	: Paid
		Project created by	: Guo Liang3
		Project created on	: 02/08/2013
		Last modified by	: Guo Liang3
		Last modified date	: 05/08/2013

### Payment Information

Item Description	Fee Amt(\$)	GST(\$)	Unit Amt(\$)	Quantity	Total	Status
Preliminary document/Prospectus	\$1,200.00	\$0.00	\$1,200.00	1	\$1,200.00	<a href="#">Paid - View Receipt</a>

### Application Information

Case ID : CIS-6-201308-0001 Application Status : Processing

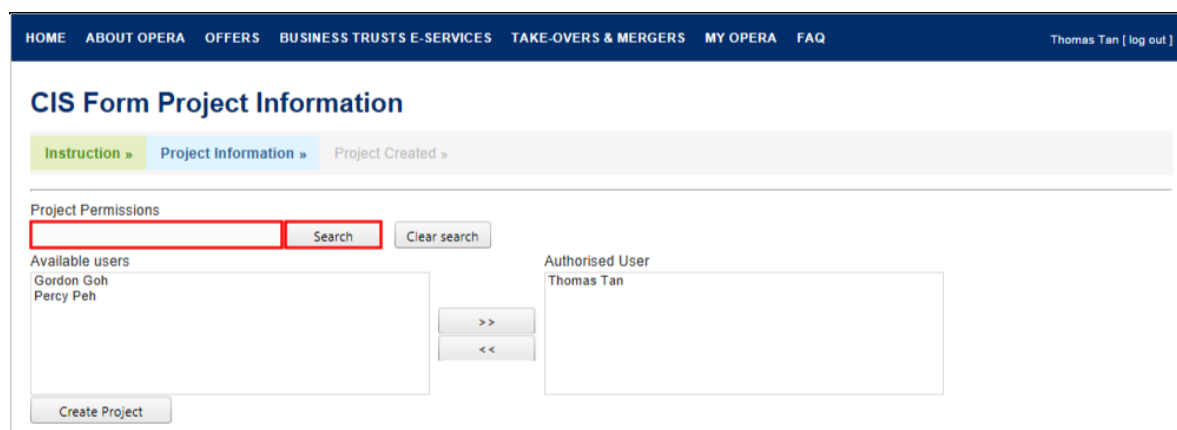
### Documents Required

Document	Description of Document	Other particulars (if applicable)	Submitted date	Document status
CIS_FORM6_FORM.txt	CIS Form 6 Form		05/08/2013	Lodged
- PHS 1.pdf	Product Highlights Sheet (section 296A(1) of the SFA)		05/08/2013	Lodged
- Prospectus.pdf	Preliminary document / Prospectus (section 296(1) of the SFA)		05/08/2013	Lodged

Figure 2.11-3 Project Information

- Click on  to create a new Form 6 for 'Amendment'. See **Figure 2.11-3**.

**Note:** The button 'Create Form6 Amendment' is only available for CIS Form 6 with 'Processing' status.



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## CIS Form Project Information

[Instruction »](#)
[Project Information »](#)
[Project Created »](#)

### Project Permissions

Available users

Gordon Goh  
Percy Peh

Authorised User



Thomas Tan

Figure 2.11-4 Search for available users

- To search for available users who may be granted access to the project, enter the user name in the 'search' textbox. See **Figure 2.11-4**.
- Click . See **Figure 2.11-4**.

The screenshot shows the 'CIS Form Project Information' page. At the top is a navigation bar with links: HOME, ABOUT OPERA, OFFERS, BUSINESS TRUSTS E-SERVICES, TAKE-OVERS & MERGERS, MY OPERA, and FAQ. The user 'Thomas Tan' is logged in. Below the navigation bar is a breadcrumb trail: Instruction » Project Information » Project Created ». The main section is titled 'Project Permissions' and contains a search bar with 'Search' and 'Clear search' buttons. Below the search bar are two lists of users. The 'Available users' list contains 'Gordon Goh' and 'Percy Peh'. The 'Authorised User' list contains 'Thomas Tan'. Between the two lists are two buttons: '>>' and '<<'. A 'Create Project' button is at the bottom left of the form.

Figure 2.11-5 Add/ Remove authorised users

7. To grant an available user access to the project, select the user name in the 'Available users' list and click . The name(s) of the user(s) will be displayed in the 'Authorised User' list. See **Figure 2.11-5**.
8. To deny authorised user(s) access to the project, select the user name in the 'Authorised User' list. Click . The name of the user(s) will be removed from the 'Authorised User' list. For example, in Figure 2.11-5, the user "Thomas Tan" may be removed from the 'Authorised User' list.

**Note:** You can still manage permissions for the project after the project is created.

9. Click on .

The screenshot shows the 'Project Created' confirmation page. At the top is a navigation bar with links: HOME, ABOUT OPERA, OFFERS, BUSINESS TRUSTS E-SERVICES, TAKE-OVERS & MERGERS, MY OPERA, and FAQ. The user 'Guo Liang3' is logged in. Below the navigation bar is a breadcrumb trail: Home » My OPERA » My Submissions » Project Created. The main section is titled 'Project Created' and contains a message: 'Project has been created successfully'. Below the message is a button labeled 'View project details'.

Figure 2.11-6 Project created successfully

10. A confirmation page will be shown when the project is successfully created. See Figure 2.11-6. Click on 'View project details' to proceed with the lodgement.

HOME ABOUT OPERA OFFERS BUSINESS TRUSTS E-SERVICES TAKE-OVERS & MERGERS MY OPERA FAQ Guo Liang3 [ log out ]

Home » My OPERA » My Submissions » Submission Details

### Project Information

Project Type	: CIS Form 6	Project Status	: Open
Project Name	: Amended CIS Form 6 - PROSPECTUS FOR THIRD STATE FUND - 05 Aug 2013	Payment Status	: NA
		Project created by	: Guo Liang3
		Project created on	: 05/08/2013
		Last modified by	: Guo Liang3
		Last modified date	: 05/08/2013

Manage Permissions Start Submission Delete Project

Figure 2.11-7 Project Details

11. After clicking 'View project details', the project information page will be shown. See **Figure 2.11-7**. You may now proceed to lodge the amendment by clicking on **Start Submission**.

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### Form 6 - PROSPECTUS FOR THIRD

SECURITIES AND FUTURES ACT (CHAPTER 289)  
SECURITIES AND FUTURES (OFFERS OF INVESTMENTS)  
(COLLECTIVE INVESTMENT SCHEMES) REGULATIONS 2005  
GENERAL LODGMENT FORM

FORM 6

**I EXPLANATORY NOTES**

- All terms used in this Form shall, except where expressly defined in this Form or where the context otherwise requires, have the same meaning as defined in the Securities and Futures Act (Cap. 289) ("SFA") or the Securities and Futures (Offers of Investments) (Collective Investment Schemes) Regulations 2005 (the "SFR").
- Please ensure that you have read and complied with the CIS Practice Note 1/2005 – Administrative Procedures for Retail Schemes before submitting this form.
- Please ensure that all mandatory fields in Form 6 have been completed.

**II INFORMATION ON THE COLLECTIVE INVESTMENT SCHEME**

Scheme Name	: Local Investment - THIRD 123
Scheme Num	: CIS-A-201303-0009
Umbrella Fund Name	: AAA Umbrella
Type of Scheme	: CIS Form 1
Prospectus Name	: PROSPECTUS FOR THIRD
Lodged Date	: 24/06/2013
Registered Date	: 15/07/2013
Prospectus Status	: Lodged
Expiry Date	: N/A

Please indicate if payment is applicable:  
☒ Yes ☐ No

Figure 2.11-8 CIS Form 6 – Payment

12. Select 'Yes' if payment is required for this lodgement. See **Figure 2.11-8**.

**III PARTICULARS OF PERSON(S) MAKING THE OFFER (OTHER THAN THE RESPONSIBLE PERSON)**

Please indicate if a person, other than the responsible person, is making the offer:

☒ Yes ☐ No

Figure 2.11-9 CIS Form 6 – Person making the offer

13. Refer to steps 12 - 15 in section 2.10 on how to fill up the 'Particulars of Person(s) Making the Offer (Other than the Responsible Person)'.

IV Type of document being lodged/submitted	Description of Document Type
<input type="checkbox"/>	Amended prospectus (section 196(6C) of the SFA)
<input type="checkbox"/>	Amended profile statement (section 296(6C) of the SFA)

No documents added

Document Type: Choose your document type ▼

Figure 2.11-9 CIS Form 6 – Document

14. Refer to steps 16 - 17 in section 2.10 on how to fill up the 'Type of document being lodged/ submitted'.

Please indicate if payment for lodgement of amended prospectus has been waived by MAS:

☐ Yes ☐ No

Figure 2.11-10 CIS Form 6 – Payment Waived

15. Select 'Yes' if payment has been waived by MAS for this lodgement. See **Figure 2.11-10**.

**LODGED WITH THE AUTHORITY**

☒ Person making the offer ☐ Person making the offer through an advocate and solicitor acting on his behalf

By the person making the offer\*:

Name\*  

Where the person making the offer is an entity, name of the officer or equivalent person representing the entity in this lodgment  

Address

☒ Local Address ☐ Overseas Address

Blk/House No.\*  

Street Name\*  

Unit    

Building Name  

Postal Code\*   Singapore  

Email Address  

Tel No  

Fax No  

Name of responsible person

Address

☒ Local Address ☐ Overseas Address

Blk/House No.\*  

Street Name\*  

Unit    

Building Name  

Postal Code\*   Singapore  

Email Address  

Tel No  

Fax No  

Figure 2.11-11 CIS Form 6 – Lodged with Authority

16. Refer to steps 18 - 19 in section 2.10 on how to fill up the 'Lodged with the Authority'. After you have enter all the mandatory fields, click on . See **Figure 2.11-11**.

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Home > My OPERA > My Submissions > Submission

## Upload Document

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Project Name : Amended CIS (REIT) Form 6R - 2065\_REITS\_FORM2 - 05 Aug 2013

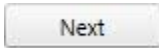
1. Please ensure that each document to be uploaded is not larger than 12 MB.
2. To select a file for upload, please click on the "Select File" button below.
3. To clear the selection, please click on the "Clear" button.

SN	File Name	Description of Document Type	Status	Description	Upload	Clear
1	CIS_FORM6_REITS_FORM.txt	CIS Form 6 Form	Draft			
2		Amended profile statement (section 296(6C) of the SFA) Blacklined	Pending Submission		Select File	Clear
3		Amended prospectus (section 196(6C) of the SFA) Blacklined	Pending Submission		Select File	Clear
4		Amended prospectus (section 196(6C) of the SFA)	Pending Submission		Select File	Clear
5		Amended profile statement (section 296(6C) of the SFA)	Pending Submission		Select File	Clear

File Upload Progress

Next

Figure 2.11-12 Upload documents

17. Refer to steps 13 - 14 in section 2.7 on how to upload documents. Click on  once you have attached all the documents. See **Figure 2.11-12**.

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## Summary

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Scheme Name(s) : Local Investment - THIRD 123  
Scheme Number : CIS-A-201303-0009  
Umbrella Fund Name : AAA Umbrella

Attached Document

SN	File Name	Description of Document	Other particulars (if applicable)	Status
1	CIS_FORM6_REITS_FORM.txt	CIS Form 6 Form		Draft
2	- Amended Profile Statement.pdf	Amended profile statement (section 296(6C) of the SFA) Blacklined		Draft
3	- Amended Prospectus 1.pdf	Amended prospectus (section 196(6C) of the SFA) Blacklined		Draft
4	- Amended Prospectus 2.pdf	Amended prospectus (section 196(6C) of the SFA)		Draft
5	- Amended Profile Statement.pdf	Amended profile statement (section 296(6C) of the SFA)		Draft

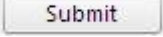
Payment Preview

SN	Description of Document	Unit Fee Payable (\$)	Quantity	Fee Payable (\$)	GST(\$)	Total(\$)
1	Amended prospectus	\$600.00	1	\$600.00	\$0.00	\$600.00
2	Amended profile statement	\$600.00	1	\$600.00	\$0.00	\$600.00

Submit Back

Figure 2.11-13 Submission Summary



18. A summary page with the Payment Preview is displayed. Click  to submit the application. See **Figure 2.11-13**.



The form has been submitted. Please proceed to make payment.

### Submission Complete

Instructions » Submit Forms » Upload Document » Summary » **Payment »** Receipt »

SN	Case Id	Item Description	Fee Unit Amount(\$)	GST(\$)	Quantity	Fee Amount(\$)	Total
1	CISR-6-201308-0001	Amended prospectus	\$600.00	\$0.00	1	\$600.00	\$600.00
2	CISR-6-201308-0001	Amended profile statement	\$600.00	\$0.00	1	\$600.00	\$600.00
Total							\$1,200.00

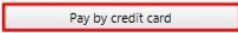

 

Figure 2.11-14 Payment details

19. A payment page is displayed. Click  button to make payment. See **Figure 2.11-14**.

20. Refer to section 2.23 – Online Payment on how to perform online payments.



### Payment details

Instructions » Submit Forms » Upload Document » Summary » **Payment »** Receipt »

Your payment was successful.

SN	Case Id	Item Description	Fee Unit Amount(\$)	GST(\$)	Quantity	Fee Amount(\$)	Payment Status
1	CISR-6-201308-0001	Amended prospectus	\$600.00	\$0.00	1	\$600.00	Paid
2	CISR-6-201308-0001	Amended profile statement	\$600.00	\$0.00	1	\$600.00	Paid

Your payment reference is 


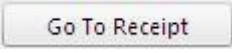
Please print this page for your reference. 

Figure 2.11-15 Payment reference number

21. After successful payment, a payment reference number is provided. See **Figure 2.11-15**.

22. Click  to view/print the receipt.

[HOME](#) [ABOUT OPERA](#) [OFFERS](#) [BUSINESS TRUSTS E-SERVICES](#) [TAKE-OVERS & MERGERS](#) [MY OPERA](#) [FAQ](#) Guo Liang3 [ log out ]

## Tax Invoice

[Instructions »](#) [Submit Forms »](#) [Upload Document »](#) [Summary »](#) [Payment »](#) [Receipt »](#)

Monetary Authority of Singapore  
10 Shenton Way MAS Building Singapore 079117  
GST Reg No : M90363076J  
Date : 05/08/2013  
Payment Reference Number : 84edf44ea6e4c09272d6  
Invoice Number : R130000019

Receipt Information

SN	Description	Qty	Unit Price (\$)	Amount(\$)
1	Amended prospectus	1	600.00	600.00
2	Amended profile statement	1	600.00	600.00
				Total 1,200.00
				Add GST @ 7% 0.00
				Amount Due 1,200.00


Project Information

Figure 2.11-16 Receipt

23. The receipt is shown and the submission process is completed. See Figure 2.11-16.

## 2.12 Create Project for Lodgement of Replacement/ Supplementary Prospectus (Form 6)

This section will show you how to create a project for lodgement of replacement/ supplementary prospectus/ profile statement/ Product Highlights Sheet.

 Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

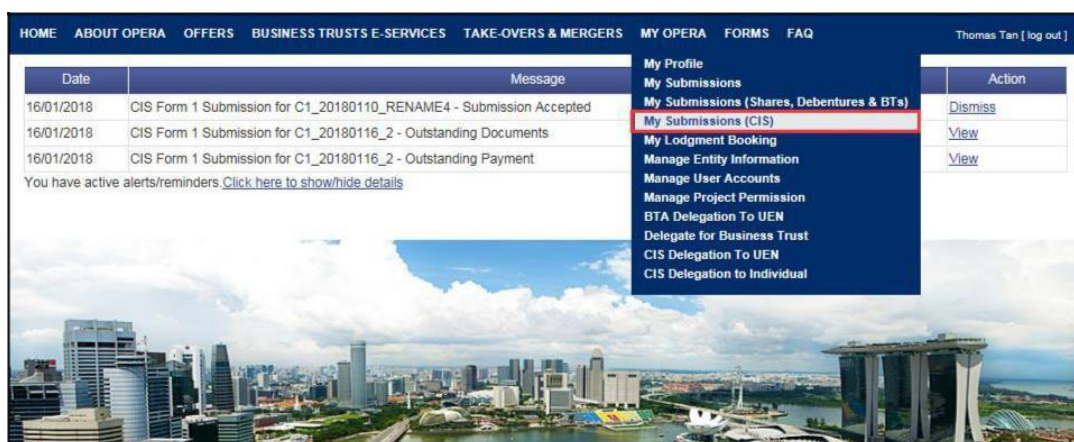


Figure 2.12-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.12-1**

HOME ABOUT OPERA OFFERS BUSINESS TRUSTS E-SERVICES TAKE-OVERS & MERGERS MY OPERA FAQ

Guo Liang3 [ log out ]

Home » My OPERA » My Submissions (CIS)

## Manage CIS Submission

Create Project  
Please start an application or lodgment by selecting one of the "New Project" options below.

Authorisation / Recognition

Update of Particulars

Prospectus Lodgment

Filter By  
Project Status: ☒ Open ☐ Active ☐ Closed ☐ Withdrawn  
Form Type: ☒ Form 1/ 2/ 1R/ 2R ☐ Form 1-A/ 2-A ☐ Form 6/ 6R ☐ All

Open Projects

Form Type	Project Name	Date of Creation	Status	Action
CIS Form1	CIS Form 1 - CIS1_2070 - 01 Aug 2013	01/08/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1 - 234 - 123 - 31 Jul 2013	31/07/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-123-02 Jul 2013	02/07/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-CIS SCHEME NAME-12 Jun 2013	12/06/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-LOCAL SCHEME AA-12 Jun 2013	12/06/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-AA-29 May 2013	29/05/2013	Open	<a href="#">View</a>
CIS Form1	LOCAL SCHEME - DUPLICATED 1897-CIS Form1-09 May 2013	09/05/2013	Open	<a href="#">View</a>
CIS Form1	FORM1 - WRONG SUPP DOC-CIS Form1-07 May 2013	07/05/2013	Open	<a href="#">View</a>
CIS Form2	TESTTEST-CIS Form2-07 May 2013	07/05/2013	Open	<a href="#">View</a>
CIS Form2	CIS FORM 2-CIS Form2-03 May 2013	03/05/2013	Open	<a href="#">View</a>

Total record(s): 15 Page Size 10 Page 1 of 2 go

Figure 2.12-2 Manage CIS Submission

- To create a CIS Form 6 project for non-REIT scheme, click on . To create a CIS Form 6 project for REIT scheme, click on . See **Figure 2.12-2**.

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Thomas Tan [ log out ]

## CIS Form Project Information

Instruction » Project Information » Project Created »

Please enter the details of the prospectus and set the project permissions.

☒ New/ Updated Prospectus ☐ Replacement/ Supplementary Prospectus

Prospectus Name:

Scheme Type:

Scheme Name	Umbrella Fund	Scheme Status
-------------	---------------	---------------

Project Permissions

Search Clear search

Available users  
Gordon Goh  
Percy Peh

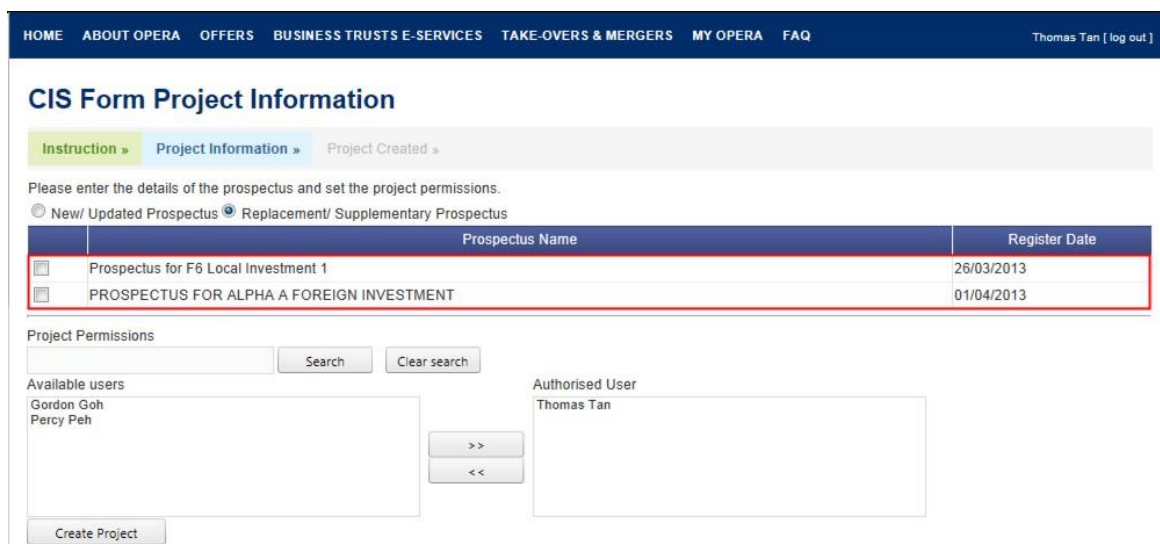
Authorised User  
Thomas Tan

>><<

Create Project

Figure 2.12-3 Create CIS Form 6 project

3. Select **Replacement/ Supplementary Prospectus** to lodge replacement/ supplementary prospectus.



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### CIS Form Project Information

Instruction » Project Information » Project Created »

Please enter the details of the prospectus and set the project permissions.

☐ New/ Updated Prospectus ☒ Replacement/ Supplementary Prospectus

	Prospectus Name	Register Date
<input type="checkbox"/>	Prospectus for F6 Local Investment 1	26/03/2013
<input type="checkbox"/>	PROSPECTUS FOR ALPHA A FOREIGN INVESTMENT	01/04/2013

Project Permissions

Search Clear search

Available users  
Gordon Goh  
Percy Peh

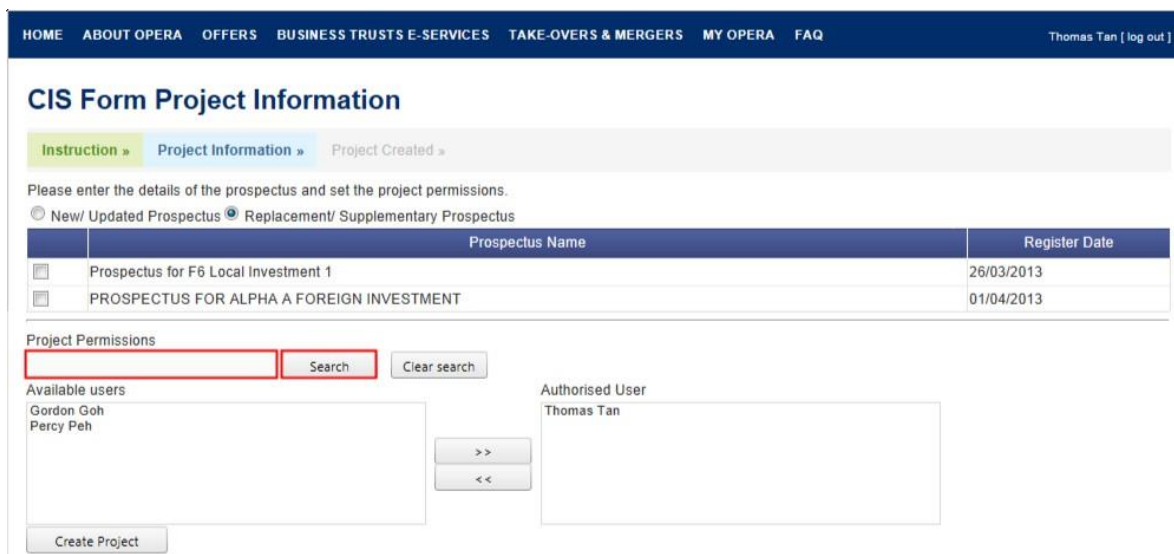
Authorised User  
Thomas Tan

>> <<

Create Project

Figure 2.12-4 Create CIS Form 6 project for replacement/ supplementary prospectus

4. Check on the checkbox next to the name of the prospectus that this current submission (i.e this replacement or supplementary prospectus) is replacing or supplementing. See **Figure 2.12-4**.



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### CIS Form Project Information

Instruction » Project Information » Project Created »

Please enter the details of the prospectus and set the project permissions.

☐ New/ Updated Prospectus ☒ Replacement/ Supplementary Prospectus

	Prospectus Name	Register Date
<input type="checkbox"/>	Prospectus for F6 Local Investment 1	26/03/2013
<input type="checkbox"/>	PROSPECTUS FOR ALPHA A FOREIGN INVESTMENT	01/04/2013

Project Permissions

Search Clear search

Available users  
Gordon Goh  
Percy Peh

Authorised User  
Thomas Tan

>> <<

Create Project

Figure 2.12-5 Search for available users

5. To search for available users who may be granted access to the project, enter the user name in the 'search' textbox. See **Figure 2.12-5**.

6. Click . See **Figure 2.12-5**.

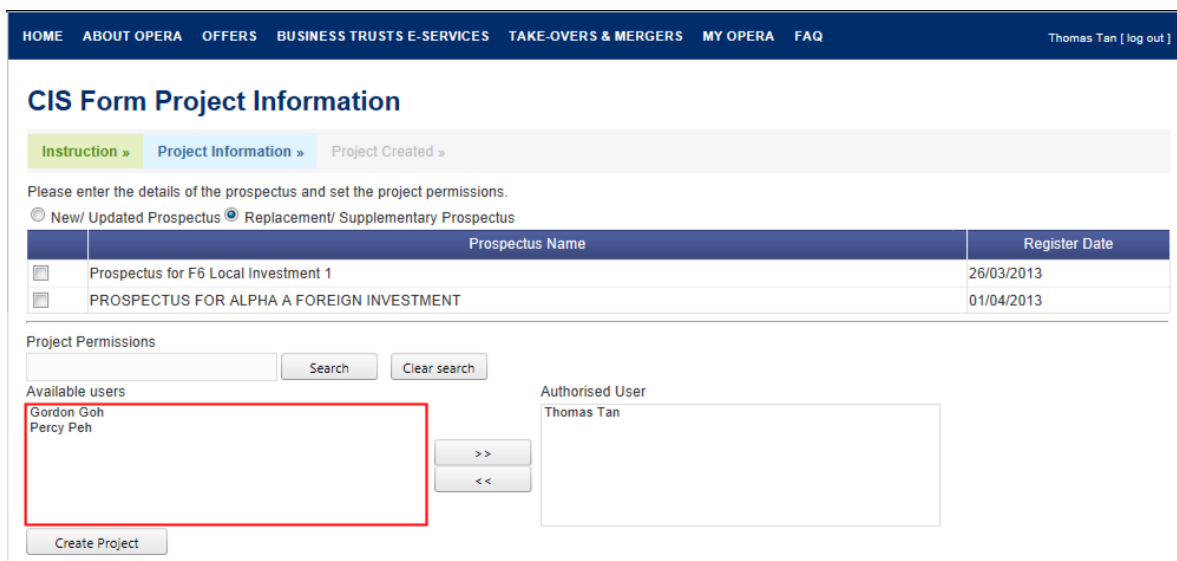

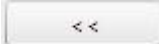


Figure 2.12-6 Add/ Remove authorised users

7. To grant an available user access to the project, select the user name in the 'Available users' list and click . The name(s) of the user(s) will be displayed in the 'Authorised User' list. See **Figure 2.12-6**.

**Note:** Only users listed in the 'Authorised User' list will be able to access the project, and make submissions as part of the project.

8. To deny authorised user(s) access to the project, select the user name in the 'Authorised User' list. Click . The name of the user(s) will be removed from the 'Authorised User' list. For example, in Figure 2.12-6, the user "Thomas Tan" may be removed from the 'Authorised User' list.

**Note:** You can still manage permissions for the project after the project is created.

9. Click on .



Figure 2.12-7 Project created successfully



10. A confirmation page will be shown when the project is successfully created. See **Figure 2.12-7**. Click on 'View project details' to proceed with lodgement.



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Home » My OPERA » My Submissions » Submission Details

### Project Information

Project Type	: CIS Form 6	Project Status	: Open
Project Name	: Replacement CIS Form 6 - PROSPECTUS FOR ALPHA A FOREIGN INVESTMENT - 05 Aug 2013	Payment Status	: NA
		Project created by	: Guo Liang3
		Project created on	: 05/08/2013
		Last modified by	: Guo Liang3
		Last modified date	: 05/08/2013

Manage Permissions **Start Submission** Delete Project

Figure 2.12-8 Project Details

11. After clicking 'View project details', the project information page will be shown. See **Figure 2.12-7**.

You may now proceed to lodge documents by clicking on 'Start Submission' to continue with the lodgement. See **Figure 2.12-8**.

You may also amend the list of authorised users by clicking on 'Manage Permissions'.



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### Form 6 - PROSPECTUS FOR ALPHA A FOREIGN INVESTMENT

SECURITIES AND FUTURES ACT (CHAPTER 289)  
SECURITIES AND FUTURES (OFFERS OF INVESTMENTS)  
(COLLECTIVE INVESTMENT SCHEMES) REGULATIONS 2005  
GENERAL LODGMENT FORM

FORM  
6

#### I EXPLANATORY NOTES

- All terms used in this Form shall, except where expressly defined in this Form or where the context otherwise requires, have the same meaning as defined in the Securities and Futures Act (Cap. 289) ("SFA") or the Securities and Futures (Offers of Investments) (Collective Investment Schemes) Regulations 2005 (the "SFR").
- Please ensure that you have read and complied with the CIS Practice Note 1/2005 – Administrative Procedures for Retail Schemes before submitting this form.
- Please ensure that all mandatory fields in Form 6 have been completed.

#### II INFORMATION ON THE COLLECTIVE INVESTMENT SCHEME

Scheme Name	: ALPHA A FOREIGN INVESTMENT
Scheme Num	: CIS-R-201303-0007
Umbrella Fund Name	: UMBRELLA FUND 20130325
Type of Scheme	: CIS Form 2
Prospectus Name	: PROSPECTUS FOR ALPHA A FOREIGN INVESTMENT
Lodged Date	: 01/04/2013
Registered Date	: 01/04/2013
Prospectus Status	: Registered
Expiry Date	: 01/04/2014

#### III PARTICULARS OF PERSON(S) MAKING THE OFFER (OTHER THAN THE RESPONSIBLE PERSON)

Please indicate if a person, other than the responsible person, is making the offer:

☐ Yes ☒ No

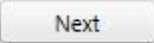
Figure 2.12-9 CIS Form 6 – Person making the offer

12. Refer to steps 12 - 15 in section 2.10 on how to fill up the 'Particulars of Person(s) Making the Offer (Other than the Responsible Person)'.

Figure 2.12-10 CIS Form 6 – Document

13. Refer to steps 16 - 17 in section 2.10 on how to fill up the 'Type of document being lodged / submitted'.

Figure 2.12-11 CIS Form 6 – Lodged with Authority

14. Refer to steps 18 - 19 in section 2.10 on how to fill up the section 'Lodged with the Authority'. After you have entered all the mandatory fields, click on . See **Figure 2.12-11**.

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Home > My OPERA > My Submissions > Submission

## Upload Document

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Project Name : Replacement CIS Form 6 - PROSPECTUS FOR ALPHA A FOREIGN INVESTMENT - 05 Aug 2013  
Schemes Name: ALPHA A FOREIGN INVESTMENT

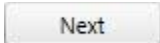
1. Please ensure that each document to be uploaded is not larger than 12 MB.  
2. To select a file for upload, please click on the "Select File" button below.  
3. To clear the selection, please click on the "Clear" button.

SN	File Name	Description of Document Type	Status	Description	Upload	Clear
1	CIS_FORM6_FORM.txt	CIS Form 6 Form	Draft			
2		Replacement prospectus (section 298 (1) or 298(2) of the SFA) Blacklined Submission	Pending		Select File	Clear
3		Replacement prospectus (section 298 (1) or 298(2) of the SFA) Submission	Pending		Select File	Clear
4		Confirmation letter (paragraph 23(e) of CIS Practice Note 1/2005) Submission	Pending		Select File	Clear

File Upload Progress

Next

Figure 2.12-12 Upload documents

15. Refer to steps 13 - 14 in section 2.7 on how to upload documents. Click on  once you have attached all the documents. See **Figure 2.12-12**.

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## Summary

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Scheme Name(s) : ALPHA A FOREIGN INVESTMENT  
Scheme Number : CIS-R-201303-0007  
Umbrella Fund Name : UMBRELLA FUND 20130325

Attached Document

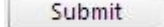
SN	File Name	Description of Document	Other particulars (if applicable)	Status
1	CIS_FORM6_FORM.txt	CIS Form 6 Form		Draft
2	- Replacement Prospectus.pdf	Replacement prospectus (section 298(1) or 298(2) of the SFA) Blacklined		Draft
3	- Replacement Prospectus.pdf	Replacement prospectus (section 298(1) or 298(2) of the SFA)		Draft
4		Confirmation letter (paragraph 23(e) of CIS Practice Note 1/2005)		Pending Submission

Payment Preview

SN	Description of Document	Unit Fee Payable (\$)	Quantity	Fee Payable (\$)	GST(\$)	Total(\$)
1	Replacement prospectus	\$1,200.00	1	\$1,200.00	\$0.00	\$1,200.00

Submit Back

Figure 2.12-13 Submission Summary

16. A summary page with the Payment Preview is displayed. Click  to submit the application. See **Figure 2.12-13**.

The form has been submitted. Please proceed to make payment.

### Submission Complete

Instructions » Submit Forms » Upload Document » Summary » **Payment »** Receipt »

SN	Case Id	Item Description	Fee Unit Amount(\$)	GST(\$)	Quantity	Fee Amount(\$)	Total
1	CIS-6-201308-0002	Replacement prospectus	\$1,200.00	\$0.00	1	\$1,200.00	\$1,200.00
Total							\$1,200.00

**Pay by credit card** Back to Main Page

Figure 2.12-14 Payment details

17. A payment page is displayed. Click **Pay by credit card** button to make payment. See **Figure 2.12-14**.

18. Refer to section 2.23 – Online Payment on how to perform online payments.

### Payment details

Instructions » Submit Forms » Upload Document » Summary » **Payment »** Receipt »

Your payment was successful.

SN	Case Id	Item Description	Fee Unit Amount(\$)	GST(\$)	Quantity	Fee Amount(\$)	Payment Status
1	CIS-6-201308-0002	Replacement prospectus	\$1,200.00	\$0.00	1	\$1,200.00	Paid

Your payment reference is **38a5a108704b96ece685**.

Please print this page for your reference. **Go To Receipt**

Figure 2.12-15 Payment reference number

19. After successful payment, a payment reference number is provided. See **Figure 2.12-15**.

20. Click **Go To Receipt** to view/print the receipt.

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## Tax Invoice

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Monetary Authority of Singapore  
10 Shenton Way MAS Building Singapore 079117  
GST Reg No : M90363076J  
Date : 05/08/2013  
Payment Reference Number : 38a5a108704b96ece685  
Invoice Number : R130000020

Receipt Information

SN	Description	Qty	Unit Price (\$)	Amount(\$)
1	Replacement prospectus	1	1,200.00	1,200.00
				Total 1,200.00
				GST Amount 0.00
				Amount Due 1,200.00


Project Information

Figure 2.12-16 Receipt

21. The receipt is shown and the submission process is completed. See **Figure 2.12-16**.

## 2.13 Request for Extension of Registration Period

An applicant may request to extend the registration period for a Form 6/Form 6R submission in 'My Submissions (CIS)' under 'My OPERA'. This section will show you how to request for extension of registration period.

 Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

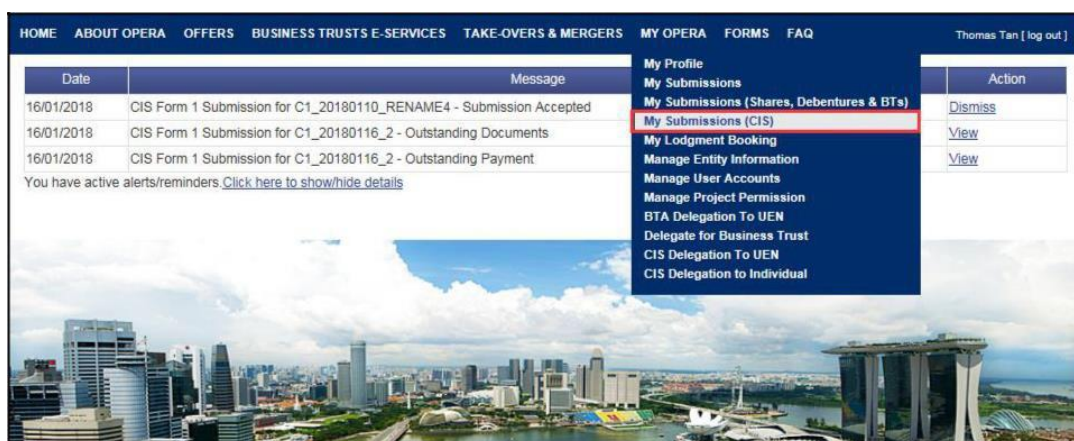


Figure 2.13-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.13-1**.

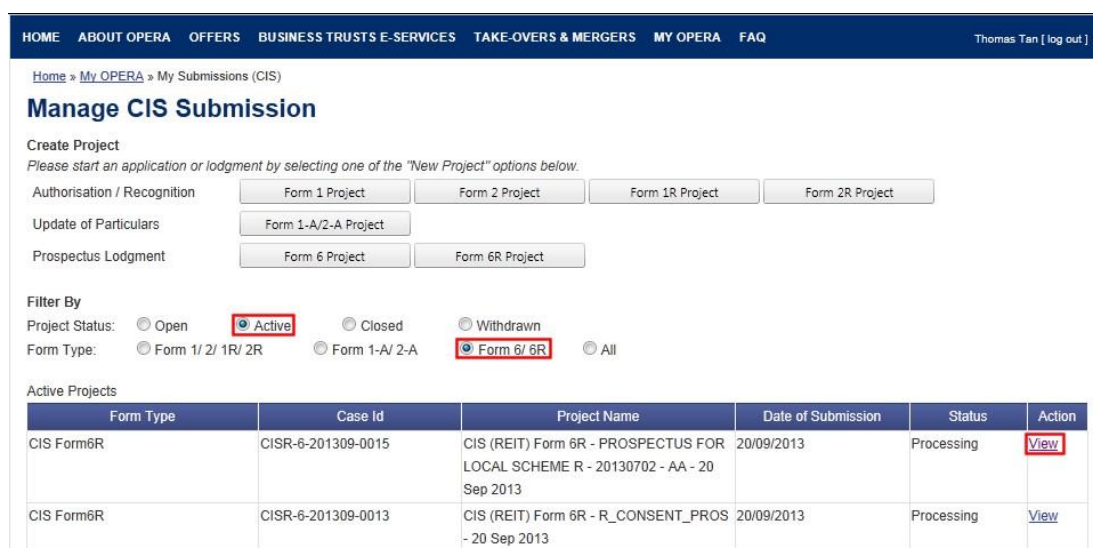


Figure 2.13-2 Manage Offer Overview



2. Select 'Active' for the 'Project Status' and 'Form 6/ 6R' for the 'Form type' to filter for CIS Form 6/ 6R projects that are 'Active'. See **Figure 2.13-2**.
3. Click on 'View' to select of the project for which that you want to lodge amended prospectus / profile statement / Product Highlights Sheet. See **Figure 2.13-2**.

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[Home](#) » [My OPERA](#) » [My Submissions](#) » Submission Details

### Project Information

Project Type	: CIS Form 6	Project Status	: Active
Project Name	: CIS Form 6 - PROSPECTUS FOR THIRD STATE FUND - 02 Aug 2013	Payment Status	: Paid
		Project created by	: Guo Liang3
		Project created on	: 02/08/2013
		Last modified by	: Guo Liang3
		Last modified date	: 05/08/2013

[Manage Permissions](#)
[Withdraw Submission](#)
[Create Form6 Amendment](#)
[Request Extension of Registration Period](#)

Payment Information

Item Description	Fee Amt(\$)	GST(\$)	Unit Amt(\$)	Quantity	Total	Status
Preliminary document/Prospectus	\$1,200.00	\$0.00	\$1,200.00	1	\$1,200.00	<a href="#">Paid - View Receipt</a>

Application Information  
Case ID :CIS-6-201308-0001      Application Status : Processing

Documents Required

Document	Description of Document	Other particulars (if applicable)	Submitted date	Document status
CIS_FORM6_FORM.txt	CIS Form 6 Form		05/08/2013	Lodged
- PHS 1.pdf	Product Highlights Sheet (section 296A(1) of the SFA)		05/08/2013	Lodged
- Prospectus.pdf	Preliminary document / Prospectus (section 296(1) of the SFA)		05/08/2013	Lodged

Figure 2.13-3 Project Information

4. Click on [Request Extension of Registration Period](#) to apply for extension of registration period. See **Figure 2.13-3**.

**Note:** The button 'Request Extension of Registration Period' is only available for CIS Form 6 with 'Processing' status.

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### Application to extend period for registration of offer document

Prospectus Name : PROSPECTUS FOR THIRD STATE FUND

Lodged Date : 22/07/2013

Expected Registration Date : 12/08/2013

Request for extension of registration period to\* : 20/09/2013

Supporting Documents :

Upload File

[Browse...](#) [Upload](#)

[Submit](#) [Back](#)

Figure 2.13-4 Application to Extend Registration Period

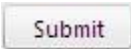
5. Enter date in the mandatory field 'Request for extension of registration period to:' and click on . See **Figure 2.13-4**.



Figure 2.13-5 Confirmation screen

6. Click  to confirm submission of the application. See **Figure 2.13-5**.




Figure 2.13-6 Submission Completed

7. After successful submission of request, a confirmation message is displayed. See **Figure 2.13-6**.

## 2.14 Change Scheme Name

An applicant may request to change the name of a scheme before the scheme is authorized/recognized, in 'My Submissions (CIS)' under 'My OPERA'. This section will show you how to request for change of scheme name.

 Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

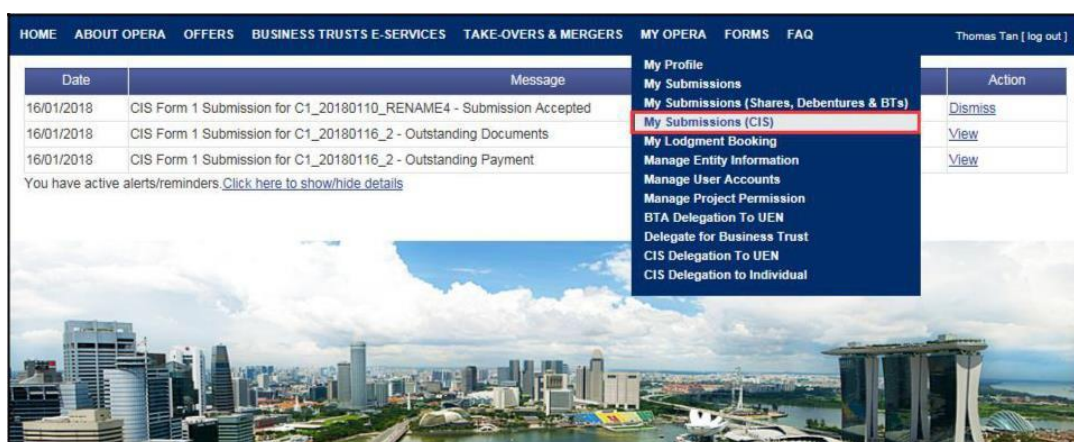


Figure 2.14-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.14-1**.

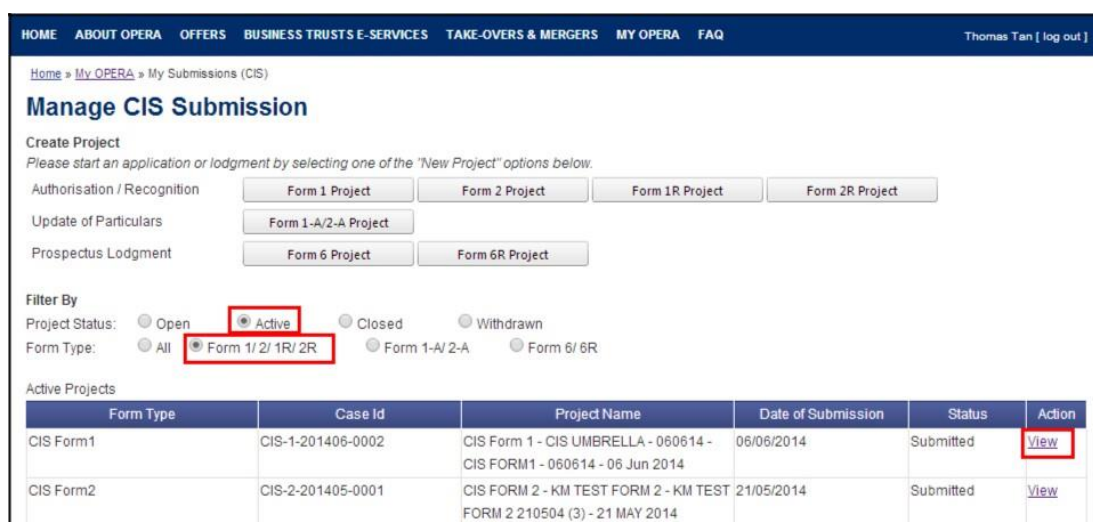


Figure 2.14-2 Manage CIS Submission Overview

2. Select 'Active' for the 'Project Status' and 'Form 1/ 2/ 1R/ 2R' for the 'Form type' to filter for CIS Form 1/ 2/ 1R/ 2R projects that are 'Active'. See **Figure 2.14-2**.
3. Click on 'View' to select of the project for which that you want to request for change of scheme name. See **Figure 2.14-2**.

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Home > My OPERA > My Submissions > Submission Details

### Project Information

Project Type	: CIS Form 1	Project Status	: Active
Project Name	: CIS Form 1 - CIS UMBRELLA - 060614 - CIS FORM1 - 060614 - 06 Jun 2014	Payment Status	: Paid
		Project created by	: Thomas Tan
		Project created on	: 06/06/2014
		Last modified by	: Thomas Tan
		Last modified date	: 06/06/2014

Payment Information

Item Description	Fee Amt(\$)	GST(\$)	Unit Amt(\$)	Quantity	Total	Status
CIS Form 1 Submission	\$1,200.00	\$0.00	\$1,200.00	1	\$1,200.00	<a href="#">Paid - View Receipt</a>

Application Information  
Case ID : CIS-1-201406-0002      Application Status : Submitted

Figure 2.14-3 Project Information

4. Click on  to submit a request to change the name of a scheme. See **Figure 2.14-3**.

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### Request to Change Scheme Name

Current Scheme Name : CIS FORM1 - 060614

New Scheme Name :

Figure 2.14-4 Change Scheme Name

5. Enter the new scheme name and click on . See **Figure 2.14-4**.



Figure 2.14-5 Confirmation dialog

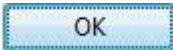
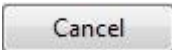
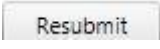
6. A confirmation dialog is displayed. Click on  to proceed with the request to change of the name of the scheme, or  to cancel request. See **Figure 2.14-5**.


Figure 2.14-6 Request Submitted

7. After successful submission of request, a confirmation message is displayed. Application status is updated to 'Returned For Amendment'. The applicant can then click on  to resubmit the updated Form 1/ 2/ 1R/ 2R, consisting of the new scheme name. See **Figure 2.14-6**.
8. The steps to resubmit the submission are the same as the steps to submit the lodgement. Please refer to section 2.7 for details.
9. The change of scheme name will take effect once the resubmission is successful.



## 2.15 Change Prospectus Name

An applicant may request to change the name of a prospectus before the prospectus is registered, in 'My Submissions (CIS)' under 'My OPERA'. This section will show you how to request for change of prospectus name.

 Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

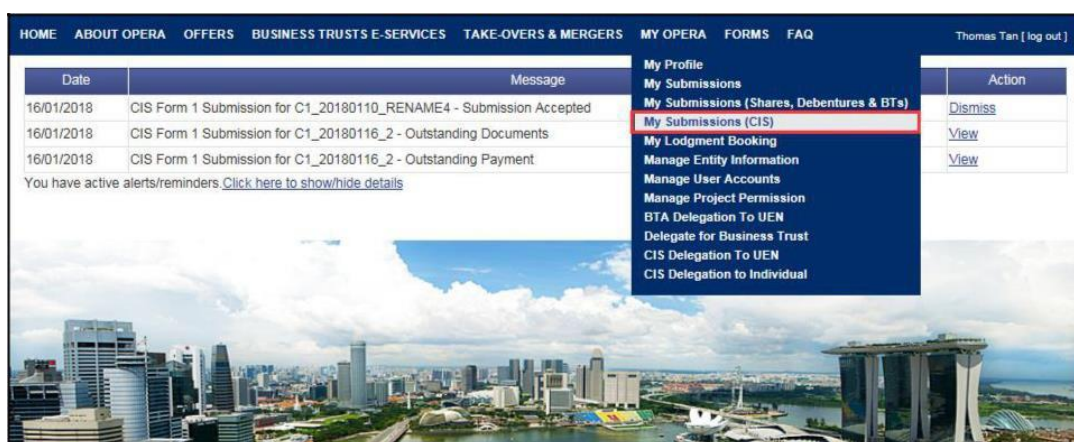


Figure 2.15-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.15-1**.

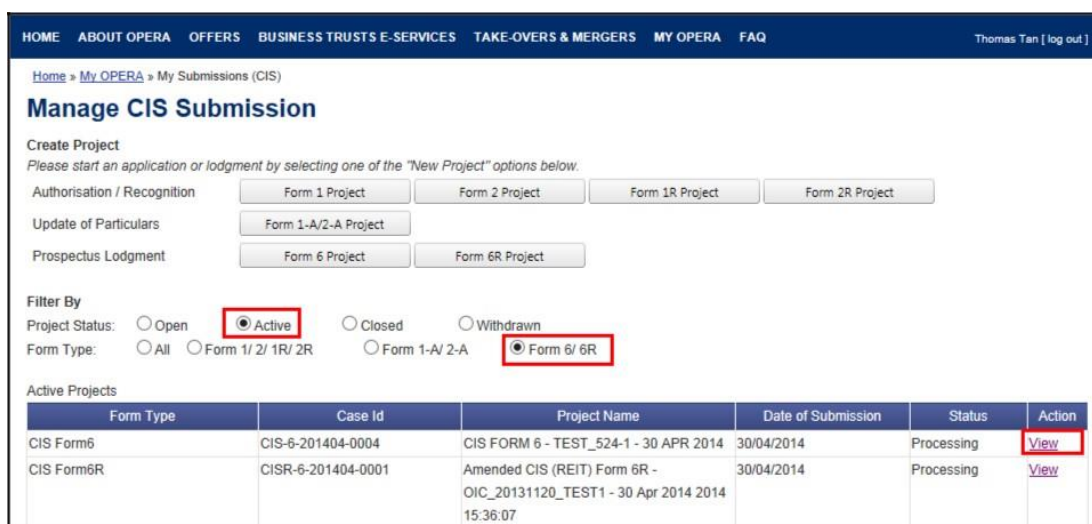


Figure 2.15-2 Manage CIS Submission Overview



2. Select 'Active' for the 'Project Status' and 'Form 6/ 6R' for the 'Form type' to filter for CIS Form 6/ 6R projects that are 'Active'. See **Figure 2.15-2**.
3. Click on 'View' to select of the project for which that you wish to request for change of prospectus name. See **Figure 2.15-2**.

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Home » My OPERA » My Submissions » Submission Details

### Project Information

Project Type	: CIS Form 6	Project Status	: Active
Project Name	: CIS FORM 6 - TEST_524-1 - 30 APR 2014	Payment Status	: Paid
		Project created by	: Thomas Tan
		Project created on	: 30/04/2014
		Last modified by	: Thomas Tan
		Last modified date	: 04/06/2014

#### Payment Information

Item Description	Fee Amt(\$)	GST(\$)	Unit Amt(\$)	Quantity	Total	Status
Profile statement	\$600.00	\$0.00	\$600.00	1	\$600.00	<a href="#">Paid - View Receipt</a>
Preliminary document/Prospectus	\$1,200.00	\$0.00	\$1,200.00	1	\$1,200.00	<a href="#">Paid - View Receipt</a>

Application Information  
 Case ID : CIS-6-201404-0004  
 Application Date : 30/04/2014  
 Application Status : Processing

Figure 2.15-3 Project Information

4. Click on  to submit a request to change the name of a prospectus. See **Figure 2.15-3**.

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### Request to Change Prospectus Name

Current Prospectus Name : TEST\_524-1  
 New Prospectus Name : TEST\_524-1A

Figure 2.15-4 Change Prospectus Name

5. Enter the new prospectus name and click on . See **Figure 2.15-4**.

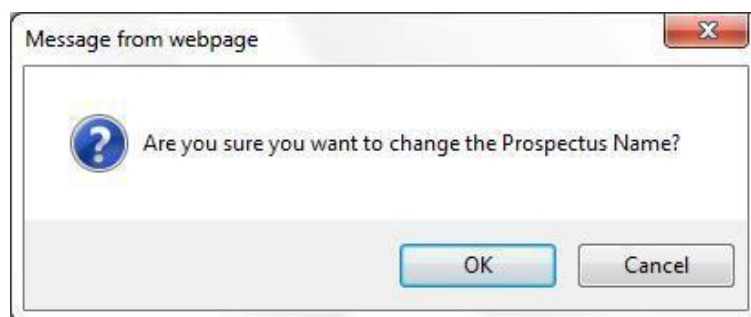
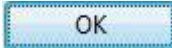
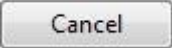


Figure 2.15-5 Confirmation dialog

6. A confirmation dialog is displayed. Click on  to proceed with change of prospectus name, or  to cancel request. See **Figure 2.15-5**.

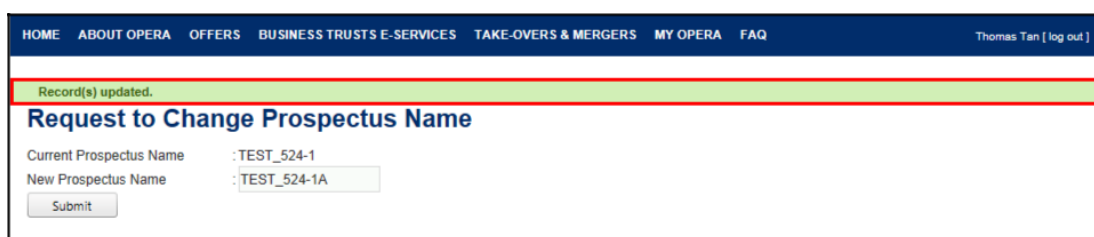



Figure 2.15-6 Record Updated

7. After successful submission of request, a confirmation message is displayed. See **Figure 2.15-6**. The change of prospectus name will take effect immediately.

## 2.16 Request for Consent to Amendments

An applicant may request for Consent to Amendments, in 'My Submissions (CIS)' under 'My OPERA'. This section will show you how to request for consent to amendments.

 Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

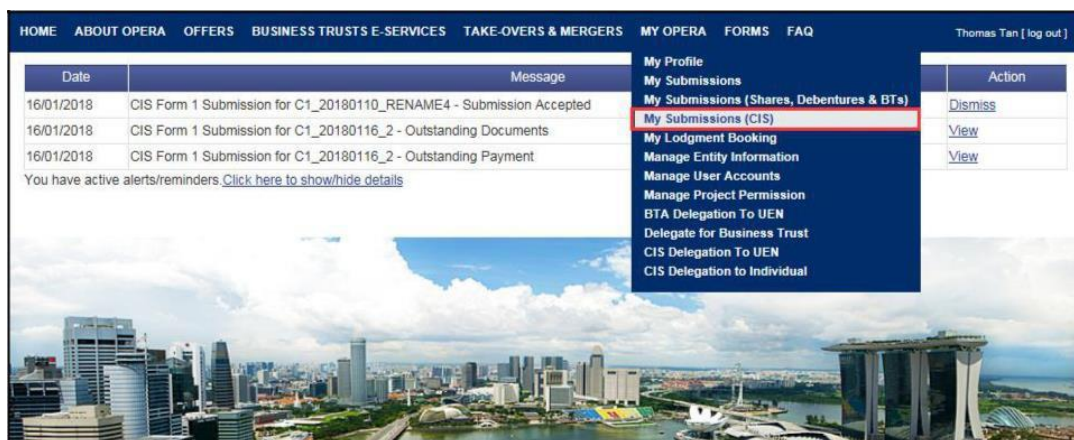
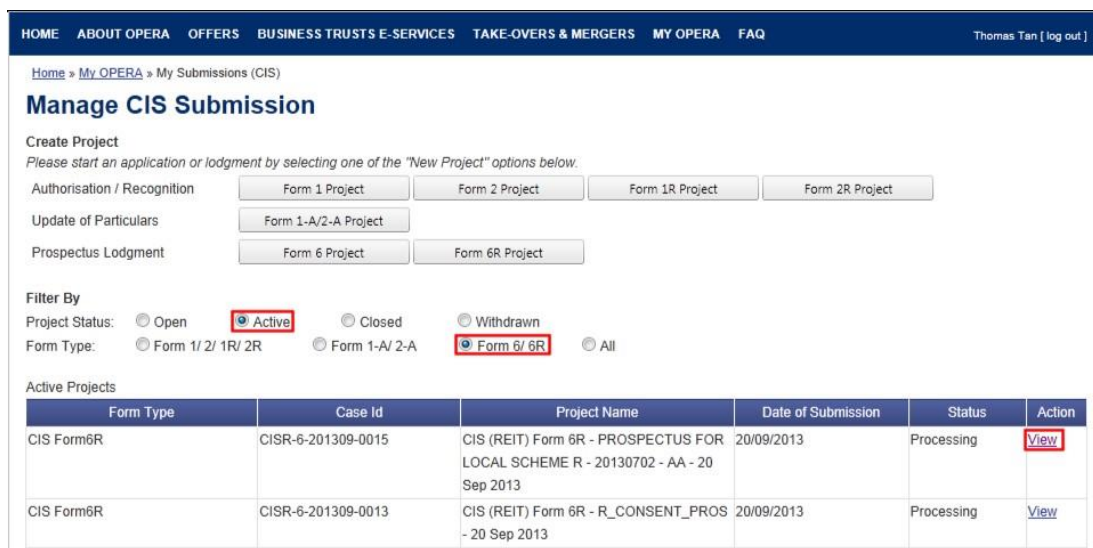


Figure 2.16-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.16-1**.



Form Type	Case Id	Project Name	Date of Submission	Status	Action
CIS Form6R	CISR-6-201309-0015	CIS (REIT) Form 6R - PROSPECTUS FOR LOCAL SCHEME R - 20130702 - AA - 20 Sep 2013	20/09/2013	Processing	<a href="#">View</a>
CIS Form6R	CISR-6-201309-0013	CIS (REIT) Form 6R - R_CONSENT_PROS - 20 Sep 2013	20/09/2013	Processing	<a href="#">View</a>

Figure 2.16-2 Manage Offer Overview

2. Select 'Active' for the 'Project Status' and 'Form 6/ 6R' for the 'Form type' to filter for CIS Form 6/ 6R projects that are 'Active'. See **Figure 2.16-2**.
3. Click on 'View' to select of the project for which that you want to request for MAS' consent to amendments. See **Figure 2.16-2**.

**Project Information**

Project Type	: CIS Form 6 Reits	Project Status	: Active
Project Name	: CIS (REIT) Form 6R - PROSPECTUS FOR LOCAL SCHEME R - 20130702 - AA - 20 Sep 2013	Payment Status	: Pending Payment
		Project created by	: Thomas Tan
		Project created on	: 20/09/2013
		Last modified by	: Thomas Tan
		Last modified date	: 20/09/2013

**Payment Information**

Item Description	Fee Amt(\$)	GST(\$)	Unit Amt(\$)	Quantity	Total	Status
Profile statement	\$600.00	\$0.00	\$600.00	1	\$600.00	Pending Payment
Preliminary document/Prospectus	\$1,200.00	\$0.00	\$1,200.00	1	\$1,200.00	Pending Payment

**Application Information**  
Case ID : CISR-6-201309-0015      Application Status : Processing

**Documents Required**

Document	Description of Document	Other particulars (if applicable)	Submitted date	Document status
CIS_FORM6_REITS_FORM.txt	CIS Form 6 Form		20/09/2013	Lodged
a.pdf	Profile statement (section 296(2) of the SFA)		20/09/2013	Lodged
a.pdf	Preliminary document / Prospectus (section 296(1) of the SFA)		20/09/2013	Lodged

Figure 2.16-3 Project Information

4. Click on  to submit request for MAS' consent to amendments. See **Figure 2.16-3**.

**Note:** The button 'Request for MAS' Consent to Amendments' is only available for CIS Form 6R with 'Processing' status.

MAS Monetary Authority of Singapore

OPERA OFFERS AND PROSPECTUSES ELECTRONIC REPOSITORY AND ACCESS

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Thomas Tan [ log out ]

### Request for MAS's Consent To Amendment

Offer Type : CIS Reits Form 1  
Name of Issuer : PROSPECTUS FOR LOCAL SCHEME R - 20130702 - AA  
Lodged Date : 20/09/2013

Please attach your request for MAS' consent to lodge amendments to the preliminary prospectus. The relevant amended pages of the preliminary prospectus should also be submitted.

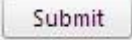
Upload attachment

Upload File

Browse... Upload

Submit

Figure 2.16-4 Request for MAS' Consent to Amendment

5. Upload the supporting document and click on . See **Figure 2.16-4**.

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Thomas Tan [ log out ]

Your request has been submitted.

### Request for MAS's Consent To Amendment

Offer Type : CIS Reits Form 1  
Name of Issuer : PROSPECTUS FOR LOCAL SCHEME R - 20130702 - AA  
Lodged Date : 20/09/2013

Please attach your request for MAS' consent to lodge amendments to the preliminary prospectus. The relevant amended pages of the preliminary prospectus should also be submitted.

Upload attachment

Upload File

a.pdf Delete

Browse... Upload

Submit

Figure 2.16-5 Request Submitted

6. After successful submission of request, a confirmation message is displayed. See **Figure 2.16-5**.



## 2.17 Create Project for Updates of Scheme(s) Particulars (Form 1-A/2-A)

This section will show you how to create a project to update the particulars of scheme(s).

Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.



Figure 2.17-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.17-1**.

Form Type	Project Name	Date of Creation	Status	Action
CIS Form1	CIS Form 1 - CIS1_2070 - 01 Aug 2013	01/08/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1 - 234 - 123 - 31 Jul 2013	31/07/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-123-02 Jul 2013	02/07/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-CIS SCHEME NAME-12 Jun 2013	12/06/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-LOCAL SCHEME AA-12 Jun 2013	12/06/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-AA-29 May 2013	29/05/2013	Open	<a href="#">View</a>
CIS Form1	LOCAL SCHEME - DUPLICATED 1897-CIS Form1-09 May 2013	09/05/2013	Open	<a href="#">View</a>
CIS Form1	FORM1 - WRONG SUPP DOC-CIS Form1-07 May 2013	07/05/2013	Open	<a href="#">View</a>
CIS Form2	TESTTEST-CIS Form2-07 May 2013	07/05/2013	Open	<a href="#">View</a>
CIS Form2	CIS FORM 2-CIS Form2-03 May 2013	03/05/2013	Open	<a href="#">View</a>

Figure 2.17-2 Manage CIS Submission



2. To create a CIS Form 1-A / 2-A, click on **Form 1-A/2-A Project**. See **Figure 2.17-2**.

**CIS Form Project Information**

Instruction » Project Information » Project Created »

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update : Update Particulars for Scheme(s) ▼

Single/Multiple Schemes : Update Particulars for Scheme(s)

Scheme Type : Withdraw Authorisation/ Recognition

Scheme Type : Windup Notification

Scheme Type : Submit Other Notification

Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/> CIS-A-201306-0005	LOCAL SCHEME - 20130611 - AA		Authorised	11/06/2013
<input type="checkbox"/> CIS-A-201306-0011	LOCAL SCHEME - 20130620 - BB		Authorised	20/06/2013
<input type="checkbox"/> CIS-A-201306-0010	LOCAL SCHEME - 20130620 - AA		Authorised	20/06/2013
<input type="checkbox"/> CIS-A-201306-0012	C1_20130621_TEST1	Q25555	Authorised	21/06/2013
<input type="checkbox"/> CIS-A-201307-0001	C1_STATUS_TEST2	AAA	Authorised	10/07/2013
<input type="checkbox"/> CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input type="checkbox"/> CIS-A-201308-0002	ANGEL LOCAL FUND	SG FUND	Authorised	12/08/2013

Total record(s): 37 Page Size 10 Page 4 of 4 go

Effective Date :

Project Permissions

Search Clear search

Available users

Gordon Goh

Percy Peh

Authorised User

Thomas Tan

>>

<<

Create Project

Figure 2.17-3 Create CIS Form 1-A / 2-A project

3. Select 'Update Particulars for Scheme(s)' for 'Type of Update'. Select either, 'Single Scheme' or 'Multiple Scheme' for 'Single/Multiple Schemes'. Select the 'Scheme Type'. See **Figure 2.17-3**.

**Note:** Select 'Multiple Schemes' under 'Single / Multiple Schemes' if you want to update the particulars for multiple schemes.

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### CIS Form Project Information

Instruction »
Project Information »
Project Created »

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update : Update Particulars for Scheme(s)

Single/Multiple Schemes : Single Scheme

Scheme Type : Authorised Schemes

	Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/>	CIS-A-201306-0005	LOCAL SCHEME - 20130611 - AA		Authorised	11/06/2013
<input type="checkbox"/>	CIS-A-201306-0011	LOCAL SCHEME - 20130620 - BB		Authorised	20/06/2013
<input type="checkbox"/>	CIS-A-201306-0010	LOCAL SCHEME - 20130620 - AA		Authorised	20/06/2013
<input type="checkbox"/>	CIS-A-201306-0012	C1_20130621_TEST1	Q25555	Authorised	21/06/2013
<input type="checkbox"/>	CIS-A-201307-0001	C1_STATUS_TEST2	AAA	Authorised	10/07/2013
<input type="checkbox"/>	CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input type="checkbox"/>	CIS-A-201308-0002	ANGEL LOCAL FUND	SG FUND	Authorised	12/08/2013

Total record(s): 37    Page Size: 10    Page: 4 of 4 go

Effective Date :

Project Permissions

Available users  
Gordon Goh  
Percy Peh

Authorised User  
Thomas Tan

>>  
<<

Create Project

Figure 2.17-4 Create CIS Form 1-A / 2-A project for Authorised Scheme

- Check on the checkbox next to the name of the scheme(s) you want to update. Enter the 'Effective Date'. See **Figure 2.17-4**.

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Thomas Tan [ log out ]

### CIS Form Project Information

Instruction » Project Information » Project Created »

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update : Update Particulars for Scheme(s)

Single/Multiple Schemes : Single Scheme

Scheme Type : Authorised Schemes

	Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/>	CIS-A-201306-0005	LOCAL SCHEME - 20130611 - AA		Authorised	11/06/2013
<input type="checkbox"/>	CIS-A-201306-0011	LOCAL SCHEME - 20130620 - BB		Authorised	20/06/2013
<input type="checkbox"/>	CIS-A-201306-0010	LOCAL SCHEME - 20130620 - AA		Authorised	20/06/2013
<input type="checkbox"/>	CIS-A-201306-0012	C1_20130621_TEST1	Q25555	Authorised	21/06/2013
<input type="checkbox"/>	CIS-A-201307-0001	C1_STATUS_TEST2	AAA	Authorised	10/07/2013
<input checked="" type="checkbox"/>	CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input type="checkbox"/>	CIS-A-201308-0002	ANGEL LOCAL FUND	SG FUND	Authorised	12/08/2013

Total record(s): 37 Page Size 10 Page 4 of 4 go

Effective Date : 30/08/2013

Project Permissions

Search Clear search

Available users  
Gordon Goh  
Percy Peh

Authorised User  
Thomas Tan

>>  
<<

Create Project

Figure 2.17-5 Search for available users

- To search for available users who may be granted access to the project, enter the user name in the 'search' textbox. See **Figure 2.17-5**.
- Click . See **Figure 2.17-5**.

**CIS Form Project Information**

Instruction » Project Information » Project Created »

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update : Update Particulars for Scheme(s) ▼

Single/Multiple Schemes : Single Scheme ▼

Scheme Type : Authorised Schemes ▼

	Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/>	CIS-A-201306-0005	LOCAL SCHEME - 20130611 - AA		Authorised	11/06/2013
<input type="checkbox"/>	CIS-A-201306-0011	LOCAL SCHEME - 20130620 - BB		Authorised	20/06/2013
<input type="checkbox"/>	CIS-A-201306-0010	LOCAL SCHEME - 20130620 - AA		Authorised	20/06/2013
<input type="checkbox"/>	CIS-A-201306-0012	C1_20130621_TEST1	Q25555	Authorised	21/06/2013
<input type="checkbox"/>	CIS-A-201307-0001	C1_STATUS_TEST2	AAA	Authorised	10/07/2013
<input checked="" type="checkbox"/>	CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input type="checkbox"/>	CIS-A-201308-0002	ANGEL LOCAL FUND	SG FUND	Authorised	12/08/2013

Total record(s): 37 Page Size 10 Page 4 of 4 go

Effective Date : 30/08/2013

Project Permissions

Available users

Gordon Goh

Percy Peh


Authorised User

Thomas Tan

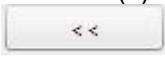
>> <<

Create Project

Figure 2.17-6 Add/ Remove authorised users

- To grant an available user access to the project, select the user name in the 'Available users' list and click . The name(s) of the user(s) will be displayed in the 'Authorised User' list. See **Figure 2.17-6**.

**Note:** Only users listed in the 'Authorised User' list will be able to access the project, and make submissions as part of the project.

- To deny authorised user(s) access to the project, select the user name in the 'Authorised User' list. Click . The name of the user(s) will be removed from the 'Authorised User' list. For example, in Figure 2.17-6, the user "Thomas Tan" may be removed from the 'Authorised User' list.

**Note:** You can still manage permissions for the project after the project is created.

- Click on .



Figure 2.17-7 Project created successfully

10. A confirmation page will be shown when the project is successfully created. See **Figure 2.17-7**. Click on 'View project details' to proceed with lodgement.

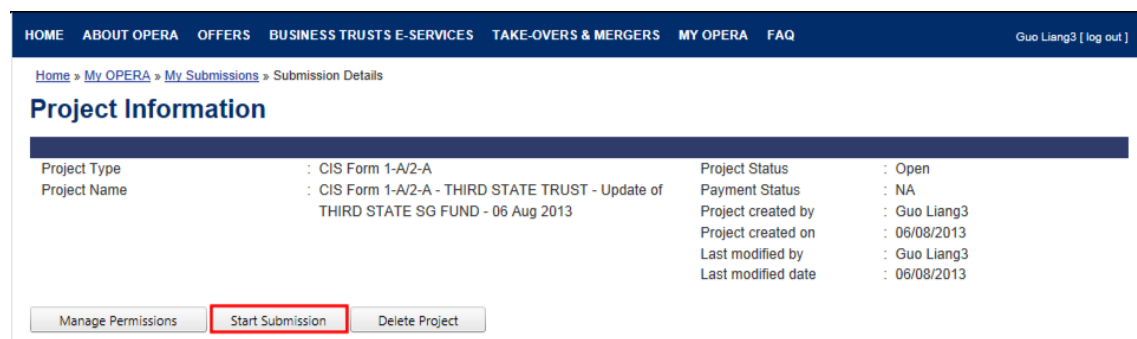
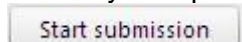


Figure 2.17-8 Project Details

11. After clicking 'View project details', the project information page will be shown. See **Figure 2.17-8**.

You may now proceed to update the particulars of the scheme(s) by clicking on



You may also amend the list of authorised users by clicking on 'Manage Permissions'.

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Home > My OPERA > My Submissions > Submission

## CIS Form 1-A/2-A - THIRD STATE TRUST - Update of THIRD STATE SG FUND - 06 Aug 2013

Instructions > Submit Forms > Upload Document > Summary > Payment > Receipt >

### Explanatory Notes

- Please read the explanatory notes and questions carefully before completing the update of particulars form.
- To submit an update of particulars for a particular question, please tick (✓) the box next to the question number and fill up the updated particulars for that question. Should there be insufficient space for your answers, please attach annex(es) which should be identified as such and signed by the signatories to this application.
- Where there is an asterisk (\*), please delete whichever is inapplicable.
- Please tick (✓) in the relevant boxes where appropriate under each question.
- Unless stated otherwise, the information provided in the update of particulars form must be correct as at a date not earlier than 14 days before the date of application.
- All dollar amounts are to be stated in Singapore dollars.
- This update of particulars is to be made by the responsible person of the collective investment scheme constituted outside Singapore recognised under section 287(1) of the Securities and Futures Act (Cap. 289) (the "Act"), or by a person authorised by the responsible person of the collective investment scheme.
- Where the responsible person of the collective investment scheme has notice of a material change in the information submitted as part of this update of particulars form, the responsible person of the collective investment should notify the Monetary Authority of Singapore (the "Authority") immediately using this form and completing the section in which there is a change.
- All terms used in this Form shall, except where expressly defined in this Form or where the context otherwise requires, have the same meaning as defined in the Act or the relevant subsidiary legislation.

☒ I have read and understood the submission instructions.

Cancel Next

Figure 2.17-9 Submission Instructions

12. Check ☒ to indicate that you have read and understood the submission instructions. See **Figure 2.17-9**.

13. Click  to proceed, or click  to return to the previous screen. See **Figure 2.17-9**.

Instructions > Submit Forms > Upload Document > Summary > Payment > Receipt >

Project Name: CIS Form 1-A/2-A - Update of CIS-SCHEME-20211223-001 - 24 Nov 2022  
Scheme Name(s): CIS-SCHEME-20211223-001

## I INFORMATION ON THE COLLECTIVE INVESTMENT SCHEME

☐ 1 Name of the collective investment scheme ("the scheme")1.\*  
CIS-SCHEME-20211223-001

☐ 2 If the scheme is/is to be a sub-fund2 of an umbrella fund, state the name of the umbrella fund:

☐ 3 (a) Indicate the structure of the umbrella fund or scheme: \*

☒ Unit Trust  
☐ Variable Capital Company ("VCC")

☐ 3 (b) Indicate the scheme's type3\*

☒ Equity Fund ☐ Fund of Funds4  
☐ Fixed Income Fund ☐ Hedge Fund / Hedge Fund of Funds  
☐ Balanced Fund ☐ Index Fund  
☐ Money Market Fund ☐ Property Fund  
☐ Capital Guaranteed Fund

☐ Others:

If the scheme is a capital guaranteed fund, please provide details on the guarantor including its credit rating, country of registration and regulatory authority.

☐ 4 (c) Is the scheme an ESG fund?\*

☐ Yes  
☐ No

Figure 2.17-10 CIS Form 1-A – Information of the CIS



14. Click on the section you wish to update. See **Figure 2.17-10**.

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**Instructions » Submit Forms »** Upload Document » Summary » Payment » Receipt »

Project Name: CIS Form 1-A/2-A - THIRD STATE TRUST - Update of THIRD STATE SG FUND - 06 Aug 2013  
Scheme Name(s): THIRD STATE SG FUND

[I Information on the CIS](#)  
[II Information on the Manager](#)  
[III Relationship between the Manager and Trustee](#)  
[IV Information on Investments in other CIS](#)  
[V Information on Submanagers](#)  
[VI Miscellaneous](#)  
[VII Declaration](#)

**IV INFORMATION ON INVESTMENTS IN OTHER COLLECTIVE INVESTMENT SCHEMES**

☒ 26 Will more than 10% of the scheme be invested in a collective investment scheme authorised or recognised by the Authority ("other authorised or recognised scheme")?<sup>7</sup>

☐ Yes  
☐ No  
If the answer to question 26 is "Yes", please set out for each collective investment scheme the following details:  
[Insert](#)

☐ 27 Will more than 10% of the scheme be invested in a collective investment scheme that complies with paragraph 1.4(b) of Appendix 1 of the Code on Collective Investment Schemes and is not authorised or recognised by the Authority ("other scheme")?<sup>8</sup>

☐ Yes  
☐ No  
If the answer to question 27 is "Yes", please set out the criteria used to select the collective investment schemes:

☐ 28 Apart from the other schemes in question 27, will any part of the scheme property be invested in any other collective investment scheme(s) that complies with paragraph 1.4(b) of Appendix 1 of the Code on Collective Investment Schemes ("other scheme")?<sup>8</sup>

☐ Yes  
☐ No  
If the answer to question 28 is "Yes", please set out the criteria used to select the collective investment schemes:

☐ 29 Will more than 10% of the scheme in aggregate be invested in foreign collective investment schemes ("foreign schemes")?<sup>8</sup>

☐ Yes  
☐ No  
If the answer to question 29 is "Yes", please answer question below. Otherwise, please proceed to Part V.  
State the total amount of funds managed in Singapore by the manager of the scheme and its related corporations (correct as of a date no earlier than three months before the date of this application):

(a) Discretionary<sup>7</sup> S\$

(b) Non-discretionary S\$

as at (date)

<sup>7</sup> Funds managed in-house by the manager, where the manager has substantial input in the investment management process, and where it has the authority to make investment decisions. If the manager manages a portion of a fund (e.g. the Asian mandate of a global fund), include only the portion where the manager has discretionary management responsibility.

[Save Changes](#)

Figure 2.17-11 CIS Form 1-A – Question 26

15. Check on the box next to the question you want to edit. See **Figure 2.17-11**. Click on [Insert](#) to create a new Collective Investment Scheme section. See **Figure 2.17-11**.

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Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Project Name: CIS Form 1-A/2-A - THIRD STATE TRUST - Update of THIRD STATE SG FUND - 06 Aug 2013  
Scheme Name(s): THIRD STATE SG FUND

[I Information on the CIS](#) [II Information on the Manager](#) [III Relationship between the Manager and Trustee](#) [IV Information on Investments in other CIS](#) [V Information on Submanagers](#) [VI Miscellaneous](#) [VII Declaration](#)

**IV INFORMATION ON INVESTMENTS IN OTHER COLLECTIVE INVESTMENT SCHEMES**

☒ 26 Will more than 10% of the scheme be invested in a collective investment scheme authorised or recognised by the Authority ("other authorised or recognised scheme")?  
☒ Yes  
☐ No

If the answer to question 26 is "Yes", please set out for each collective investment scheme the following details:

(a) Name of the other authorised or recognised scheme :   
(b) Percentage of scheme to be invested in the other authorised or recognised scheme :   
(c) Rationale for investing in the other authorised or recognised scheme :

[Save](#) [Cancel](#)

Figure 2.17-12 CIS Form 1-A – Question 26 (New CIS)

16. Enter all the mandatory fields and click on [Save](#) to save the details. See **Figure 2.17-12**.

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Guo Liang3 [ log out ]

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Project Name: CIS Form 1-A/2-A - THIRD STATE TRUST - Update of THIRD STATE SG FUND - 06 Aug 2013  
Scheme Name(s): THIRD STATE SG FUND

[I Information on the CIS](#)  
[II Information on the Manager](#)  
[III Relationship between the Manager and Trustee](#)  
**[IV Information on Investments in other CIS](#)**  
[V Information on Submanagers](#)  
[VI Miscellaneous](#)  
[VII Declaration](#)

**IV INFORMATION ON INVESTMENTS IN OTHER COLLECTIVE INVESTMENT SCHEMES**

☒ 26 Will more than 10% of the scheme be invested in a collective investment scheme authorised or recognised by the Authority ("other authorised or recognised scheme")?<sup>7</sup>

☒ Yes  
☐ No

If the answer to question 26 is "Yes", please set out for each collective investment scheme the following details:

(a) Name of the other authorised or recognised scheme<sup>7</sup> : Third Fund MY Fund  
(b) Percentage of scheme to be invested in the other authorised or recognised scheme<sup>7</sup> : 14  
(c) Rationale for investing in the other authorised or recognised scheme<sup>7</sup> : The market in the ....

[Remove](#) [Edit](#)  
[Insert](#)

☐ 27 Will more than 10% of the scheme be invested in a collective investment scheme that complies with paragraph 1.4(b) of Appendix 1 of the Code on Collective Investment Schemes and is not authorised or recognised by the Authority ("other scheme")?<sup>7</sup>

☐ Yes  
☒ No

If the answer to question 27 is "Yes", please set out the criteria used to select the collective investment schemes:

☐ 28 Apart from the other schemes in question 27, will any part of the scheme property be invested in any other collective investment scheme(s) that complies with paragraph 1.4(b) of Appendix 1 of the Code on Collective Investment Schemes ("other scheme")?<sup>7</sup>

☐ Yes  
☒ No

If the answer to question 28 is "Yes", please set out the criteria used to select the collective investment schemes:

☐ 29 Will more than 10% of the scheme in aggregate be invested in foreign collective investment schemes ("foreign schemes")?<sup>7</sup>

☐ Yes  
☒ No

If the answer to question 29 is "Yes", please answer question below. Otherwise, please proceed to Part V.  
State the total amount of funds managed in Singapore by the manager of the scheme and its related corporations (correct as of a date no earlier than three months before the date of this application):

(a) Discretionary<sup>7</sup> S\$   
(b) Non-discretionary S\$   
as at (date)

<sup>7</sup> Funds managed in-house by the manager, where the manager has substantial input in the investment management process, and where it has the authority to make investment decisions. If the manager manages a portion of a fund (e.g. the Asian mandate of a global fund), include only the portion where the manager has discretionary management responsibility.

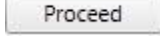
[Save Changes](#)

Figure 2.17-13 CIS Form 1-A – Information on Investments in other CIS

17. Click on [Save Changes](#) to save the changes made in this section. See **Figure 2.17-13**.

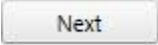
**Note:** Please remember to click on [Save Changes](#), otherwise, changes made will be lost.

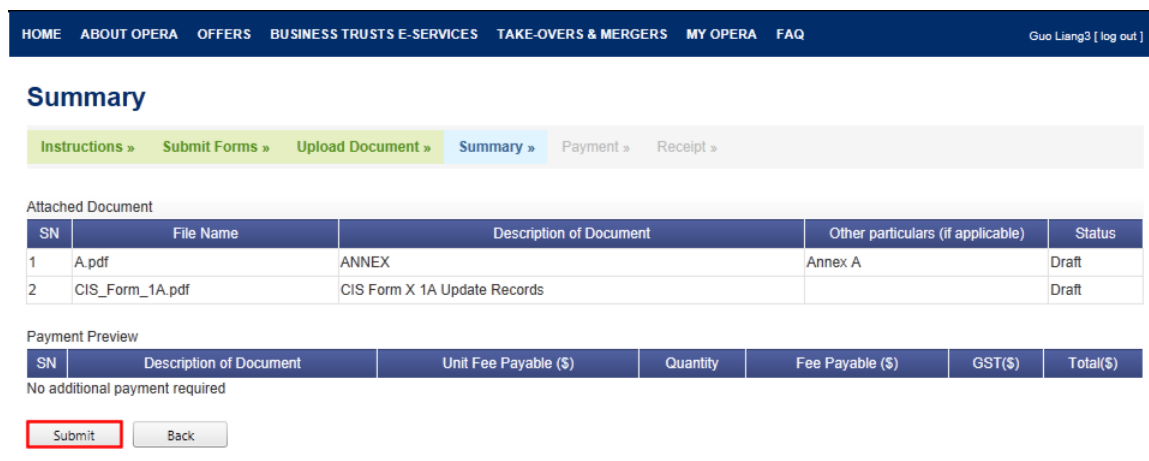
Figure 2.17-14 CIS Form 1-A – Declaration

18. Enter all the mandatory fields in the 'Declaration' section and click on  to submit the form.

SN	File Name	Description of Document Type	Status	Description	Upload	Clear
1		ANNEX	Pending Submission	Annex A	Select File	Clear
2	CIS Form 1A.pdf	CIS Form X 1A Update Records	Draft			

Figure 2.17-15 Upload documents

19. Refer to steps 13 - 14 in section 2.7 on how to upload documents. Click on  once you have attached all the documents. **See Figure 2.17-15.**



**Summary**

Instructions » Submit Forms » Upload Document » **Summary** » Payment » Receipt »

Attached Document

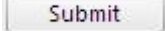
SN	File Name	Description of Document	Other particulars (if applicable)	Status
1	A.pdf	ANNEX	Annex A	Draft
2	CIS_Form_1A.pdf	CIS Form X 1A Update Records		Draft

Payment Preview

SN	Description of Document	Unit Fee Payable (\$)	Quantity	Fee Payable (\$)	GST(\$)	Total(\$)
No additional payment required						

**Submit** **Back**

Figure 2.17-16 Submission Summary

20. A summary page with the Payment Preview is displayed. Click  to submit the application. **See Figure 2.17-16.**



**Submission Complete**

Project Type : CIS Form 1-A/2-A  
 Project Name : CIS Form 1-A/2-A - THIRD STATE TRUST - Update of THIRD STATE SG FUND - 06 Aug 2013  
 Case Id : CIS-X-201308-0001  
 The form has been submitted, no payment is required.

Figure 2.17-17 Payment details

21. The submission process is completed. **See Figure 2.17-17.**



## 2.18 Create Project for Withdrawal of Authorisation / Recognition of Scheme (Form 1-A/2-A)

This section will show you how to create a project to withdraw the authorisation or recognition of a scheme.

Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

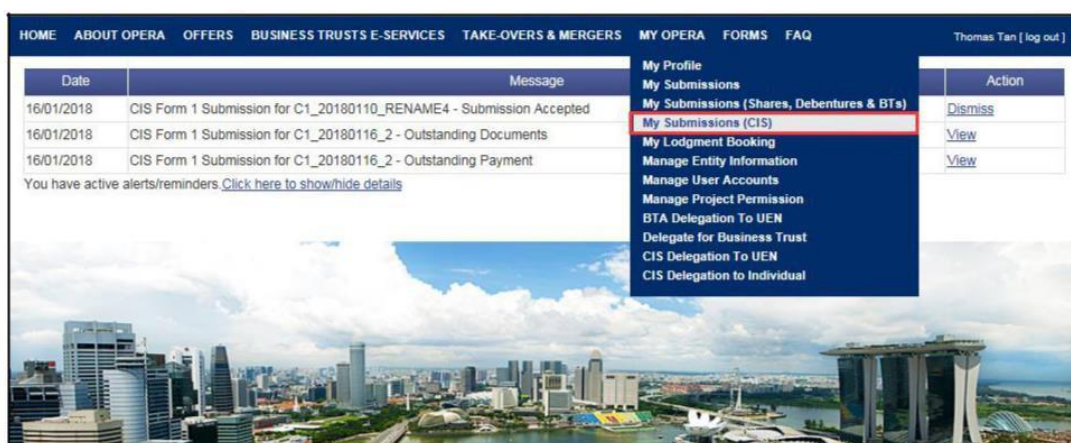


Figure 2.18-1 Homepage of MAS OPERA

- After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.18-1**.

Form Type	Project Name	Date of Creation	Status	Action
CIS Form1	CIS Form 1 - CIS1_2070 - 01 Aug 2013	01/08/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1 - 234 - 123 - 31 Jul 2013	31/07/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-123-02 Jul 2013	02/07/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-CIS SCHEME NAME-12 Jun 2013	12/06/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-LOCAL SCHEME AA-12 Jun 2013	12/06/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-AA-29 May 2013	29/05/2013	Open	<a href="#">View</a>
CIS Form1	LOCAL SCHEME - DUPLICATED 1897-CIS Form1-09 May 2013	09/05/2013	Open	<a href="#">View</a>
CIS Form1	FORM1 - WRONG SUPP DOC-CIS Form1-07 May 2013	07/05/2013	Open	<a href="#">View</a>
CIS Form2	TESTTEST-CIS Form2-07 May 2013	07/05/2013	Open	<a href="#">View</a>
CIS Form2	CIS FORM 2-CIS Form2-03 May 2013	03/05/2013	Open	<a href="#">View</a>



Figure 2.18-2 Manage CIS Submission

2. To create a CIS Form 1-A / 2-A, click on **Form 1-A/2-A Project**. See **Figure 2.13-2**.

HOME ABOUT OPERA OFFERS BUSINESS TRUSTS E-SERVICES TAKE-OVERS & MERGERS MY OPERA FAQ Thomas Tan [ log out ]

### CIS Form Project Information

**Instruction » Project Information » Project Created »**

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update : **Update Particulars for Scheme(s)**

Single/Multiple Schemes : **Update Particulars for Scheme(s)**

Scheme Type : **Update Particulars for Scheme(s)**  
Withdraw Authorisation/ Recognition  
Windup Notification  
Submit Other Notification

Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/> CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input type="checkbox"/> CIS-A-201305-0013	ANGEL LOCAL FUND	SG FUND	Authorised	15/05/2013
<input type="checkbox"/> CIS-A-201303-0010	FIRST R LOCAL INVESTMENT		Authorised	18/03/2013

Total record(s): 3 Page Size: 10 Page 1 of 1 go

Effective Date :

Project Permissions

Available users: Gordon Goh, Percy Peh, Tester

Authorised User: Thomas Tan

>> <<

Create Project

Figure 2.18-3 Create CIS Form 1-A / 2-A project

3. Select 'Withdraw Authorisation/ Recognition' for 'Type of Update'. Select, 'Single Scheme' for 'Single/ Multiple Schemes'. Select 'Scheme Type'. See **Figure 2.18-3**.

**CIS Form Project Information**

Instruction » Project Information » Project Created »

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update : Withdraw Authorisation/ Recognition

Single/Multiple Schemes : Single Scheme

Scheme Type : Authorised Schemes

Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/> CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input type="checkbox"/> CIS-A-201305-0013	ANGEL LOCAL FUND	SG FUND	Authorised	15/05/2013
<input type="checkbox"/> CIS-A-201303-0010	FIRST R LOCAL INVESTMENT		Authorised	18/03/2013

Total record(s): 3 Page Size 10 Page 1 of 1 go

Effective Date :

Project Permissions

Available users  
Gordon Goh  
Percy Peh  
Tester

Authorised User  
Thomas Tan

Create Project

Figure 2.18-4 Create CIS Form 1-A / 2-A project for Withdrawal of Scheme

4. Check on the checkbox next to the name of the scheme you wish to withdraw. Enter the 'Effective Date'. See **Figure 2.18-4**.

**CIS Form Project Information**

Instruction » Project Information » Project Created »

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update : Withdraw Authorisation/ Recognition

Single/Multiple Schemes : Single Scheme

Scheme Type : Authorised Schemes

Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/> CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input type="checkbox"/> CIS-A-201305-0013	ANGEL LOCAL FUND	SG FUND	Authorised	15/05/2013
<input type="checkbox"/> CIS-A-201303-0010	FIRST R LOCAL INVESTMENT		Authorised	18/03/2013

Total record(s): 3 Page Size 10 Page 1 of 1 go

Effective Date :


Project Permissions

Available users  
Gordon Goh  
Percy Peh  
Tester

Authorised User  
Thomas Tan

Create Project

Figure 2.18-5 Search for available users

- To search for available users who may be granted access to the project, enter the user name in the 'search' textbox. See **Figure 2.18-5**.
- Click . See **Figure 2.18-5**.

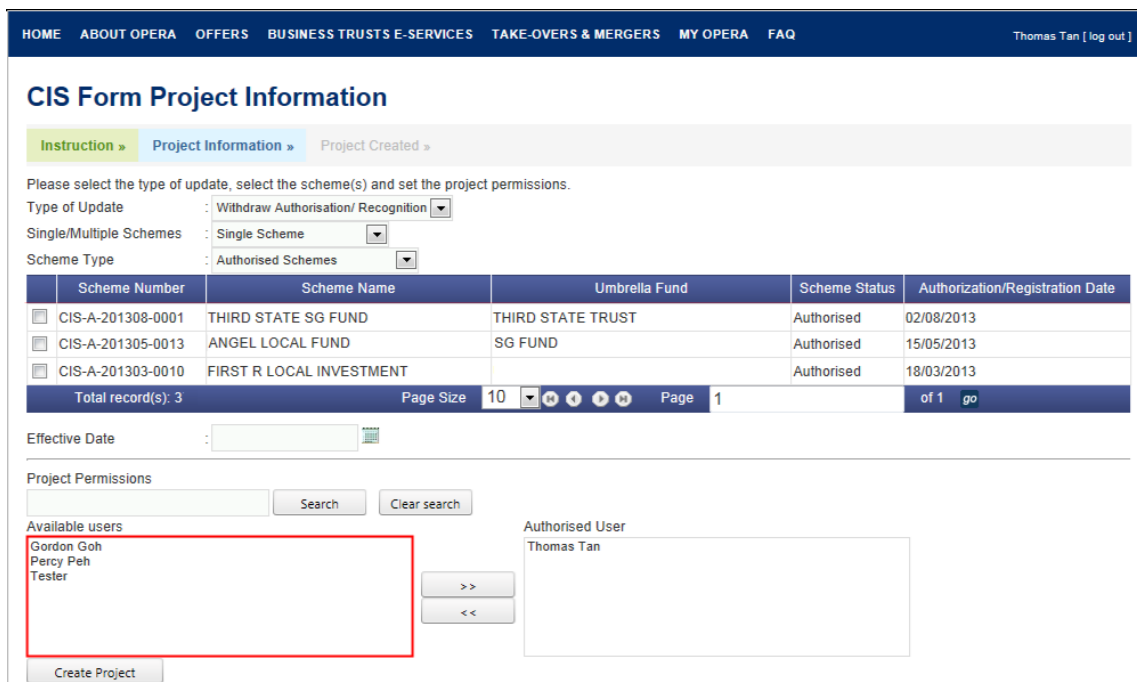

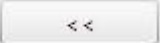


Figure 2.18-6 Add/ Remove authorised users

- To grant an available user access to the project, select the user name in the 'Available users' list and click . The name(s) of the user(s) will be displayed in the 'Authorised User' list. See **Figure 2.18-6**.

**Note:** Only users listed in the 'Authorised User' list will be able to access the project, and make submissions as part of the project.

- To deny authorised user(s) access to the project, select the user name in the 'Authorised User' list. Click . The name of the user(s) will be removed from the 'Authorised User' list. For example, in Figure 2.18-6, the user "Thomas Tan" may be removed from the 'Authorised User' list.

**Note:** You can still manage permissions for the project after the project is created.

- Click on .



Figure 2.18-7 Project created successfully

10. A confirmation page will be shown when the project is successfully created. See **Figure 2.18-7**. Click on 'View project details' to proceed with lodgement.



Figure 2.18-8 Project Details

11. After clicking 'View project details', the project information page will be shown. See **Figure 2.18-8**.

You may now proceed to apply for withdrawal of authorisation/recognition of a scheme by clicking on **Start submission**.

You may also amend the list of authorised users by clicking on 'Manage Permissions'.

The screenshot shows the 'Submission Instructions' page for 'CIS Form 1-A/2-A - Withdrawal of THIRD STATE SG FUND - 06 Aug 2013'. The page has a blue header with navigation links: HOME, ABOUT OPERA, OFFERS, BUSINESS TRUSTS E-SERVICES, TAKE-OVERS & MERGERS, MY OPERA, and FAQ. The user 'Guo Liang3' is logged out. The breadcrumb trail is: Home > My OPERA > My Submissions > Submission. The main title is 'CIS Form 1-A/2-A - Withdrawal of THIRD STATE SG FUND - 06 Aug 2013'. Below the title is a navigation bar with links: Instructions >, Submit Forms >, Upload Document >, Summary >, Payment >, and Receipt >. The 'Instructions >' link is highlighted. The page contains 'Explanatory Notes' with 9 numbered points. At the bottom, there is a checkbox labeled 'I have read and understood the submission instructions.' which is checked. Below the checkbox are two buttons: 'Cancel' and 'Next'. The 'Next' button is highlighted with a red rectangle.

Figure 2.18-9 Submission Instructions

12. Check ☒ to indicate that you have read and understood the submission instructions. See **Figure 2.18-9**.
13. Click  to proceed, or click  to return to the previous screen. See **Figure 2.18-9**.

The screenshot shows the 'Lodgment of CIS Scheme' page. The header and navigation bar are the same as in Figure 2.18-9. The breadcrumb trail is: Home > My OPERA > My Submissions > Submit Forms. The main title is 'Lodgment of CIS Scheme'. Below the title is a navigation bar with links: Instructions >, Submit Forms >, Upload Document >, Summary >, Payment >, and Receipt >. The 'Submit Forms >' link is highlighted. The page contains a section titled 'Withdrawal of CIS Scheme' with the following details:
 

- Name of the Scheme: THIRD STATE SG FUND
- Name of Umbrella Fund: THIRD STATE TRUST
- Status: Authorised
- Authorisation Date: 02/08/2013
- Reason for Withdrawal\*:

 Below this section is a note: 'Please attach the notice sent to investors. If the scheme authorisation status is being withdrawn but the notice has yet to be sent to investors, please submit a copy of the notice immediately once the notice has been prepared.'
   
 The next section is 'Other Supporting Documents'. It contains a table with the following columns: 'Description of Document Type', 'Other particulars (if applicable)', and 'Action'. The table is currently empty, with the text 'No documents added' above it. Below the table is a 'Document Type' dropdown menu with the text 'Choose your document type' and an 'Add Document' button.

Figure 2.18-10 CIS Form 1-A – Withdrawal of Scheme

14. Enter the "Reason for Withdrawal". See **Figure 2.18-10**.

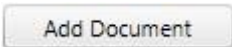
Withdrawal of CIS Scheme

Name of the Scheme :THIRD STATE SG FUND  
Name of Umbrella Fund :THIRD STATE TRUST  
Status :Authorised  
Authorisation Date :02/08/2013  
Reason for Withdrawal\* :  
Please attach the notice sent to investors. If the scheme authorisation status is being withdrawn but the notice has yet to be sent to investors, please submit a copy of the notice immediately once the notice has been prepared.

Other Supporting Documents

Description of Document Type	Other particulars (if applicable)	Action
No documents added		
Document Type: ANNEX		
Description of document:		
<input type="button" value="Add Document"/>		

Figure 2.18-11 CIS Form 1-A – Add supporting documents

15. Select a document from 'Document Type', enter the 'Description of document' and click on . Repeat this step if you have more documents to add. See **Figure 2.18-11**.



### Lodgment of CIS Scheme

[Instructions »](#) [Submit Forms »](#) [Upload Document »](#) [Summary »](#) [Payment »](#) [Receipt »](#)

Withdrawal of CIS Scheme

Name of the Scheme :THIRD STATE SG FUND  
 Name of Umbrella Fund :THIRD STATE TRUST  
 Status :Authorised  
 Authorisation Date :02/08/2013  
 Reason for Withdrawal\* :the reason is for withdrawal is .....

Please attach the notice sent to investors. If the scheme authorisation status is being withdrawn but the notice has yet to be sent to investors, please submit a copy of the notice immediately once the notice has been prepared.

Other Supporting Documents

Description of Document Type	Other particulars (if applicable)	Action
ANNEX	Withdrawal notice	Delete

Document Type: Choose your document type ▼

[Add Document](#)

**VI DECLARATION**  
 Section 329(3) of the Securities and Futures Act(Cap. 289) requires any person who signs a document lodged with the Authority to use due care in ensuring that the document is not false or misleading in any material particular. Any person who fails to do so shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$50,000 or to imprisonment for a term not exceeding 2 years or to both.

We declare that to the best of our knowledge and belief all information given in this application form and in the attached annex(es) is true and correct.

Date: 09/09/2013 📅  
 Name:

Details of one of the signatories or a contact person designated by the signatories to this form

Name\*   
 Designation\*   
 Corporation\*   
 Address\* ☒ Local ☐ Overseas  
 Block/ House No\*   
 Street name\*   
 Floor No   
 Unit   
 Building name   
 Postal Code\*   
 Tel No\*   
 Fax No\*   
 Email Address\*

[Proceed](#)

Figure 2.18-12 CIS Form 1-A – Declaration

16. Enter all the mandatory fields in for the Form 1-A and click on [Proceed](#) to submit the form. See **Figure 2.18-12**.

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Guo Liang3 [ log out ]

Home » My OPERA » My Submissions » Submission

Upload Document

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Project Name : CIS Form 1-A/2-A - Withdrawal of THIRD STATE SG FUND - 06 Aug 2013

1. Please ensure that each document to be uploaded is not larger than 12 MB.  
2. To select a file for upload, please click on the "Select File" button below.  
3. To clear the selection, please click on the "Clear" button.

SN	File Name	Description of Document Type	Status	Description	Upload	Clear
1		ANNEX	Pending Submission	Others	Select File	Clear
2	CIS_Form_1A.pdf	CIS Form X 1A Update Records	Draft			

File Upload Progress

Next

Figure 2.18-13 Upload documents

17. Refer to steps 13 - 14 in section 2.7 on how to upload documents. Click on 

Next

 once you have attached all the documents. **See Figure 2.18-13.**

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Guo Liang3 [ log out ]

Summary

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Attached Document

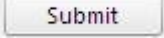
SN	File Name	Description of Document	Other particulars (if applicable)	Status
1	A.pdf	ANNEX	Annex A	Draft
2	CIS_Form_1A.pdf	CIS Form X 1A Update Records		Draft

Payment Preview

SN	Description of Document	Unit Fee Payable (\$)	Quantity	Fee Payable (\$)	GST(\$)	Total(\$)
No additional payment required						

SubmitBack

Figure 2.18-14 Submission Summary

18. A summary page with the Payment Preview is displayed. Click  to submit the application. See **Figure 2.18-14**.




The screenshot shows a web application interface. At the top is a dark blue navigation bar with white text links: HOME, ABOUT OPERA, OFFERS, BUSINESS TRUSTS E-SERVICES, TAKE-OVERS & MERGERS, MY OPERA, and FAQ. On the right side of the bar, the text 'Guo Liang3 [ log out ]' is visible. Below the navigation bar, the main content area has a white background. It features a heading 'Submission Complete' in bold blue text. Underneath the heading, there is a table-like structure with two columns. The first column contains labels: 'Project Type', 'Project Name', and 'Case Id'. The second column contains corresponding values: ': CIS Form 1-A/2-A', ': CIS Form 1-A/2-A - Withdrawal of THIRD STATE SG FUND - 06 Aug 2013', and ': CIS-X-201308-0002'. At the bottom of this section, a message states: 'The form has been submitted, no payment is required.'

Figure 2.18-15 Payment details

19. The submission process is completed. See **Figure 2.18-15**.

## 2.19 Create Project for Notification of Winding Up of Scheme (Form 1-A/2-A)

This section will show you how to create a project to submit a notification on the winding up of a scheme.

 Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

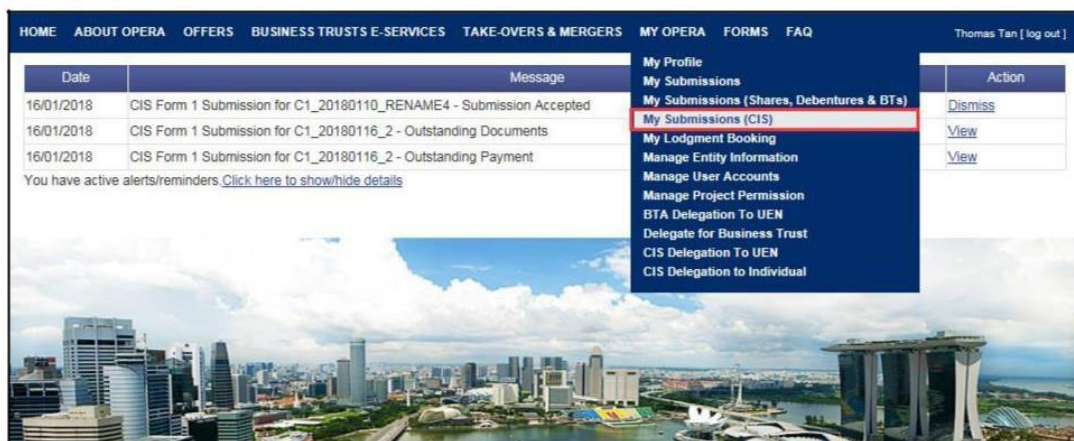


Figure 2.19-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.19-1**.

Form Type	Project Name	Date of Creation	Status	Action
CIS Form1	CIS Form 1 - CIS1_2070 - 01 Aug 2013	01/08/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1 - 234 - 123 - 31 Jul 2013	31/07/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-123-02 Jul 2013	02/07/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-CIS SCHEME NAME-12 Jun 2013	12/06/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-LOCAL SCHEME AA-12 Jun 2013	12/06/2013	Open	<a href="#">View</a>
CIS Form1	CIS Form 1-AA-29 May 2013	29/05/2013	Open	<a href="#">View</a>
CIS Form1	LOCAL SCHEME - DUPLICATED 1897-CIS Form1-09 May 2013	09/05/2013	Open	<a href="#">View</a>
CIS Form1	FORM1 - WRONG SUPP DOC-CIS Form1-07 May 2013	07/05/2013	Open	<a href="#">View</a>
CIS Form2	TESTTEST-CIS Form2-07 May 2013	07/05/2013	Open	<a href="#">View</a>
CIS Form2	CIS FORM 2-CIS Form2-03 May 2013	03/05/2013	Open	<a href="#">View</a>

Figure 2.19-2 Manage CIS Submission

2. To create a CIS Form 1-A / 2-A, click on **Form 1-A/2-A Project**. See **Figure 2.19-2**.

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### CIS Form Project Information

Instruction » Project Information » Project Created »

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update: **Update Particulars for Scheme(s)**

Single/Multiple Schemes: ☐ Single ☐ Multiple

Scheme Type: ☐ Windup Notification ☐ Submit Other Notification

Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/> CIS-A-201308-0001	THIRD STATE TRUST		Authorised	02/08/2013
<input type="checkbox"/> CIS-A-201305-0013	ANGEL LOCAL FUND	SG FUND	Authorised	15/05/2013
<input type="checkbox"/> CIS-A-201303-0010	FIRST R LOCAL INVESTMENT		Authorised	18/03/2013

Total record(s): 3 Page Size: 10 Page: 1 of 1 go

Effective Date: 30/09/2013

Project Permissions

Available users: Gordon Goh, Percy Peh, Tester

Authorised User: Thomas Tan

Create Project

Figure 2.19-3 Create CIS Form 1-A / 2A project

3. Select 'Windup Notification' for 'Type of Update'. Select, 'Single Scheme' for 'Single/Multiple Schemes'. Select the 'Scheme Type'. See **Figure 2.19-3**.

**CIS Form Project Information**

Instruction » Project Information » Project Created »

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update : Windup Notification

Single/Multiple Schemes : Single Scheme

Scheme Type : Authorised Schemes

Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input checked="" type="checkbox"/> CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input checked="" type="checkbox"/> CIS-A-201305-0013	ANGEL LOCAL FUND	SG FUND	Authorised	15/05/2013
<input checked="" type="checkbox"/> CIS-A-201303-0010	FIRST R LOCAL INVESTMENT		Authorised	18/03/2013

Total record(s): 3 Page Size 10 Page 1 of 1 go

Effective Date 30/09/2013

Project Permissions

Available users

Gordon Goh  
Percy Peh  
Tester

Authorised User

Thomas Tan

Create Project

Figure 2.19-4 Create CIS Form 1-A / 2-A to notify the winding up of a scheme

4. Check on the checkbox next to the name of the scheme you wish to notify about its winding to wind up. Enter the 'Effective Date'. See **Figure 2.19-4**.



**CIS Form Project Information**

Instruction » Project Information » Project Created »

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update : Windup Notification

Single/Multiple Schemes : Single Scheme

Scheme Type : Authorised Schemes

Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/> CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input type="checkbox"/> CIS-A-201305-0013	ANGEL LOCAL FUND	SG FUND	Authorised	15/05/2013
<input type="checkbox"/> CIS-A-201303-0010	FIRST R LOCAL INVESTMENT		Authorised	18/03/2013

Total record(s): 3 Page Size 10 Page 1 of 1 go

Effective Date : 30/09/2013

**Project Permissions**

Search Clear search

Available users  
Gordon Goh  
Percy Peh  
Tester

Authorised User  
Thomas Tan

>> <<

Create Project

Figure 2.19-5 Search for available users

- To search for available users who may be granted access to the project, enter the user name in the 'search' textbox. See **Figure 2.19-5**.
- Click . See **Figure 2.19-5**.

**CIS Form Project Information**

Instruction » Project Information » Project Created »

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update : Windup Notification

Single/Multiple Schemes : Single Scheme

Scheme Type : Authorised Schemes

	Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/>	CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input type="checkbox"/>	CIS-A-201305-0013	ANGEL LOCAL FUND	SG FUND	Authorised	15/05/2013
<input type="checkbox"/>	CIS-A-201303-0010	FIRST R LOCAL INVESTMENT		Authorised	18/03/2013

Total record(s): 3 Page Size 10 Page 1 of 1 go

Effective Date : 30/09/2013

Project Permissions

Search Clear search

Available users

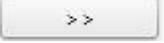
- Gordon Goh
- Percy Peh
- Tester

Authorised User

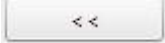
- Thomas Tan

Create Project

Figure 2.19-6 Add/ Remove authorised users

- To grant an available user access to the project, select the user name in the 'Available users' list and click . The name(s) of the user(s) will be displayed in the 'Authorised User' list. See **Figure 2.19-6**.

**Note:** Only users listed in the 'Authorised User' list will be able to access the project, and make submissions as part of the project.

- To deny authorised user(s) access to the project, select the user name in the 'Authorised User' list. Click . The name of the user(s) will be removed from the 'Authorised User' list. For example, in Figure 2.19-6, the user "Thomas Tan" may be removed from the 'Authorised User' list.

**Note:** You can still manage permissions for the project after the project is created.

- Click on .

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Thomas Tan [ log out ]

Home » My OPERA » My Submissions » Project Created

**Project Created**

Instruction » Project Information » Project Created »

Project has been created successfully

[View project details](#)

Figure 2.19-7 Project created successfully

10. A confirmation page will be shown when the project is successfully created. See **Figure 2.19-7**. Click on 'View project details' to proceed with lodgement.

HOME ABOUT OPERA OFFERS BUSINESS TRUSTS E-SERVICES TAKE-OVERS & MERGERS MY OPERA FAQ Guo Liang3 [ log out ]

Home » My OPERA » My Submissions » Submission Details

### Project Information

Project Type	: CIS Form 1-A/2-A	Project Status	: Open
Project Name	: CIS Form 1-A/2-A - Windup of THIRD STATE SG FUND - 06 Aug 2013	Payment Status	: NA
		Project created by	: Guo Liang3
		Project created on	: 06/08/2013
		Last modified by	: Guo Liang3
		Last modified date	: 06/08/2013

Manage Permissions **Start Submission** Delete Project

Figure 2.19-8 Project Details

11. After clicking 'View project details', the project information page will be shown. See **Figure 2.19-8**.

You may now proceed to submit a notification of winding up of a scheme by clicking on

**Start submission**

You may also amend the list of authorised users by clicking on 'Manage Permissions'.

HOME ABOUT OPERA OFFERS BUSINESS TRUSTS E-SERVICES TAKE-OVERS & MERGERS MY OPERA FAQ Guo Liang3 [ log out ]

Home » My OPERA » My Submissions » Submission

### CIS Form 1-A/2-A - Windup of THIRD STATE SG FUND - 06 Aug 2013

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

#### Explanatory Notes

1. Please read the explanatory notes and questions carefully before completing the update of particulars form.
2. To submit an update of particulars for a particular question, please tick (✓) the box next to the question number and fill up the updated particulars for that question. Should there be insufficient space for your answers, please attach annex(es) which should be identified as such and signed by the signatories to this application.
3. Where there is an asterisk (\*), please delete whichever is inapplicable.
4. Please tick (✓) in the relevant boxes where appropriate under each question.
5. Unless stated otherwise, the information provided in the update of particulars form must be correct as at a date not earlier than 14 days before the date of application.
6. All dollar amounts are to be stated in Singapore dollars.
7. This update of particulars is to be made by the responsible person of the collective investment scheme constituted outside Singapore recognised under section 287(1) of the Securities and Futures Act (Cap. 289) (the "Act"), or by a person authorised by the responsible person of the collective investment scheme.
8. Where the responsible person of the collective investment scheme has notice of a material change in the information submitted as part of this update of particulars form, the responsible person of the collective investment should notify the Monetary Authority of Singapore (the "Authority") immediately using this form and completing the section in which there is a change.
9. All terms used in this Form shall, except where expressly defined in this Form or where the context otherwise requires, have the same meaning as defined in the Act or the relevant subsidiary legislation

☒ I have read and understood the submission instructions.

Cancel **Next**

Figure 2.19-9 Submission Instructions

12. Check ☒ to indicate that you have read and understood the submission instructions. See **Figure 2.19-9**.

13. Click **Next** to proceed, or click **Cancel** to return to the previous screen. See **Figure 2.19-9**.

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Home » My OPERA » My Submissions » Submit Forms

### Lodgment of CIS Scheme

**Instructions » Submit Forms »** Upload Document » Summary » Payment » Receipt »

Winding Up of CIS Scheme

Name of the Scheme :THIRD STATE SG FUND  
 Name of Umbrella Fund :THIRD STATE TRUST  
 Status :Authorised  
 Authorisation Date :02/08/2013  
 Effective Date of Winding Up\* :13/09/2013  
 Reason for Winding Up\* :

Please attach the notice sent to investors. If the scheme is to be wound up but the notice has yet to be sent to investors, please submit a copy of the notice immediately once the notice has been prepared.

Other Supporting Documents

Description of Document Type	Other particulars (if applicable)	Action
No documents added		

Document Type: Choose your document type ▼

**Add Document**

Figure 2.19-10 CIS Form 1-A – Wind up of Scheme

14. Enter the “Reason for Winding Up”. See **Figure 2.19-10**.

Winding Up of CIS Scheme

Name of the Scheme :THIRD STATE SG FUND  
 Name of Umbrella Fund :THIRD STATE TRUST  
 Status :Authorised  
 Authorisation Date :02/08/2013  
 Effective Date of Winding Up\* :30/09/2013  
 Reason for Winding Up\* : The reason for winding up the scheme is ....

Please attach the notice sent to investors. If the scheme is to be wound up but the notice has yet to be sent to investors, please submit a copy of the notice immediately once the notice has been prepared.

Other Supporting Documents

Description of Document Type	Other particulars (if applicable)	Action
No documents added		

Document Type: ANNEX ▼

Description of document:

**Add Document**

Figure 2.19-11 CIS Form 1-A – Add supporting document

15. Select a document from ‘Document Type’, enter the ‘Description of document’ and click on **Add Document**. Repeat this step if you have more documents to add. See **Figure 2.19-11**.

### Lodgment of CIS Scheme

[Instructions »](#)
[Submit Forms »](#)
[Upload Document »](#)
[Summary »](#)
[Payment »](#)
[Receipt »](#)

Winding Up of CIS Scheme

Name of the Scheme :THIRD STATE SG FUND  
 Name of Umbrella Fund :THIRD STATE TRUST  
 Status :Authorised  
 Authorisation Date :02/08/2013  
 Effective Date of Winding Up\* :30/09/2013  
 Reason for Winding Up\* :The reason for winding up the scheme is .....

Please attach the notice sent to investors. If the scheme is to be wound up but the notice has yet to be sent to investors, please submit a copy of the notice immediately once the notice has been prepared.

Other Supporting Documents

Description of Document Type	Other particulars (if applicable)	Action
ANNEX	Winding up notice	Delete

Document Type: Choose your document type

VI DECLARATION

Section 329(3) of the Securities and Futures Act(Cap. 289) requires any person who signs a document lodged with the Authority to use due care in ensuring that the document is not false or misleading in any material particular. Any person who fails to do so shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$50,000 or to imprisonment for a term not exceeding 2 years or to both.

We declare that to the best of our knowledge and belief all information given in this application form and in the attached annex(es) is true and correct.

Date

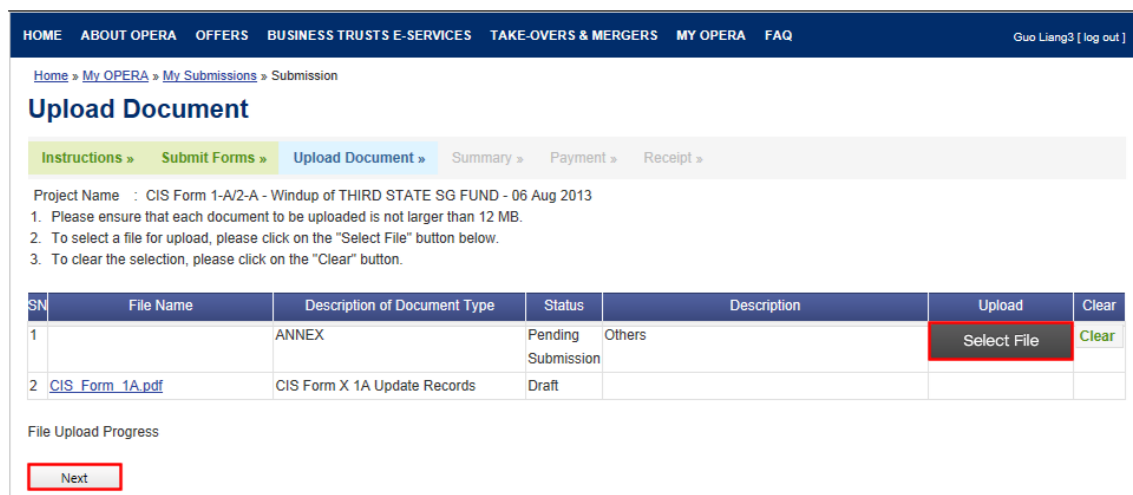
Name

Details of one of the signatories or a contact person designated by the signatories to this form

Name\*   
 Designation\*   
 Corporation\*   
 Address\* ☒ Local ☐ Overseas  
 Block/ House No\*   
 Street name\*   
 Floor No   
 Unit   
 Building name   
 Postal Code\*   
 Tel No\*   
 Fax No\*   
 Email Address\*

Figure 2.19-12 CIS Form 1-A – Declaration

16. Enter all the mandatory fields in for the Form 1-A and click on  to submit the form. See **Figure 2.19-12**.



HOME ABOUT OPERA OFFERS BUSINESS TRUSTS E-SERVICES TAKE-OVERS & MERGERS MY OPERA FAQ Guo Liang3 [ log out ]

Home > My OPERA > My Submissions > Submission

## Upload Document

Instructions > Submit Forms > Upload Document > Summary > Payment > Receipt >

Project Name : CIS Form 1-A/2-A - Windup of THIRD STATE SG FUND - 06 Aug 2013

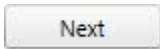
1. Please ensure that each document to be uploaded is not larger than 12 MB.
2. To select a file for upload, please click on the "Select File" button below.
3. To clear the selection, please click on the "Clear" button.

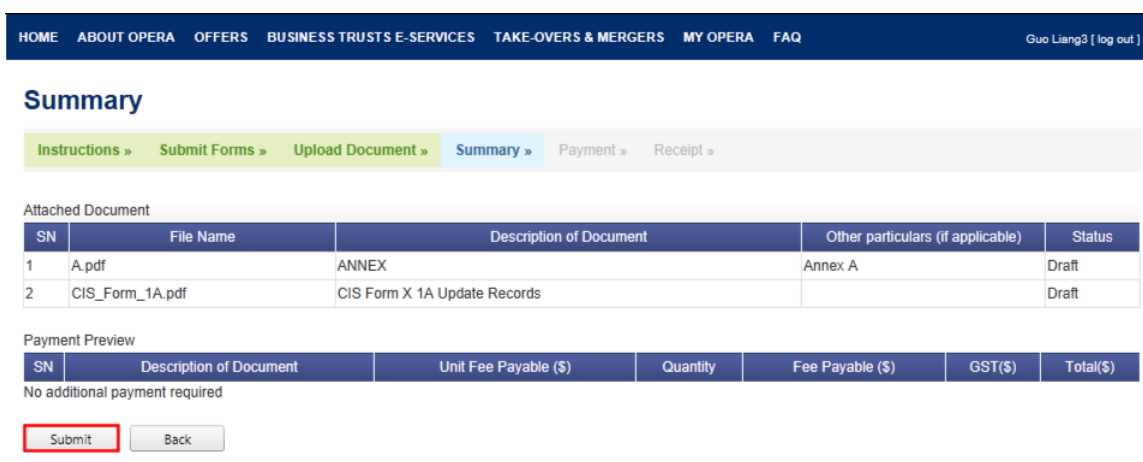
SN	File Name	Description of Document Type	Status	Description	Upload	Clear
1		ANNEX	Pending Submission	Others	Select File	Clear
2	CIS_Form_1A.pdf	CIS Form X 1A Update Records	Draft			

File Upload Progress

Next

Figure 2.19-13 Upload documents

17. Refer to steps 13 - 14 in section 2.7 on how to upload documents. Click on  once you have attached all the documents. **See Figure 2.19-13.**



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## Summary

Instructions > Submit Forms > Upload Document > Summary > Payment > Receipt >

Attached Document

SN	File Name	Description of Document	Other particulars (if applicable)	Status
1	A.pdf	ANNEX	Annex A	Draft
2	CIS_Form_1A.pdf	CIS Form X 1A Update Records		Draft

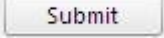
Payment Preview

SN	Description of Document	Unit Fee Payable (\$)	Quantity	Fee Payable (\$)	GST(\$)	Total(\$)
No additional payment required						

Submit Back

Figure 2.19-14 Submission Summary



18. A summary page with the Payment Preview is displayed. Click  to submit the application. See **Figure 2.19-14**.



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### Submission Complete

Project Type : CIS Form 1-A/2-A  
Project Name : CIS Form 1-A/2-A - Windup of THIRD STATE SG FUND - 06 Aug 2013  
Case Id : CIS-X-201308-0003  
The form has been submitted, no payment is required.

Figure 2.19-15 Payment details

19. The submission process is completed. See **Figure 2.19-15**.

## 2.20 Create Project for Submission of Other Notification (Form 1-A/2-A)

This section will show you how to create a project to lodge other notifications and supporting documents for authorised / recognised scheme(s).

Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

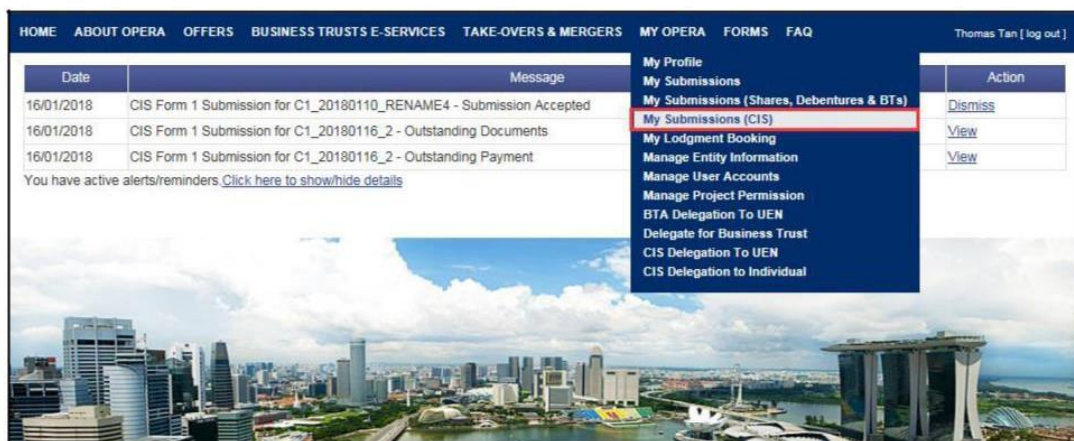


Figure 2.20-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.20-1**.

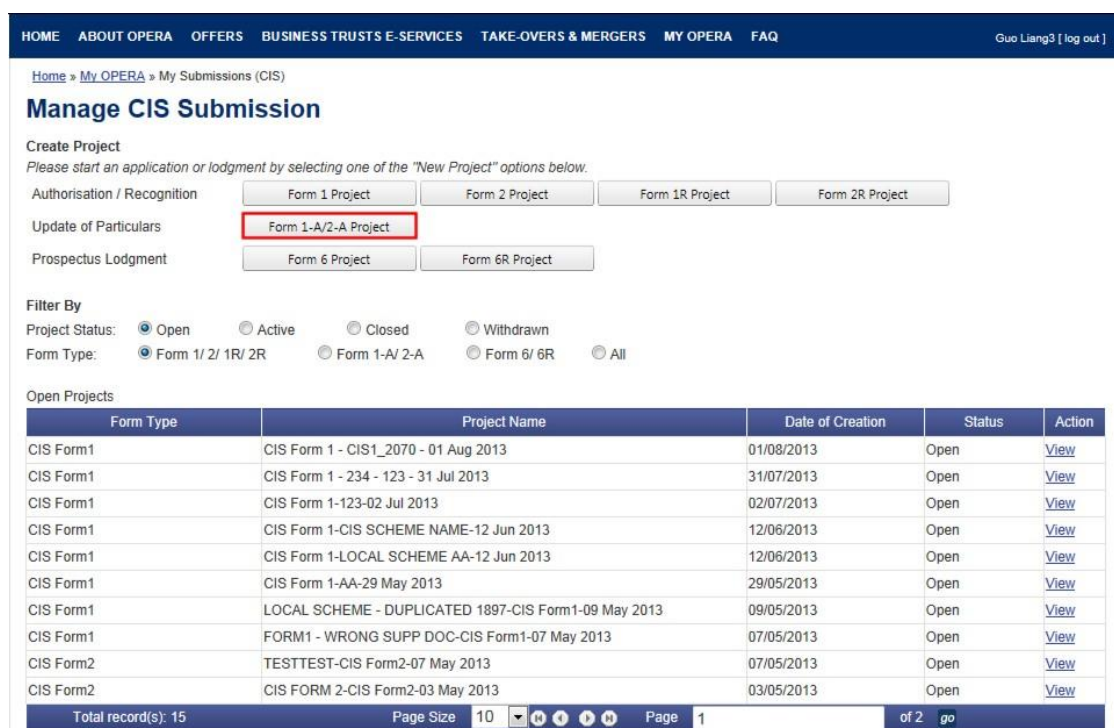


Figure 2.20-2 Manage CIS Submission

2. To create a CIS Form 1-A / 2-A, click on **Form 1-A/2-A Project**. See **Figure 2.20-2**.

**CIS Form Project Information**

Instruction » Project Information » Project Created »

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update : Update Particulars for Scheme(s)

Single/Multiple Schemes : Update Particulars for Scheme(s)

Scheme Type : Withdraw Authorisation/ Recognition

Scheme Type : Windup Notification

Scheme Type : Submit Other Notification

Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/> CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input type="checkbox"/> CIS-A-201305-0013	ANGEL LOCAL FUND	SG FUND	Authorised	15/05/2013
<input type="checkbox"/> CIS-A-201303-0010	FIRST R LOCAL INVESTMENT		Authorised	18/03/2013

Total record(s): 3 Page Size 10 Page 1 of 1 go

Effective Date :

Project Permissions

Available users

Gordon Goh

Percy Peh

Tester

Authorised User

Thomas Tan

Create Project

Figure 2.20-3 Create CIS Form 1-A / 2-A project

3. Select 'Submit Other Notification' for 'Type of Update'. Select 'Single Scheme' for 'Single/Multiple Schemes'. Select the 'Scheme Type'. See **Figure 2.20-3**.

**CIS Form Project Information**

Instruction » Project Information » Project Created »

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update : Submit Other Notification

Single/Multiple Schemes : Single Scheme

Scheme Type : Authorised Schemes

	Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/>	CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input type="checkbox"/>	CIS-A-201305-0013	ANGEL LOCAL FUND	SG FUND	Authorised	15/05/2013
<input type="checkbox"/>	CIS-A-201303-0010	FIRST R LOCAL INVESTMENT		Authorised	18/03/2013

Total record(s): 3 Page Size 10 Page 1 of 1 go

Project Permissions

Available users: Gordon Goh, Percy Peh, Tester

Authorised User: Thomas Tan

Create Project

Figure 2.20-4 Create CIS Form 1-A / 2-A project for Submission of Notification

4. Check on the checkbox next to the name of the scheme(s) for which you wish to submit a notification. See **Figure 2.20-4**.

**CIS Form Project Information**

Instruction » Project Information » Project Created »

Please select the type of update, select the scheme(s) and set the project permissions.

Type of Update : Submit Other Notification

Single/Multiple Schemes : Single Scheme

Scheme Type : Authorised Schemes

	Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/>	CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input type="checkbox"/>	CIS-A-201305-0013	ANGEL LOCAL FUND	SG FUND	Authorised	15/05/2013
<input type="checkbox"/>	CIS-A-201303-0010	FIRST R LOCAL INVESTMENT		Authorised	18/03/2013

Total record(s): 3 Page Size 10 Page 1 of 1 go

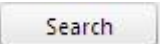
Project Permissions

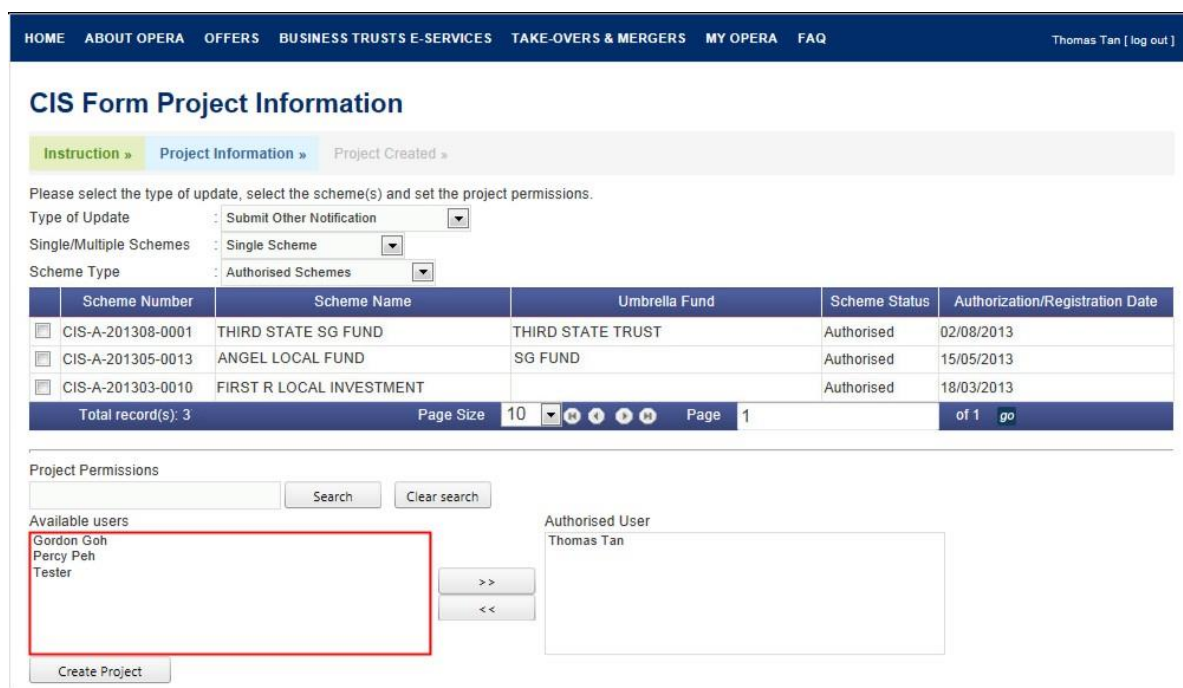
Available users: Gordon Goh, Percy Peh, Tester

Authorised User: Thomas Tan

Create Project

Figure 2.20-5 Search for available users

5. To search for available users who may be granted access to the project, enter the user name in the 'search' textbox. See **Figure 2.20-5**.
6. Click . See **Figure 2.20-5**.



Scheme Number	Scheme Name	Umbrella Fund	Scheme Status	Authorization/Registration Date
<input type="checkbox"/> CIS-A-201308-0001	THIRD STATE SG FUND	THIRD STATE TRUST	Authorised	02/08/2013
<input type="checkbox"/> CIS-A-201305-0013	ANGEL LOCAL FUND	SG FUND	Authorised	15/05/2013
<input type="checkbox"/> CIS-A-201303-0010	FIRST R LOCAL INVESTMENT		Authorised	18/03/2013

Total record(s): 3      Page Size 10      Page 1 of 1 go

Project Permissions


Available users  
Gordon Goh  
Percy Peh  
Tester

Authorised User  
Thomas Tan

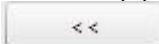
>> <<

Create Project

Figure 2.20-6 Add/ Remove authorised users

7. To grant an available user access to the project, select the user name in the 'Available users' list and click . The name(s) of the user(s) will be displayed in the 'Authorised User' list. See **Figure 2.20-6**.

**Note:** Only users listed in the 'Authorised User' list will be able to access the project, and make submissions as part of the project.

8. To deny authorised user(s) access to the project, select the user name in the 'Authorised User' list. Click . The name of the user(s) will be removed from the 'Authorised User' list. For example, in Figure 2.20-6, the user "Thomas Tan" may be removed from the 'Authorised User' list.

**Note:** You can still manage permissions for the project after the project is created.

9. Click on .



Figure 2.20-7 Project created successfully

10. A confirmation page will be shown when the project is successfully created. See **Figure 2.20-7**. Click on 'View project details' to proceed with lodgement.

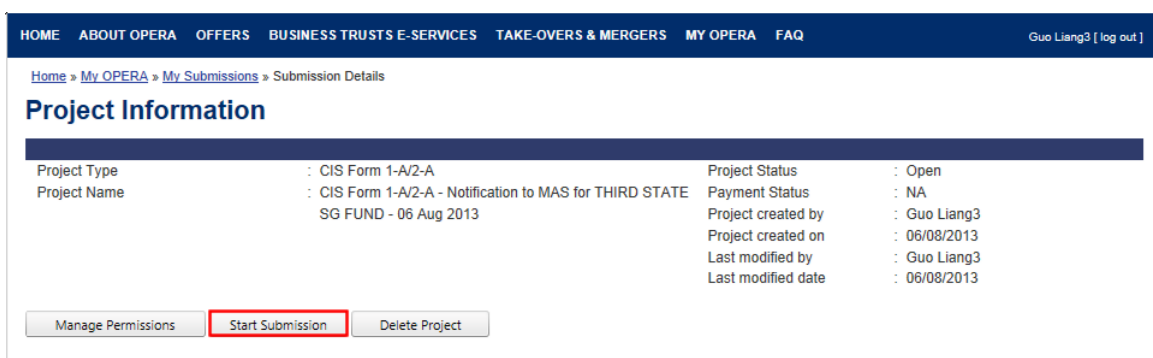
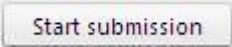


Figure 2.20-8 Project Details

11. After clicking 'View project details', the project information page will be shown. See **Figure 2.20-8**.

You may now proceed to submit other notifications for authorised / recognised scheme(s) by clicking on .

You may also amend the list of authorised users by clicking on 'Manage Permissions'.



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Guo Liang3 [ log out ]

Home > My OPERA > My Submissions > Submission

## CIS Form 1-A/2-A - Notification to MAS for THIRD STATE SG FUND - 06 Aug 2013

Instructions > Submit Forms > Upload Document > Summary > Payment > Receipt >

### Explanatory Notes

1. Please read the explanatory notes and questions carefully before completing the update of particulars form.
2. To submit an update of particulars for a particular question, please tick (✓) the box next to the question number and fill up the updated particulars for that question. Should there be insufficient space for your answers, please attach annex(es) which should be identified as such and signed by the signatories to this application.
3. Where there is an asterisk (\*), please delete whichever is inapplicable.
4. Please tick (✓) in the relevant boxes where appropriate under each question.
5. Unless stated otherwise, the information provided in the update of particulars form must be correct as at a date not earlier than 14 days before the date of application.
6. All dollar amounts are to be stated in Singapore dollars.
7. This update of particulars is to be made by the responsible person of the collective investment scheme constituted outside Singapore recognised under section 287(1) of the Securities and Futures Act (Cap. 289) (the "Act"), or by a person authorised by the responsible person of the collective investment scheme.
8. Where the responsible person of the collective investment scheme has notice of a material change in the information submitted as part of this update of particulars form, the responsible person of the collective investment scheme should notify the Monetary Authority of Singapore (the "Authority") immediately using this form and completing the section in which there is a change.
9. All terms used in this Form shall, except where expressly defined in this Form or where the context otherwise requires, have the same meaning as defined in the Act or the relevant subsidiary legislation

☒ I have read and understood the submission instructions.

Cancel Next

Figure 2.20-9 Submission Instructions

12. Check ☒ to indicate that you have read and understood the submission instructions. See **Figure 2.20-9**.
13. Click  to proceed, or click  to return to the previous screen. See **Figure 2.20-9**.

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Guo Liang3 [ log out ]

Home > My OPERA > My Submissions > Submit Forms

## Lodgment of CIS Scheme

Instructions > Submit Forms > Upload Document > Summary > Payment > Receipt >

### Notification of CIS Scheme

Name of the Scheme :THIRD STATE SG FUND  
Name of Umbrella Fund :THIRD STATE TRUST  
Status :Authorised  
Authorisation Date :02/08/2013

### Other Supporting Documents

Description of Document Type	Other particulars (if applicable)	Action
No documents added		

Document Type: ANNEX

Description of document:

Add Document

Figure 2.20-10 CIS Form 1-A – Submit Notification – Add Document

14. Select a document from 'Document Type', enter the 'Description of document' and click on . Repeat this step if you have more documents to add. See **Figure 2.20-10**.

**VI DECLARATION**

Section 329(3) of the Securities and Futures Act(Cap. 289) requires any person who signs a document lodged with the Authority to use due care in ensuring that the document is not false or misleading in any material particular. Any person who fails to do so shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$50,000 or to imprisonment for a term not exceeding 2 years or to both.

We declare that to the best of our knowledge and belief all information given in this application form and in the attached annex(es) is true and correct.

Date

Name

Details of one of the signatories or a contact person designated by the signatories to this form

Name\*

Designation\*

Corporation\*

Address\* ☒ Local ☐ Overseas

Block/ House No\*

Street name\*

Floor No

Unit

Building name

Postal Code\*

Tel No\*

Fax No\*

Email Address\*

Figure 2.20-11 CIS Form 1-A – Submit Notification – Declaration

15. Enter all the mandatory fields in for the Form 1-A and click on  to submit the form. See **Figure 2.20-11**.

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Guo Liang3 [ log out ]

Home » My OPERA » My Submissions » Submission

## Upload Document

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Project Name : CIS Form 1-A/2-A - Notification to MAS for THIRD STATE SG FUND - 06 Aug 2013

- Please ensure that each document to be uploaded is not larger than 12 MB.
- To select a file for upload, please click on the "Select File" button below.
- To clear the selection, please click on the "Clear" button.

SN	File Name	Description of Document Type	Status	Description	Upload	Clear
1	<a href="#">CIS_Form_1A.pdf</a>	CIS Form X 1A Update Records	Draft			
2	<a href="#">A.pdf</a>	ANNEX	Draft	Document 1	<input type="button" value="Select File"/>	<input type="button" value="Clear"/>
3	<a href="#">B.pdf</a>	ANNEX	Draft	Document 2	<input type="button" value="Select File"/>	<input type="button" value="Clear"/>

File Upload Progress

Figure 2.20-12 Upload documents

16. Refer to steps 9 - 12 in section 2.7 on how to upload documents. Click on  once you have attached all the documents. See **Figure 2.20-12**.

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Guo Liang3 [ log out ]

Summary

Instructions »Submit Forms »Upload Document »Summary »Payment »Receipt »

Attached Document

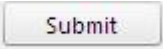
SN	File Name	Description of Document	Other particulars (if applicable)	Status
1	B.pdf	ANNEX	Document 2	Draft
2	A.pdf	ANNEX	Document 1	Draft
3	CIS_Form_1A.pdf	CIS Form X 1A Update Records		Draft

Payment Preview

SN	Description of Document	Unit Fee Payable (\$)	Quantity	Fee Payable (\$)	GST(\$)	Total(\$)
No additional payment required						

SubmitBack

Figure 2.20-13 Submission Summary

17. A summary page with the Payment Preview is displayed. Click  to submit the application. See **Figure 2.19-12**.

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Guo Liang3 [ log out ]

Submission Complete

Project Type: CIS Form 1-A/2-A

Project Name: CIS Form 1-A/2-A - Notification to MAS for THIRD STATE SG FUND - 07 Aug 2013

Case Id: CIS-X-201308-0004

The form has been submitted, no payment is required.

Figure 2.20-13 Payment details


18. The submission process is completed. See **Figure 2.20-14**.

## 2.21 Withdraw Form Submission

This section will show you how to withdraw a lodgement after submission. These steps are applicable to the withdrawal of

- CIS Form 1/2/1R/2R (before the scheme is authorised or recognised)
- CIS Form 1-A/ 2-A (before the submission is accepted by MAS)
- CIS Form 6/ 6R
  - Lodgement of prospectus or profile statement (before the document is registered)
  - Lodgement of Offer Information Statement, supplementary/ replacement prospectus or supplementary/ replacement profile statement (before the document is lodged)

---

 Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

---

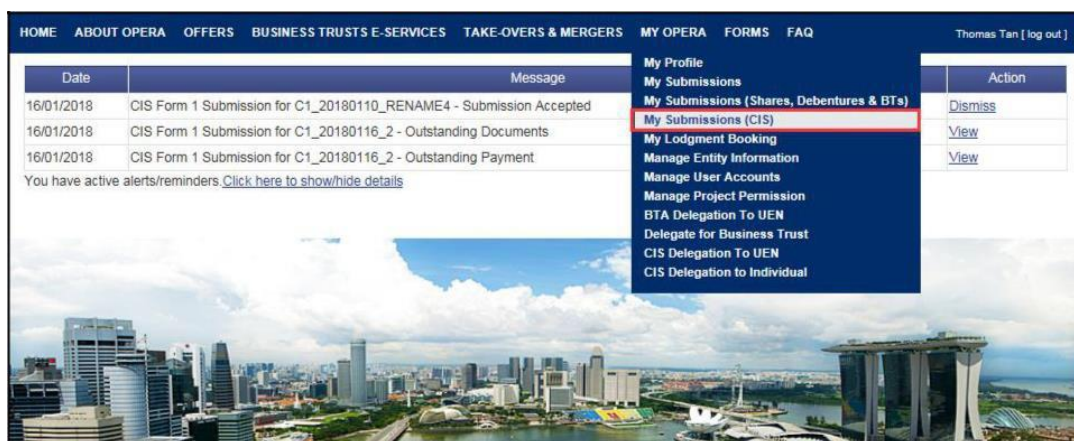


Figure 2.21-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.21-1**.

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Guo Liang3 [ log out ]

Home » My OPERA » My Submissions (CIS)

## Manage CIS Submission

Create Project

Please start an application or lodgment by selecting one of the "New Project" options below.

Authorisation / Recognition

Update of Particulars

Prospectus Lodgment

Filter By

Project Status: ☒ Open ☐ Active ☐ Closed ☐ Withdrawn

Form Type: ☐ Form 1/ 2/ 1R/ 2R ☐ Form 1-A/ 2-A ☒ Form 6/ 6R ☐ All

Active Projects

Form Type	Case Id	Project Name	Date of Submission	Status	Action
CIS Form6	CIS-6-201308-0001	CIS Form 6 - PROSPECTUS FOR THIRD STATE FUND - 02 Aug 2013	05/08/2013	Processing	<a href="#">View</a>
CIS Form6	CIS-6-201307-0005	Amended CIS Form 6 - C6_20130722_TEST1 - 22 Jul 2013	22/07/2013	Processing	<a href="#">View</a>
CIS Form6	CIS-6-201307-0004	CIS Form 6 - C6_20130722_TEST1 - 22 Jul 2013	22/07/2013	Processing	<a href="#">View</a>
CIS Form6	CIS-6-201307-0002	Replacement CIS Form 6-PROSPECTUS AAAA-02 Jul 2013	02/07/2013	Lodged	<a href="#">View</a>
CIS Form6R	CISR-6-201306-0030	CIS (REIT) Form 6R-PROSPECTUS FOR LOCAL SCHEME R - 20130626 - AA-26 Jun 2013	26/06/2013	Processing	<a href="#">View</a>
CIS Form6R	CISR-6-201306-0029	Replacement CIS Form 6- C6R_20130625_TEST1-25 Jun 2013	26/06/2013	Submitted	<a href="#">View</a>
CIS Form6R	CISR-6-201306-0024	CIS (REIT) Form 6R-2065_REITS_FORM2- 19 Jun 2013	24/06/2013	Processing	<a href="#">View</a>
CIS Form6R	CISR-6-201306-0023	CIS (REIT) Form 6R-DRAFT PROSPECTUS FOR CIS_R1_002-24 Jun 2013	24/06/2013	Processing	<a href="#">View</a>
CIS Form6R	CISR-6-201306-0021	CIS (REIT) Form 6R-PROSPECTUS PROSPECTUS-20 Jun 2013	20/06/2013	Submitted	<a href="#">View</a>
CIS Form6	CIS-6-201306-0033	CIS Form 6-TEST_2049-10 Jun 2013	20/06/2013	Returned For Amendment	<a href="#">View</a>

Total record(s): 69
Page Size 10
Page 1 of 7 go

Figure 2.21-2 Manage Offer Overview

2. Select 'Open' for the 'Project Status' and 'Form 6/ 6R' for the 'Form type' to filter for CIS Form 6/6R projects that are still 'Open'. See **Figure 2.21-2**.
3. Click on 'View' of the project you want to withdraw. See **Figure 2.21-2**.



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[Home](#) » [My OPERA](#) » [My Submissions](#) » Submission Details

## Project Information

Project Type	: CIS Form 6	Project Status	: Active
Project Name	: CIS Form 6 - PROSPECTUS FOR THIRD STATE FUND - 02 Aug 2013	Payment Status	: Paid
		Project created by	: Guo Liang3
		Project created on	: 02/08/2013
		Last modified by	: Guo Liang3
		Last modified date	: 05/08/2013

[Manage Permissions](#) [Withdraw Submission](#)

### Payment Information

Item Description	Fee Amt(\$)	GST(\$)	Unit Amt(\$)	Quantity	Total	Status
Preliminary document/Prospectus	\$1,200.00	\$0.00	\$1,200.00	1	\$1,200.00	<a href="#">Paid - View Receipt</a>

### Application Information

Case ID : CIS-6-201308-0001      Application Status : Submitted

### Documents Required

Document	Description of Document	Other particulars (if applicable)	Submitted date	Document status
CIS_FORM6_FORM.txt	CIS Form 6 Form		05/08/2013	Submitted
- PHS 1.pdf	Product Highlights Sheet (section 296A(1) of the SFA)		05/08/2013	Submitted
- Prospectus.pdf	Preliminary document / Prospectus (section 296(1) of the SFA)		05/08/2013	Submitted

Figure 2.21-3 Submission Details

4. Click [Withdraw Submission](#) to withdraw the application. See **Figure 2.21-3**.

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[Home](#) » [My OPERA](#) » [My Submissions](#) » Submission

## Withdraw Submission

The terms of withdrawal are as follows:

1. Withdrawal of the submission is final. You may not reverse the withdrawal.
2. You are required to make payment of fees in relation to the submission, regardless of whether the submission is withdrawn.
3. Fees will be charged for new submissions.

Please state the reason for withdrawing this submission:

**DECLARATION**

☒ I have read and understood the terms of withdrawal of my submission.

Supporting document:

Upload File

[Browse...](#) [Upload](#)

[Cancel](#) [Withdraw Submission](#)

Figure 2.21-4 Upload document



5. Enter the reason for the withdrawal and check ☐ to indicate that you have read and understood the terms of the withdrawal. See **Figure 2.21-4**.
6. You may wish to upload supporting documents (optional).
7. Click  to proceed with the withdrawal, or click  to return to the previous page.

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Home » My OPERA » My Submissions » Submission Details

### Project Information

Project Type	: CIS Form 6	Project Status	: <b>Withdrawn</b>
Project Name	: CIS Form 6 - PROSPECTUS FOR THIRD STATE FUND - 02 Aug 2013	Payment Status	: Paid
		Project created by	: Guo Liang3
		Project created on	: 02/08/2013
		Last modified by	: Guo Liang3
		Last modified date	: 07/08/2013

Payment Information

Item Description	Fee Amt(\$)	GST(\$)	Unit Amt(\$)	Quantity	Total	Status
Preliminary document/Prospectus	\$1,200.00	\$0.00	\$1,200.00	1	\$1,200.00	<a href="#">Paid - View Receipt</a>

Application Information  
Case ID : CIS-6-201308-0001      Application Status : Withdrawn

Documents Required

Document	Description of Document	Other particulars (if applicable)	Submitted date	Document status
CIS_FORM6_FORM.txt	CIS Form 6 Form		05/08/2013	Submitted
- PHS 1.pdf	Product Highlights Sheet (section 296A(1) of the SFA)		05/08/2013	Submitted
- Prospectus.pdf	Preliminary document / Prospectus (section 296(1) of the SFA)		05/08/2013	Submitted

Figure 2.21-5 Submission Details page


8. After clicking 'Withdraw Submission', the Project Information page is displayed. The project status is shown as 'withdrawn'. See **Figure 2.21-5**.

## 2.22 Re-submit form/document that has been Returned for Amendment

MAS may return forms and/or documents to applicants if the forms and/or documents are erroneous or incomplete. MAS may return either the entire submission (i.e. Form and documents) or only certain documents for the applicant to amend. Applicant will receive an email notification when the submission is returned.

This section will show you how an applicant can re-submit the entire submission or certain documents after making the amendments.

---

 Only authorised users are allowed to perform this function. Refer to section 2.1 - Log in for log-in steps.

---

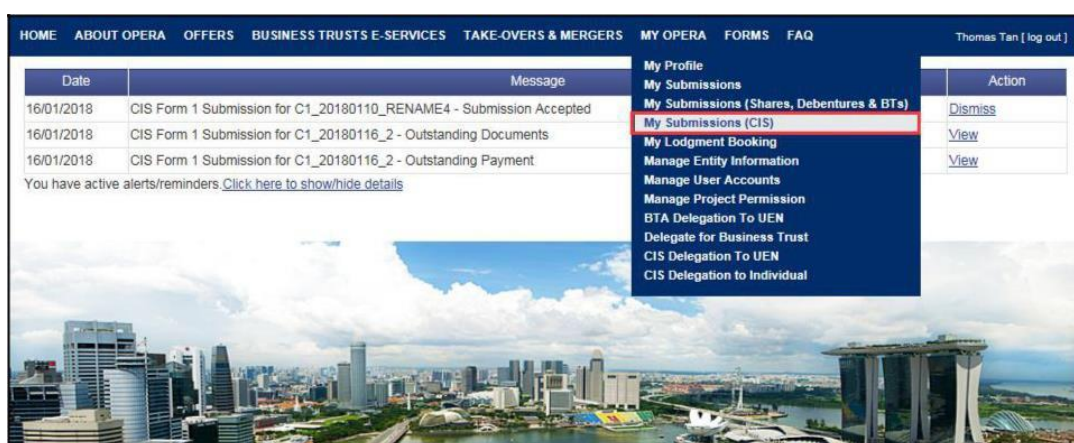


Figure 2.22-1 Homepage of MAS OPERA

1. After successful log-in, click **My Submissions (CIS)** under **MY OPERA** in the main menu. See **Figure 2.22-1**.

Home » My OPERA » My Submissions (CIS)

## Manage CIS Submission

Create Project  
Please start an application or lodgment by selecting one of the "New Project" options below.

Authorisation / Recognition: [Form 1 Project](#) [Form 2 Project](#) [Form 1R Project](#) [Form 2R Project](#)

Update of Particulars: [Form 1-A/2-A Project](#)

Prospectus Lodgment: [Form 6 Project](#) [Form 6R Project](#)

Filter By

Project Status: ☐ Open ☒ Active ☐ Closed ☐ Withdrawn

Form Type: ☒ Form 1/ 2/ 1R/ 2R ☐ Form 1-A/ 2-A ☐ Form 6/ 6R ☐ All

Active Projects

Form Type	Case Id	Project Name	Date of Submission	Status	Action
CIS Form1	CIS-1-201308-0002	CIS Form 1 - FOUTH STATE TRUST - FOUTH STATE SG FUND - 07 Aug 2013	07/08/2013	Returned For Amendment	<a href="#">View</a>
CIS Form2	CIS-2-201307-0005	CIS Form 2 - UMBRELLA F 201307 - FOREIGN SCHEME - 20130709 - BB - 09 Jul 2013	09/07/2013	Processing	<a href="#">View</a>

Figure 2.22-2 Manage Offer Overview

- Select 'Open' for the 'Project Status' and 'Form 1/ 2/ 1R/ 2R' for the 'Form type' to filter for CIS Form 1/ 2/ 1R/ 2R projects that are still 'Open'. The status of a project where a form or document has been returned will be indicated as 'Returned For Amendment', under the 'Status' column. See **Figure 2.22-2**.
- Click on 'View' to open the project. See Figure 2.22-2.

For submissions where only certain documents have been returned, refer to steps 4 - 7 for re-submission of those documents. For submissions which have been returned in their entirety, proceed to step 8.

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## Project Information

Project Type	: CIS Form 1	Project Status	: Active
Project Name	: CIS Form 1 - FOUTH STATE TRUST - FOUTH STATE SG FUND - 07 Aug 2013	Payment Status	: Pending Payment
		Project created by	: Guo Liang3
		Project created on	: 07/08/2013
		Last modified by	: Guo Liang3
		Last modified date	: 07/08/2013

Make Payment
Manage Permissions
Attach Documents
Withdraw Submission

Please click on 'Attach documents' to resubmit the document that has been returned for amendment or submit outstanding documents.

Payment Information

Item Description	Fee Amt(\$)	GST(\$)	Unit Amt(\$)	Quantity	Total	Status
CIS Form 1 Submission	\$1,200.00	\$0.00	\$1,200.00	1	\$1,200.00	Pending Payment

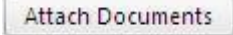
Application Information

Case ID :CIS-1-201308-0002      Application Status : Returned For Amendment

Documents Required

Document	Description of Document	Other particulars (if applicable)	Submitted date	Document status
B.pdf	Attachment For Q12 Manager Confirmation		07/08/2013	Submitted
N.A	Attachment For Q12 Trustee Confirmation			Pending Submission
N.A	Attachment For Q31 Sub Manager (g)			Pending Submission
N.A	Attachment For Q33 Sub Manager			Pending Submission
Local Scheme - UG1.pdf	CIS FORM 1		07/08/2013	Submitted
A.pdf	Trust Deed		07/08/2013	Returned For Amendment

Figure 2.22-3 Certain document(s) returned for amendment

- To re-submit documents that have been returned, click  to attach the new document(s). See **Figure 2.22-3**.

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Home » My OPERA » My Submissions » Submission

## Upload Document

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Project Name : CIS Form 1 - FOUTH STATE TRUST - FOUTH STATE SG FUND - 07 Aug 2013

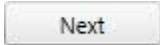
- Please ensure that each document to be uploaded is not larger than 12 MB.
- To select a file for upload, please click on the "Select File" button below.
- To clear the selection, please click on the "Clear" button.

SN	File Name	Description of Document Type	Status	Description	Upload	Clear
1		Trust Deed	Pending Submission		Select File	Clear
2	B.pdf	Attachment For Q12 Manager Confirmation	Submitted			
3		Attachment For Q12 Trustee Confirmation	Pending Submission		Select File	Clear
4		Attachment For Q33 Sub Manager	Pending Submission		Select File	Clear
5		Attachment For Q31 Sub Manager (g)	Pending Submission		Select File	Clear
6	Local Scheme - UG1.pdf	CIS FORM 1	Submitted			

File Upload Progress

Next

Figure 2.22-4 Project Information

5. Refer to steps 13 - 14 in section 2.7 on how to upload documents. Click on  once you have attached all the documents. **See Figure 2.22-4.**

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## Summary

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Attached Document

SN	File Name	Description of Document	Other particulars (if applicable)	Status
1	A.pdf	Trust Deed		Draft
2	B.pdf	Attachment For Q12 Manager Confirmation		Submitted
3		Attachment For Q12 Trustee Confirmation		Pending Submission
4		Attachment For Q33 Sub Manager		Pending Submission
5		Attachment For Q31 Sub Manager (g)		Pending Submission
6	Local Scheme - UG1.pdf	CIS FORM 1		Submitted

Payment Preview

SN	Description of Document	Unit Fee Payable (\$)	Quantity	Fee Payable (\$)	GST(\$)	Total(\$)
No additional payment required						

Submit Back

Figure 2.22-5 Summary

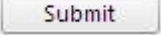
6. A summary page with the Payment Preview is displayed. Click  to submit the application. See **Figure 2.22-5**.



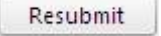
Figure 2.22-6 Payment details

7. A payment page is displayed. See **Figure 2.22-6**.

For submissions that have been returned in their entirety, refer to step 8 on how to re-submit the entire application.



Figure 2.22-7 Resubmit entire submission

8. For submissions that have been returned in their entirety, click  to re-submit the entire application. See **Figure 2.22-7**.
9. The steps to resubmit the submission are the same as the steps to submit the lodgement. Please refer to the earlier sections for details.



## 2.23 Online Payment

This section will guide you through the process of making online payments.

**Total Amount Payable: SGD 1,200.00**

Please make payment by selecting the preferred payment mode then click the 'Proceed' button:

Payment Mode	Description
<input checked="" type="radio"/>	For VISA/MASTERCARD Credit and Debit cards Note: Please add "https://www2.enets.sg" to your list of allowed sites in the pop-up blockers setting.

Enter the code shown:

**NOTE:**

1. Please make sure that all other opened browsers are closed before proceeding to make payment.
2. DO NOT close this browser while payment is in progress. You may close this browser only after you receive the Official Receipt and an acknowledgement from the e-Service for successful payment.
3. DO NOT click on the browser buttons (example: Back, Reload or Stop) while payment is in progress.

Figure 2.23-1 Select Payment Mode

1. Select the Payment Mode, and enter the Captcha code. See **Figure 2.23-1**
2. Click on the  button. See **Figure 2.23-1**

Display Name: Monetary Authority of Singapore  
Merchant Reference Code: CC20082013042817  
Nets Reference Code: 20200820130428218  
Amount: SGD 1200.00

### Payment Methods

☒

Name on Card:

Card Number:

CVV/CVV2:

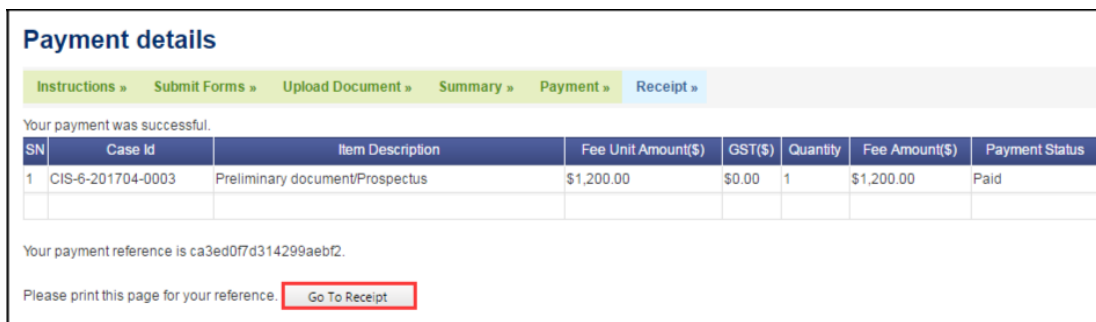
Expiry Date:

Email (Optional):

Figure 2.23-2 Enter credit/debit card details

3. Enter the credit or debit card details. See **Figure 2.23-2**

4. Click on the  button. See **Figure 2.23-2**



**Payment details**

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Your payment was successful.

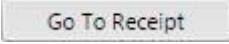
SN	Case Id	Item Description	Fee Unit Amount(\$)	GST(\$)	Quantity	Fee Amount(\$)	Payment Status
1	CIS-6-201704-0003	Preliminary document/Prospectus	\$1,200.00	\$0.00	1	\$1,200.00	Paid

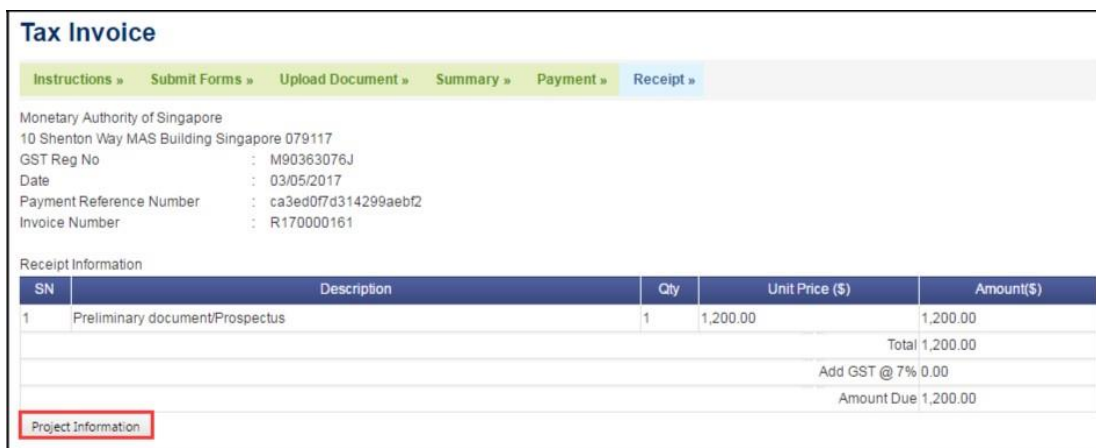
Your payment reference is ca3ed0f7d314299aebf2.

Please print this page for your reference. [Go To Receipt](#)

Figure 2.23-4 Successful payment

5. A successful payment acknowledgement is displayed. See **Figure 2.23-4**

6. Click on  to view receipt. See **Figure 2.23-4**



**Tax Invoice**

Instructions » Submit Forms » Upload Document » Summary » Payment » Receipt »

Monetary Authority of Singapore  
10 Shenton Way MAS Building Singapore 079117  
GST Reg No : M90363076J  
Date : 03/05/2017  
Payment Reference Number : ca3ed0f7d314299aebf2  
Invoice Number : R170000161

Receipt Information

SN	Description	Qty	Unit Price (\$)	Amount(\$)
1	Preliminary document/Prospectus	1	1,200.00	1,200.00
				Total 1,200.00
				Add GST @ 7% 0.00
				Amount Due 1,200.00

[Project Information](#)

Figure 2.23-5 Receipt

7. The receipt is displayed. See **Figure 2.23-5**

8. Click  to return to Project Information screen. See **Figure 2.23-5**